

# Sun International Securities Download Trading Platform (DTP)

## User Manual

By

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# 1 Introduction

This User Guide provides a step-by-step instruction on how to use the Sun International Securities Download Trading Platform (**DTP**). The objective of designing DTP is to assist users to run their trading businesses in a more effective and efficient way with the following user-friendly Graphics User Interface (GUI) functional modules:

- Order Input/ Enq.
- Market Data
- Report
- Settings

This user guide consists of following chapters:

Chapter	Description
1	Introduction Introduction of Sun International Securities Download Trading Platform (DTP)
2	About Download Trading Platform (DTP) To provides details information on DTP. The system overview and system standards are explained in this chapter.
3	Getting Started To show how to login and logout of the system.
4	User Interface To cover various user interface functions in the system.
5	Order Processing Input / update / cancel / enquire orders and show client information.
6	Report Describe the usage of reports and its functionality.
7	Appendix : FAQ

## **2 About Sun International Securities Download Trading Platform (DTP)**

Sun International Securities Download Trading Platform (DTP) is a securities trading system with comprehensive functionality which can facilitate efficient and effective workflow in the entire trading.

Designed with the most advanced technology, DTP is scalable to suit Individual investors. It can be securely deployed as an Internet application externally. It provides users with stable, reliable, fast, efficient and cost-effective trading platform.

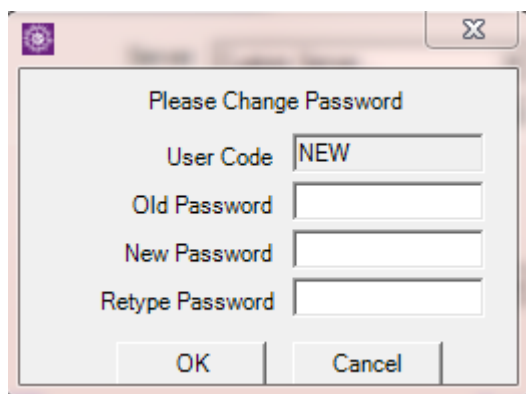
## 3 Getting Started

### 3.1 Login

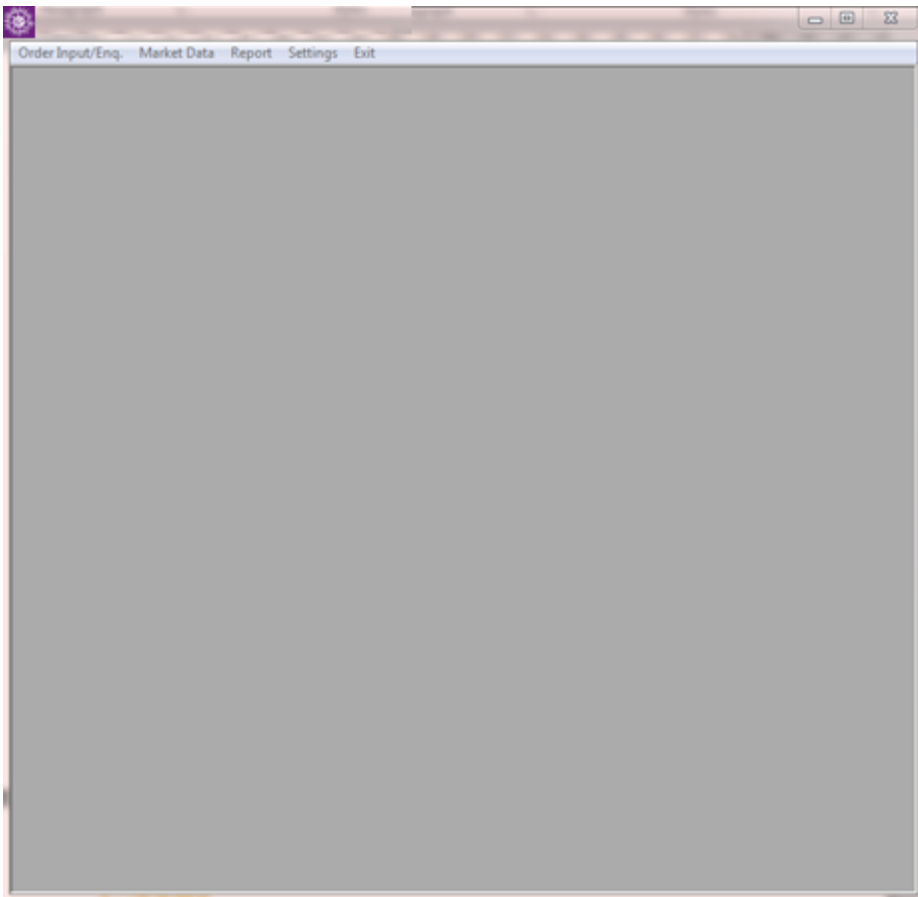
- (a) Switch on computer
- (b) Double click the “Sun International Securities” icon, Sun International Securities Download Trading Platform (DTP) login screen will be shown.



- (c) Enter User Code and User Password, then click “Login”; or click “Exit” to leave the system.
- (d) If this is the first time that you login to the system, you may be requested to change your password with the following screen.

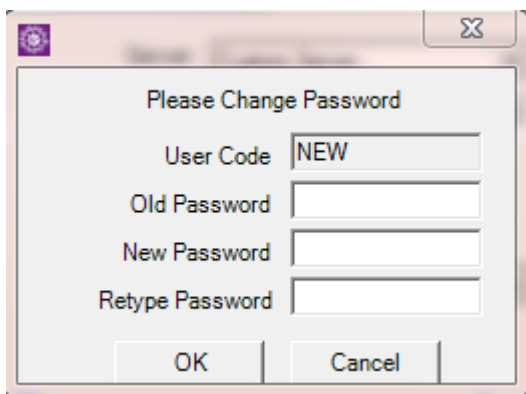


- (e) Input New Password followed by the Retype Password, then click “OK” button. The system will change your password with immediate effect.
- (f) After Login, the main window will appear.



### 3.2 *Change Password*

To change password, click “Setting → Change User Password”.



Input the old password and new password respectively. Make sure that “New Password” and “Retype Password” are the same. Click “OK” to confirm the change.

### 3.3 *Logout*

To log out from DTP, click the “Exit” button on the Main Window menu

*Note: If you have any difficulties of logging on DTP, please consult our CS representative.*

## 4 User Interface

### 4.1 Main Menu












Sun International Securities Download Trading Platform (DTP) Main Menu consists of a set of global functions which are available to the entire DTP workspace.

The Main Menu has the following items:

Menu	Description
Order Input/ Enq.	Contains order input, client account portfolio
Market Data	Contains different Real time price quote and Market News
Report	Contains all kinds of reports
Settings	Contains common functions to configure the desktop setting
Exit	Exit the system

### 4.2 Common Icons

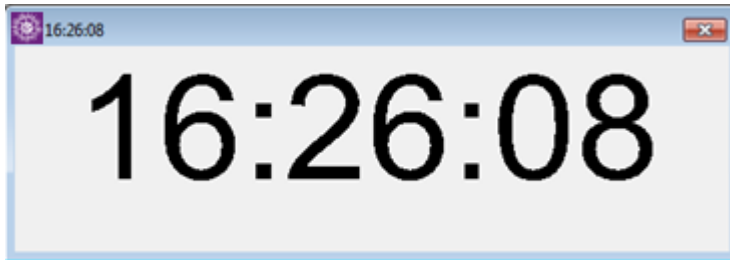
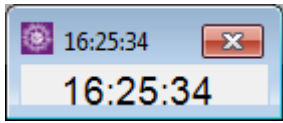
Sun International Securities Download Trading Platform (DTP) uses a set of function icons consistently throughout the entire system. The graphic representation and the respective meaning for each of them are listed below:

Icons	Description
	Exit the current window
	Clear the current fields
	Open an existing record to edit
	Save the current record
	Delete the current record
	Upload files
	Enquiry
	Edit
	Create / Edit order input shortcut keys
	Print
	Cancel



### 4.3 Clock

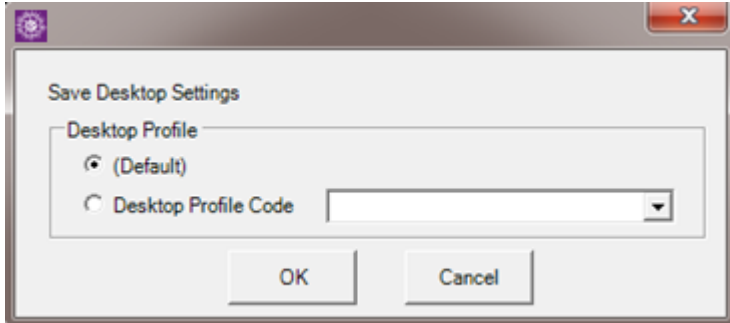
“Setting → Clock” is used to display the time at exchange. System clock can be resized.



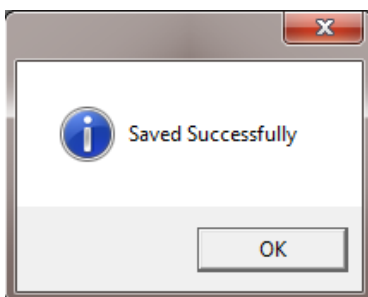
### 4.4 To save the desktop layout

All window sizes and positions can be easily adjusted. Users can also customize desktop settings to cater individual needs (details as below):

Save the current desktop layout by clicking the menu item “Settings → Save Desktop Settings”. You may choose to save the settings as default (auto load while logging in), or create a profile code for it.

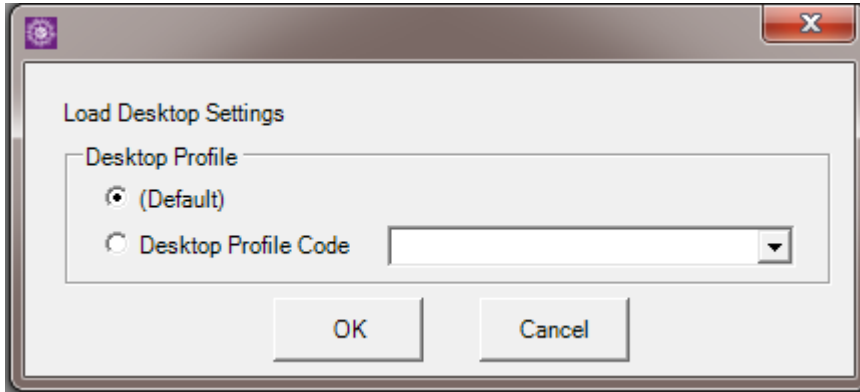


The “Saved Successfully” message will be shown.



#### 4.5 To load the saved desktop layout

Upon logging in, DTP will automatically load the default desktop layout. If you want to restore any other saved desktops, simply click the menu item “Settings → Load Desktop Settings”.

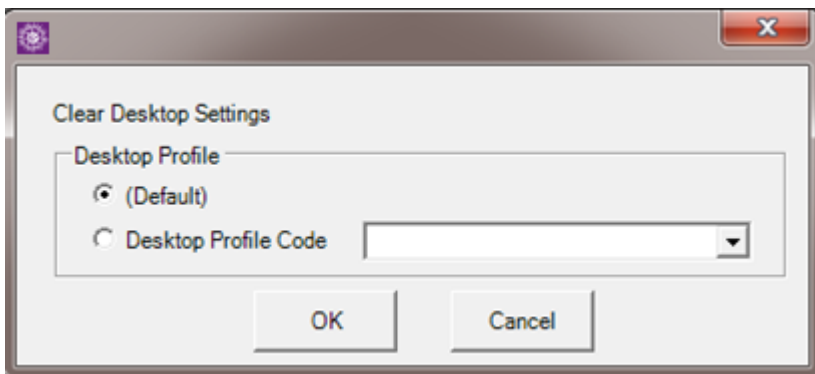


The saved desktop setting will be loaded.

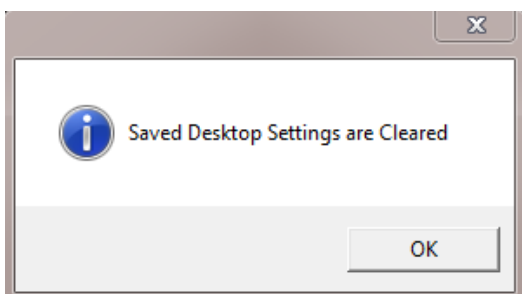
#### 4.6 To clear the saved desktop layout

You may clear save desktop layouts by clicking the menu item “Settings → Clear Desktop Settings”.

Choose “Yes” when system prompts “Are you sure to clear all settings?”



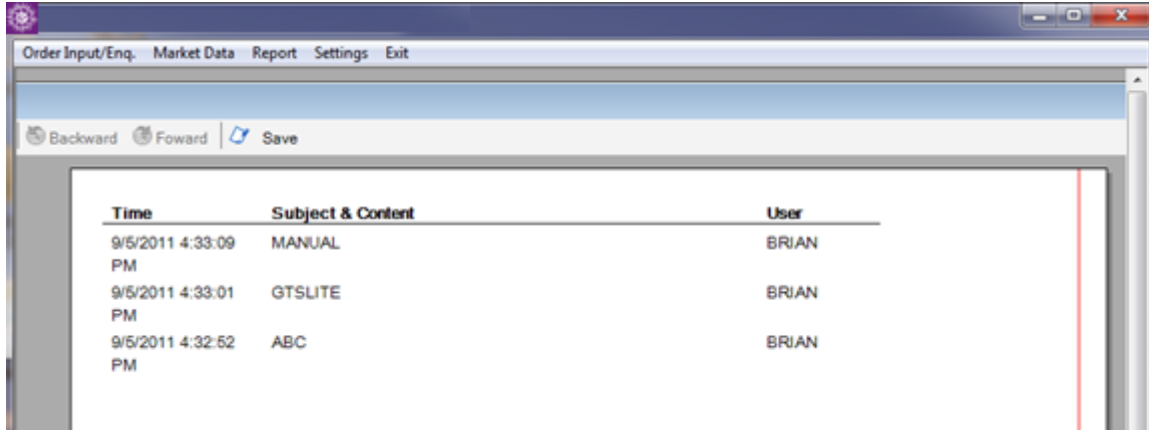
A “Saved Desktop Settings are Cleared” message will be shown.



## 4.7 Show Today News

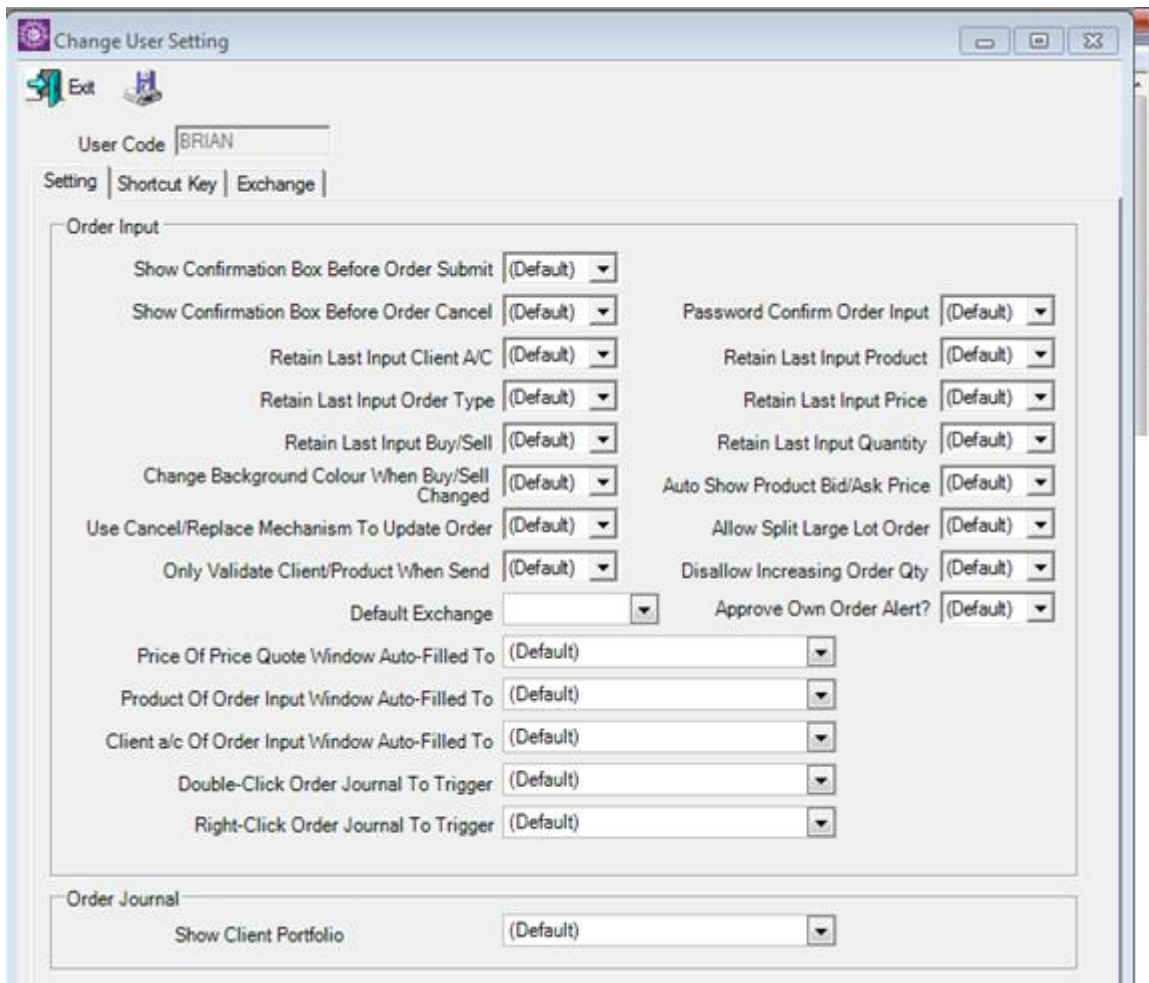
Simply click menu “Setting → Show Today News”.

*Note: The message will be stored in the system until HKT 23:59 of the input date.*



Time	Subject & Content	User
9/5/2011 4:33:09 PM	MANUAL	BRIAN
9/5/2011 4:33:01 PM	GTSLITE	BRIAN
9/5/2011 4:32:52 PM	ABC	BRIAN

## 4.8 Change User Setting (Setting)



Change User Setting

User Code:

Setting | Shortcut Key | Exchange

**Order Input**

Show Confirmation Box Before Order Submit	(Default)	Password Confirm Order Input	(Default)
Show Confirmation Box Before Order Cancel	(Default)	Retain Last Input Product	(Default)
Retain Last Input Client A/C	(Default)	Retain Last Input Price	(Default)
Retain Last Input Order Type	(Default)	Retain Last Input Quantity	(Default)
Retain Last Input Buy/Sell	(Default)	Auto Show Product Bid/Ask Price	(Default)
Change Background Colour When Buy/Sell Changed	(Default)	Allow Split Large Lot Order	(Default)
Use Cancel/Replace Mechanism To Update Order	(Default)	Disallow Increasing Order Qty	(Default)
Only Validate Client/Product When Send	(Default)	Approve Own Order Alert?	(Default)
Default Exchange			
Price Of Price Quote Window Auto-Filled To	(Default)		
Product Of Order Input Window Auto-Filled To	(Default)		
Client a/c Of Order Input Window Auto-Filled To	(Default)		
Double-Click Order Journal To Trigger	(Default)		
Right-Click Order Journal To Trigger	(Default)		

**Order Journal**

Show Client Portfolio (Default)

Setting	Description
Show Confirmation Box Before Order Submit	Checking this box if you want to show confirmation box every time user inputs an order.
Show Confirmation Box Before Order Cancel	Checking this box if you want to show confirmation box every time user cancels an order.
Password Confirm Order Input	Checking this box if you want to key in password to confirm order inputs before sending to market. <i>Please note that you must also enable the "Show Confirmation Box Before Order Submit" function to facilitate "Password Confirm Order Input".</i>
Retain Last Input Client A/C	Checking this box if you want to let the last input client a/c remains in the Order Input Screen.
Retain Last Input Product	Checking this box if you want the last input product remains in the Product field.
Retain Last Input Order Type	Checking this box if you want the last order type remains in the Order Type box.
Retain Last Input Price	Checking this box if you want the last input price remains in the Price field.
Retain Last Buy/Sell Order	Checking this box if you want the last Buy/Sell order remains in the B/S box.
Retain Last Input Quantity	Checking this box if you want the last input quantity remains in the Quantity field.
Change Background Color when Buy/Sell Changed	Change background color when Buy/Sell is selected.
Auto Show Product Bid/Ask Price	Checking this box if you want the stock nominal price to be shown when input the stock code.
Use Cancel/Replace Mechanism to Update Order (BSS client function and need to request)	When changing the Quantity of the Order, the Order will be cancelled first and then update, so that all the Orders will queue up at the same time.
Allow Split Large Lot Order	When the Order Quantity has exceeded the set limit, the Order will split into a few orders.
Only Validate Client/Product When Send	Check client/product validity only when sending out orders.
Disallow Increasing Order Quantity	Checking this box if you want to prohibit user to increase quantity of orders.
Default Exchange	Set the default exchange when making orders.
Approve Own Order Alert?	When the Order has exceeded the Trading Limit, the own user has the right to approve his own

	order.
Price of Price Quote Window Auto-Filled To	When double click on any price position for the Buy/Sell, information will auto feed into Order Input windows.
Price of Order Input Window Auto-Filled To	Product code from the order input window auto feed into the real time quote window.
Client a/c Of Order Input Window Auto-Filled To	Client code from the Client portfolio auto feed into the Order input window.
Double-Click Order Journal To Trigger	Double-click a trade order will trigger the function menu (functions including - "Cancel", "Update", "Enquire" and "Acknowledge" Order.
Right-Click Order Journal To Trigger	Right-clicking an order to "Show Functions Menu", "Show Menu, Update Order" or "Show Menu, Fast Update Order".
Show Client Portfolio	Show the client's portfolio

## 4.9 Change User Setting (Shortcut key)

Change User Setting

User Code

Setting **Shortcut Key** Exchange

Move Cursor When Buy/Sell Pressed

Market Order = Best Bid/Ask +  Spread

Scroll Mouse Wheel Will Change Price  Spread

Scroll Mouse Wheel Will Change Qty

Buy	<input type="text" value="F7"/>	<input type="checkbox"/>	<input type="checkbox"/>	Go To Client	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sell	<input type="text" value="Left"/>	<input type="checkbox"/>	<input type="checkbox"/>	Go To Product	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sell Portfolio	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Go To Price	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Buy Market	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Go To Qty	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Buy Best Ask	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Go To Order Type	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Buy Best Bid	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lock/Unlock Client	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sell Market	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lock/Unlock Product	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sell Best Bid	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lock/Unlock Price	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sell Best Ask	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lock/Unlock Qty	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Price Up	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lock/Unlock Type	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Price Down	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	List Active Clients	<input type="text" value="F2"/>	<input type="checkbox"/>	<input type="checkbox"/>
Qty Up	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Portfolio	<input type="text" value="F3"/>	<input type="checkbox"/>	<input type="checkbox"/>
Qty Down	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Enquire Order	<input type="text" value="F10"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hold Last Own Order	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Enquire Transaction	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hold All Own Orders	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Send	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Release Last Own Order	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clear Current Field Value	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Release All Own Orders	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Clear	<input type="text" value="Escape"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cancel Last Own Order	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Update Last Own Order Price	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Update Last Own Order Qty	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Settle Last Own Trade	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cancel All Own Orders	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Go To Next Window	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Go To Next Window Group	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	000	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
000000	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	000000000	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cancel All Own Buy Orders	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>	Cancel All Own Sell Orders	<input type="text" value="(No Shortcut Key)"/>	<input type="checkbox"/>	<input type="checkbox"/>



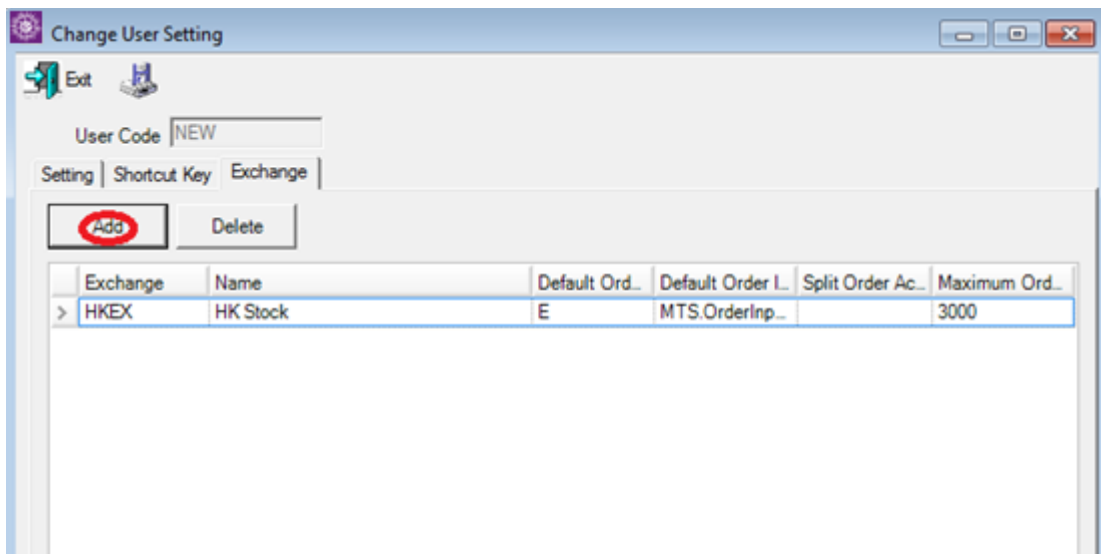
<b>Setting</b>	<b>Description</b>
Move Cursor When Buy/Sell Pressed	This function is only applicable for Order Input (HK Stock, Layout 4). Once selected, when you press the "Buy" or "Sell" button, the cursor will automatically move to the Price field.
Market Order = Best Bid/Ask+__ Spread	Once configured, the Buy/Sell price will be set as the best bid/ask price + the desired number of spreads.
Scroll Mouse Wheel Will Change Price __ Spread	The number of price spreads change when scrolling the mouse wheel.
Scroll Mouse Wheel Will Change Quantity __	The number of quantity change when scrolling the mouse wheel.
Buy	Press the shortcut key to buy.
Sell	Press the shortcut key to sell.
Sell Portfolio	Press the shortcut key to sell all of the specific product.
Buy Market	Press the shortcut key to buy at market price.
Buy Best Ask	Press the shortcut key to buy at the best ask price.
Buy Best Bid	Press the shortcut key to buy at the best bid price.
Sell Market	Press the shortcut key to sell at market price.
Sell Best Bid	Press the shortcut key to sell at the best bid price.
Sell Best Ask	Press the shortcut key to sell at the best ask price.
Price Up	Press the shortcut key to increase the price.
Price Down	Press the shortcut key to decrease the price.
Qty Up	Press the shortcut key to increase the quantity.
Qty Down	Press the shortcut key to decrease the quantity.
Hold Last Own Order	Press the shortcut key to hold last input order.
Hold All Own Order	Press the shortcut key to hold all input orders.
Release Last Own Order	Press the shortcut key to release last input order.
Release All Own Orders	Press the shortcut key to release all input orders.
Cancel Last Own Order	Press the shortcut key to cancel last input order.
Update Last Own Order Qty	Press the shortcut key to update last input order.
Cancel All Own Orders	Press the shortcut key to cancel all input orders.
Go To Next Window Group	Press the shortcut key to go to the next window group.
Cancel All Own Buy Orders	Press the shortcut key to cancel all own buy orders.
Go To Client	Press the shortcut key to move cursor to the client field.
Go To Product	Press the shortcut key to move cursor to the product field.
Go To Price	Press the shortcut key to move cursor to the price field.
Go To Qty	Press the shortcut key to move cursor to the quantity field.

Go To Order Type	Press the shortcut key to move cursor to the order type field.
Lock / Unlock Client	Press the shortcut key to lock/unlock the client field.
Lock / Unlock Product	Press the shortcut key to lock/unlock the product field.
Lock / Unlock Price	Press the shortcut key to lock/unlock the price field.
Lock / Unlock Qty	Press the shortcut key to lock/unlock the quantity field.
Lock / Unlock Type	Press the shortcut key to lock/unlock the order type field.
List Active Clients	Press the shortcut key to display active clients.
Portfolio	Press the shortcut key to display client account portfolio.
Enquire Order	Press the shortcut key to enquire an order.
Enquire Transaction	Press the shortcut key to enquire a transaction.
Send	Press the shortcut key to send an order.
Clear Current Field Value	Press the shortcut key to clear the current field.
Clear	Press the shortcut key to clear all fields.
Update Last Own Order Price	Press the shortcut key to update last input order's price.
Settle Last Own Trade	Press the shortcut key to settle last trade.
Go To Next Window	Press the shortcut key to go to next window.
Cancel All Own Sell Orders	Press the shortcut key to cancel all own buy orders.

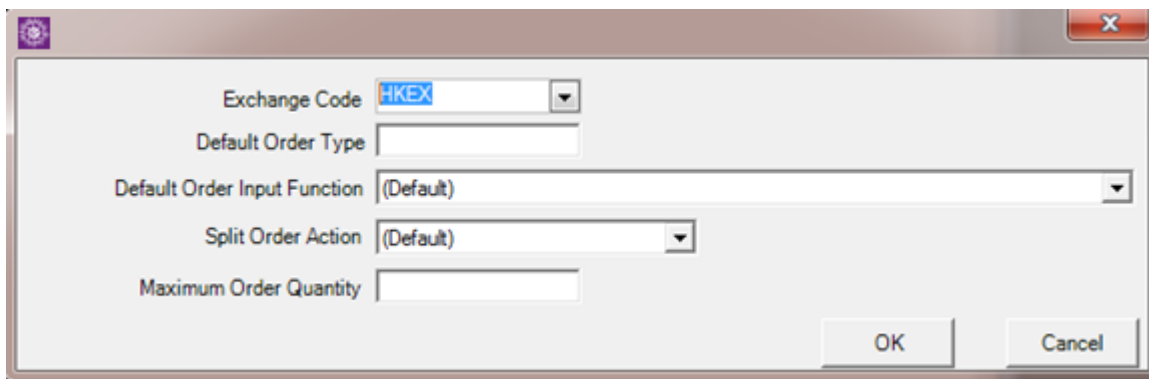


## 4.10 Change User Setting (Exchange)

Click “Settings” → “Change User Setting” in menu item then → “Exchange”



Click “Add”. Then, it will show the following window.

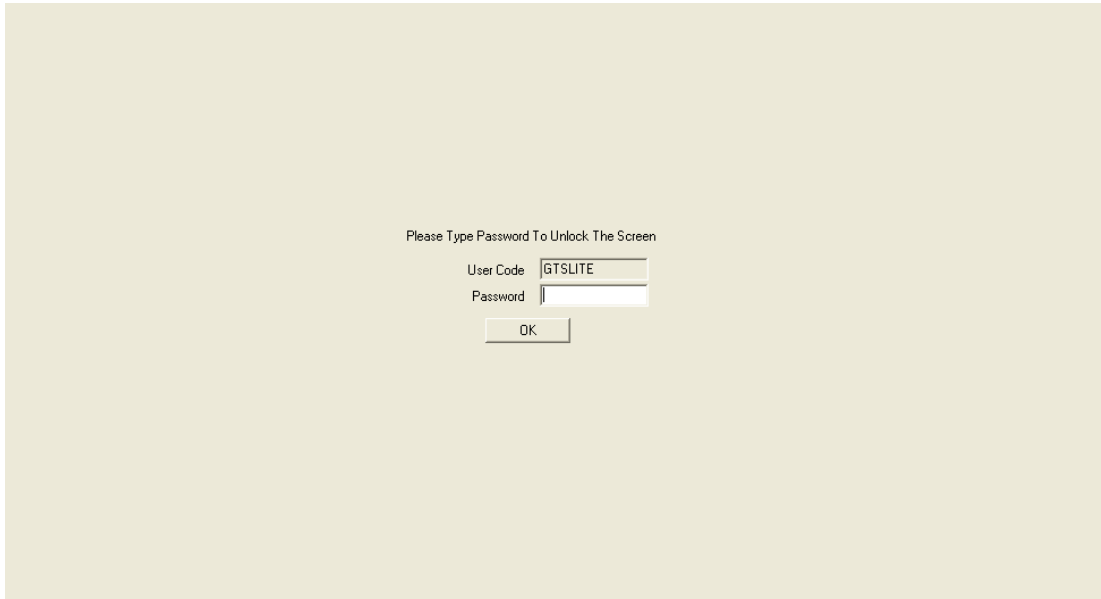


Input the “Default Order Type” and “Default Order Input Function”.  
 Click “OK” and then “Save”.

When the user chooses this exchange code, it will follow its exchange default setting.

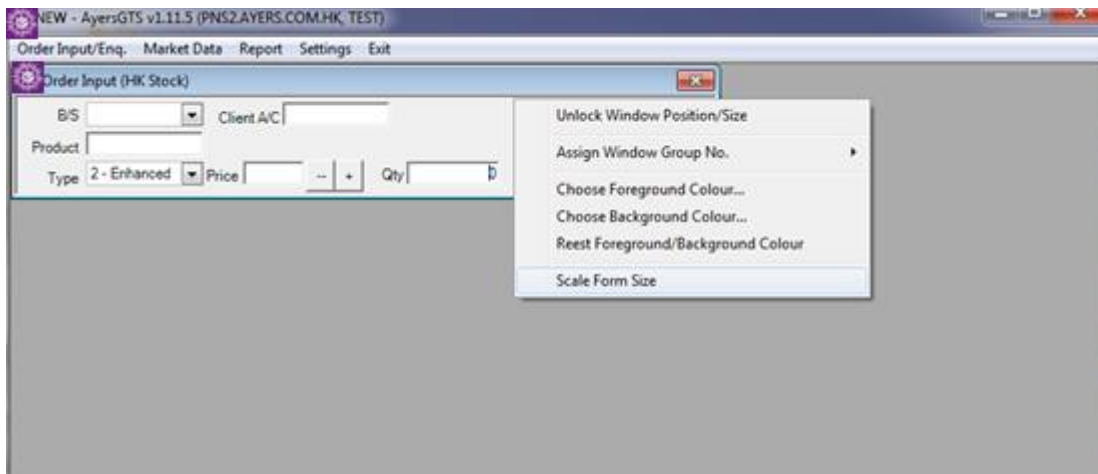
## 4.11 Screen Lock

Click menu “Setting → Lock Screen” to hide DTP windows, enter password to restore DTP windows.

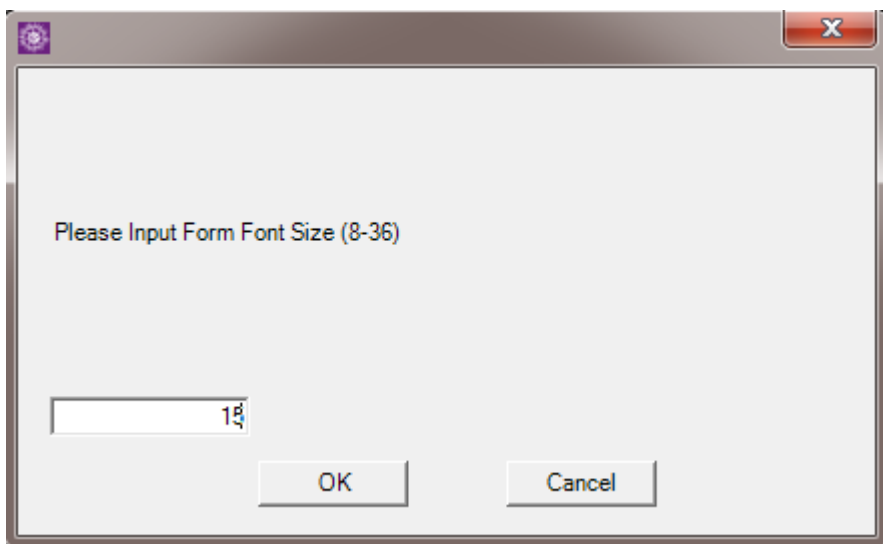


## 4.12 Zoom In/Out Windows

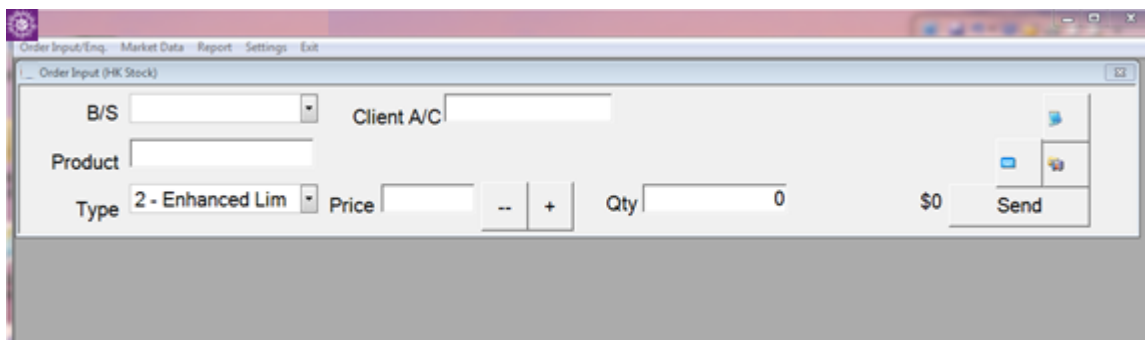
In all the windows, click the right click in space area and choose scale form size.



Input the size of this window and click "OK"



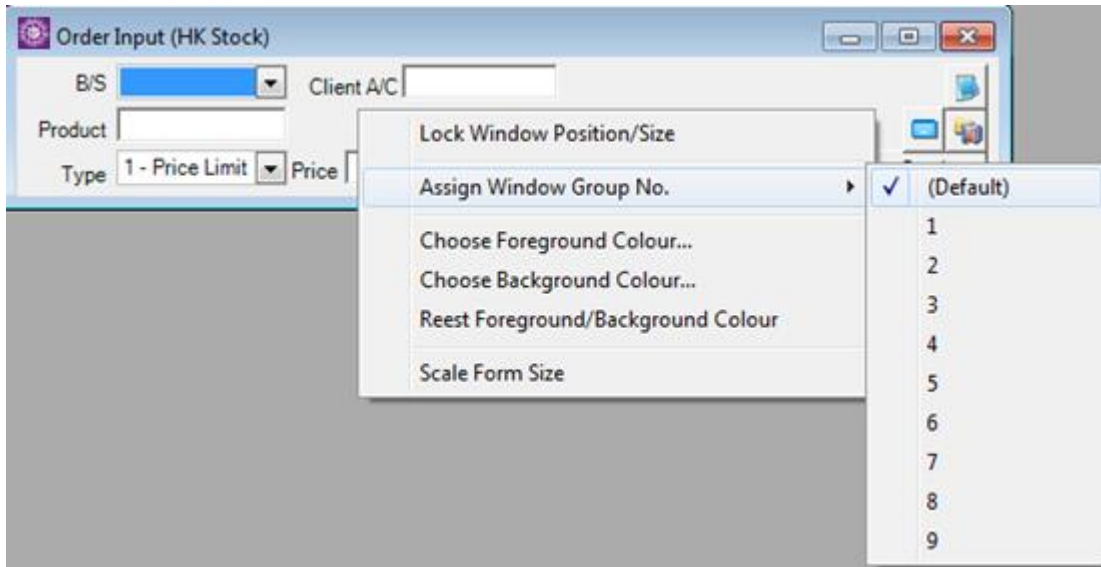
Then, it will be larger or smaller.



### 4.13 Lock Window Position/Size

Open the function window, right-click and choose “Lock Window Position / Size”; once selected, the window will be locked.

### 4.14 Assign Window Group Number



Right-click the target window and choose the window group number.

The set group can be applied together with the “Go To Next Window” and “Go To Next Window Group” functions.

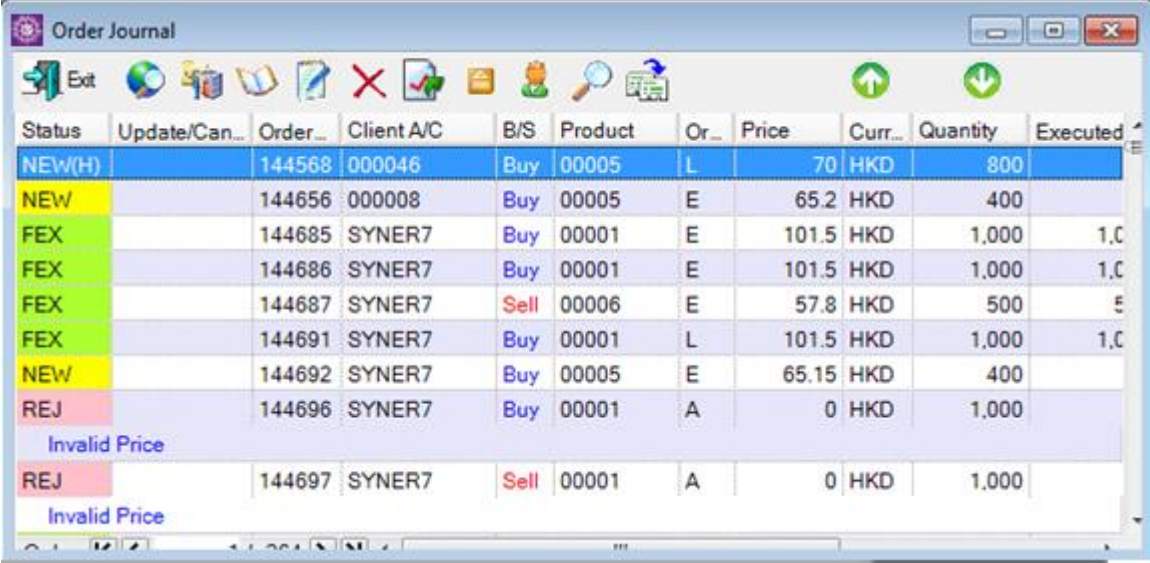
## 5 Order Processing

### 5.1 Order Journal

#### 5.1.1 Introduction














The order journal is a real time monitor screen of order status along with the order information. Any update on the order will be broadcast to the order journal. Multiple windows of order journal can be launched to monitor orders of different purpose.

To launch Order Journal, click “Order Input/ Enq. → Order Journal” menu item



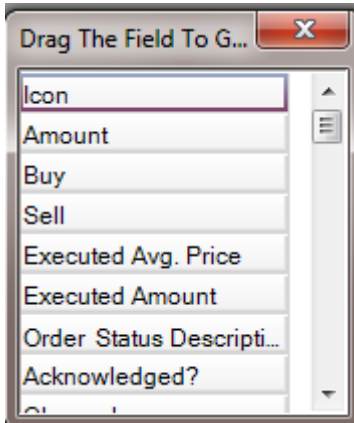
Status	Update/Can...	Order...	Client A/C	B/S	Product	Or...	Price	Curr...	Quantity	Executed
NEW(H)		144568	000046	Buy	00005	L	70	HKD	800	
NEW		144656	000008	Buy	00005	E	65.2	HKD	400	
FEX		144685	SYNER7	Buy	00001	E	101.5	HKD	1,000	1.0
FEX		144686	SYNER7	Buy	00001	E	101.5	HKD	1,000	1.0
FEX		144687	SYNER7	Sell	00006	E	57.8	HKD	500	5
FEX		144691	SYNER7	Buy	00001	L	101.5	HKD	1,000	1.0
NEW		144692	SYNER7	Buy	00005	E	65.15	HKD	400	
REJ		144696	SYNER7	Buy	00001	A	0	HKD	1,000	
Invalid Price										
REJ		144697	SYNER7	Sell	00001	A	0	HKD	1,000	
Invalid Price										

There are several buttons inside the order journal

Icon	Function	Description
	Exit	Close the current order journal
	Refresh	Request to refresh the orders' status in the order journal.
	Setting	Configure this order journal.
	Enquire Order	Enquire the detail information of currently selected order
	Update Order	Update the currently selected order
	Cancel Order	Cancel the currently selected order
	Acknowledge	Acknowledge the currently selected order is completed (i.e. Fully Filled, Cancelled or Rejected) and hide this order in the order journal.
	Release	Release the currently selected held order, so that the order will immediately be sent to the exchange for matching.
	Client Portfolio	Show the client portfolio of the currently selected order.
	Filter	To filter which field you want to concentrate to look at, multiple filter can be apply at the same time
	Copy	To copy any trade in the Order Journal, no restrictions apply.
	Always show top record	Always show top record
	Always show bottom record	Always show bottom record

### 5.1.2 Add/ Remove Columns

All the columns width and position can be adjusted by simply using drag-and-drop. Moreover, columns can be added/ removed by right-clicking the mouse button and select “Add/Remove columns” item of the popup menu. After that, all available columns will be shown in a window.



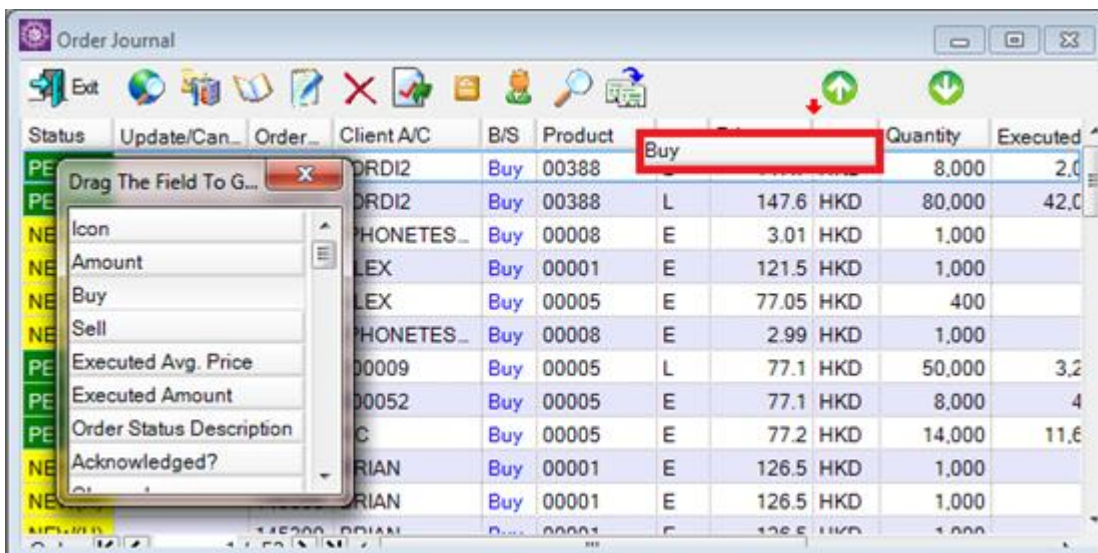
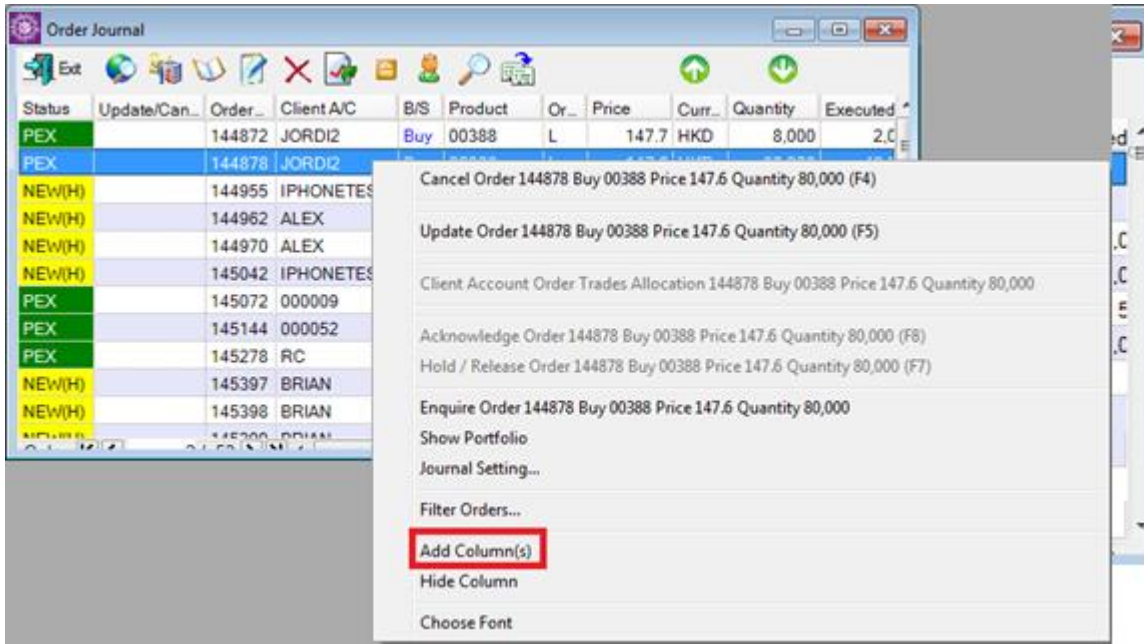
To add column, drag one field in the above window into the order journal.  
 To remove column, drag one column from the order journal into the above window.

Display Column	Description	Values
Status	The status code of the order	NEW – Pending NEW (H) – Pending (Order held in system) PRO – Processing WA – Waiting for approval Q – Queued at exchange REJ – Rejected PEX – Partially filled FEX – Fully executed CAN - Cancel
Update/Cancel	Show whether the order is being updated/cancelled	Update = Order is being updated Update(WA) = Order Update is waiting for approval Cancel = Order is being cancelled
Order No	The unique number for each order	
Client A/C	Client account code	
B/S	B = Buy, S = Sell	
Product	Stock code of the order	

Order Type (HK Stock)		L = Price Limit Order, E = Enhanced Limit Order S = Special Limit Order I = Auction Limit Order A = Auction Market Order
Price	Order price	
Currency	Currency of the orders	
Quantity	Order quantity	
Executed Qty	The quantity which has been executed/ filled	
O/S Qty	The outstanding quantity	
Rejected Qty	The rejected quantity	
Input User	The user who input the order	
Executed Time	The latest executed/filled time of an order	
Icons	One-click access to various system functions	
Amount	The order amount	
Buy	Buy quantity	
Sell	Sell quantity	
Executed Avg. Price	The average price of the executed quantity	
Executed Amount	The amount of the executed quantity	
Order Status Description	Order status in detail	
Acknowledge?	Show whether the order has been acknowledged	
Channel	Show which channel the order is input from	Default = Trade Station, TS – Trade Station, I = Internet, IVRS = IVRS MANGO = Mango M = Mobile
Dealer	Show which dealer has taken the order	
Approve User	The user who approved the order	
Last CR User	Last user that cancel or update order	
Last Update User	The user who last updated the order	
Last Update Time	The last updated time of order	
Acknowledge Time	Time when the order was acknowledged	
Order Expiry Date	Orders' "GTC" dates	



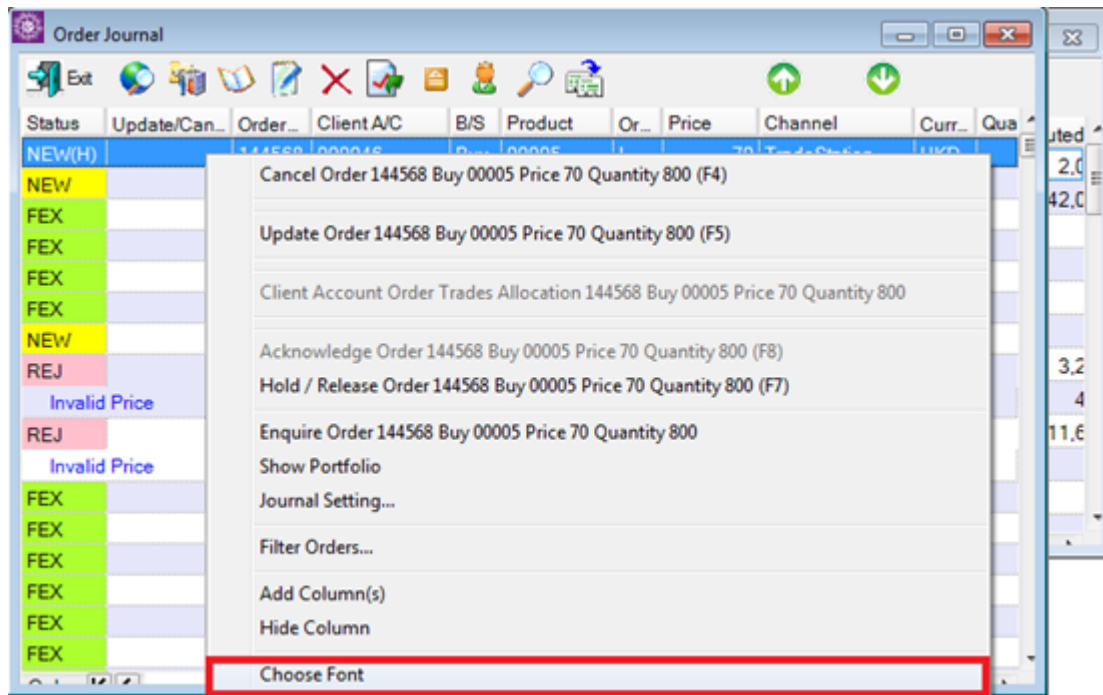
AE	Account executive of the order	
Client A/C Name	Client name	
Product Name	Stock name of the order	
Exchange	Exchange Code of the order	
Routing ID	Code of routing ID	
Broker Code	Code of the broker	
Exchange Order No.	Ref. No. from exchange	
Exchange Order Time	The time of the exchange order	
Exchange Device	The device connecting to exchange (OG or OAPI)	
Exchange Order Info	Information of the exchange order	
Update/Cancel Price	Show updated/cancelled price	
Update/Cancel QTY	Show updated/cancelled QTY	
Release Condition	Condition for releasing the orders on hold (only applicable to orders with status "Hold")	
OCO Trigger Price	Trigger price of the OCO orders	
Reference 1	Orders' reference 1	
Reference 2	Orders' reference 2	
Approve Reason	Reason why orders need approval	
No.	Sorting no. in order journal	
Auto-Notify Channels	Channel for auto-notifications	
Order Internal ID	The Internal ID of the order	
CD Order Id	The CD Order no.	
Settle	To settle the future contract (as illustrated in the picture below)	
Condition	Order type and release condition	
Trigger Price	Trigger price of the program trade orders	
Target Price	Stop limit price of the program trade orders	
Stop Price	Stop limit price of the program trade orders	
Trailing Stop Price	The trailing stop price	
Next Trailing Stop Price	The Next Trailing Stop Price of the Order	
Fee	Exchange fee	
Product Group	Product group of the order's product	
Contract Month/Date	Month/Date of the futures contracts	
Settlement Currency	The Settled Currency	



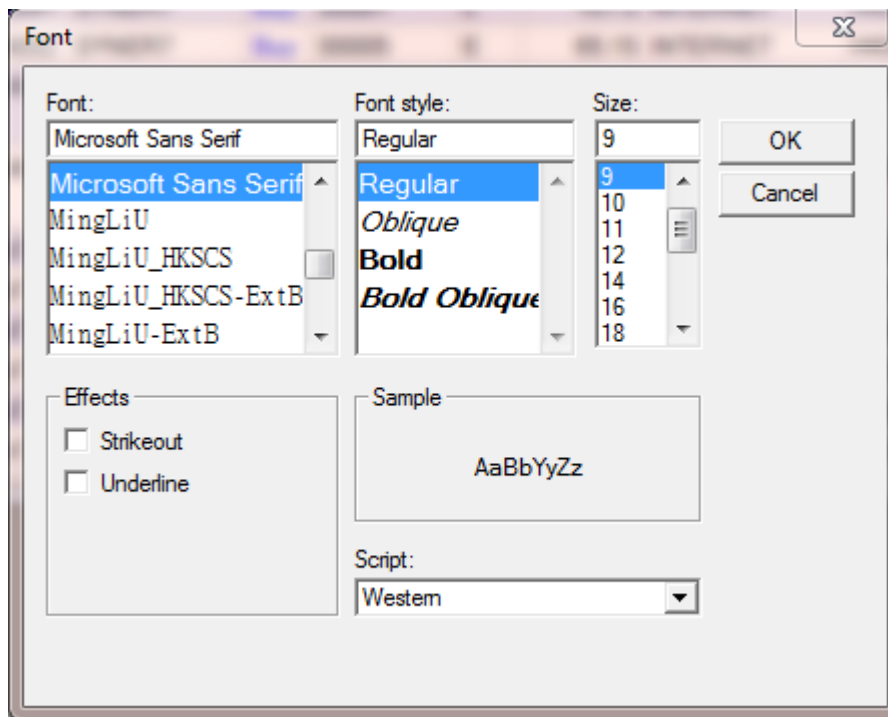
You can drag and drop any columns in the Order Journal Column.

### 5.1.3 Choose Font

Right click mouse button on “Order Journal” and select “Choose Font”



A Change Font window appears as the following picture.



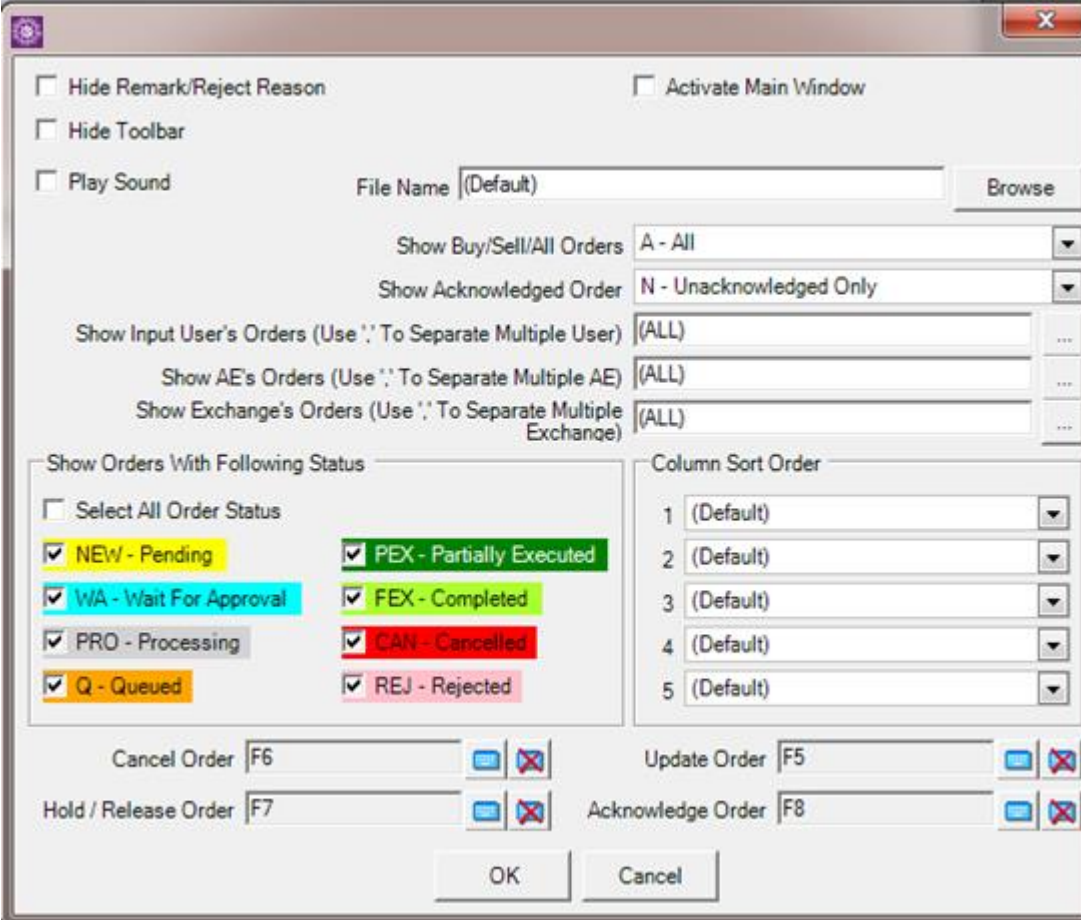
Click “OK” after changes.

Status	Update/...	Ord...	Client A/C	B/...	Product	O...	Price	Channel	Cu...	Qu...
NE...		144...	000046	B...	00005	L	70	TradeStati...	H...	
NEW		144...	000008	B...	00005	E	65.2	Mobile	H...	
FEX		144...	SYNER7	B...	00001	E	101.5	INTERNET	H...	
FEX		144...	SYNER7	B...	00001	E	101.5	INTERNET	H...	
FEX		144...	SYNER7	S...	00006	E	57.8	INTERNET	H...	
FEX		144...	SYNER7	B...	00001	L	101.5	INTERNET	H...	
NEW		144...	SYNER7	B...	00005	E	65.15	INTERNET	H...	
REJ		144...	SYNER7	B...	00001	A	0	INTERNET	H...	
Invalid Price										
REJ		144...	SYNER7	S...	00001	A	0	INTERNET	H...	
Invalid Price										
FEX		144...	BRIAN	B...	00005	L	65	TradeStati...	H...	
FEX		144...	8SEC	B...	00005	ML	69.1	TradeStati...	H...	
FEX		144...	8SEC	B...	00005	ML	69.1	TradeStati...	H...	

Click "Save Desktop Settings" to save "Order Journal" setting if needed.

### 5.1.4 Configure Order Journal

Click the “Setting” icon of the order journal in order to configure the order journal.



Hide Remark/Reject Reason       Activate Main Window  
 Hide Toolbar  
 Play Sound      File Name (Default)

Show Buy/Sell/All Orders: A - All  
 Show Acknowledged Order: N - Unacknowledged Only  
 Show Input User's Orders (Use ',' To Separate Multiple User): (ALL)  
 Show AE's Orders (Use ',' To Separate Multiple AE): (ALL)  
 Show Exchange's Orders (Use ',' To Separate Multiple Exchange): (ALL)

**Show Orders With Following Status**  
 Select All Order Status

<input checked="" type="checkbox"/> NEW - Pending	<input checked="" type="checkbox"/> PEX - Partially Executed
<input checked="" type="checkbox"/> WA - Wait For Approval	<input checked="" type="checkbox"/> FEX - Completed
<input checked="" type="checkbox"/> PRO - Processing	<input checked="" type="checkbox"/> CAN - Cancelled
<input checked="" type="checkbox"/> Q - Queued	<input checked="" type="checkbox"/> REJ - Rejected

**Column Sort Order**  
 1 (Default)  
 2 (Default)  
 3 (Default)  
 4 (Default)  
 5 (Default)

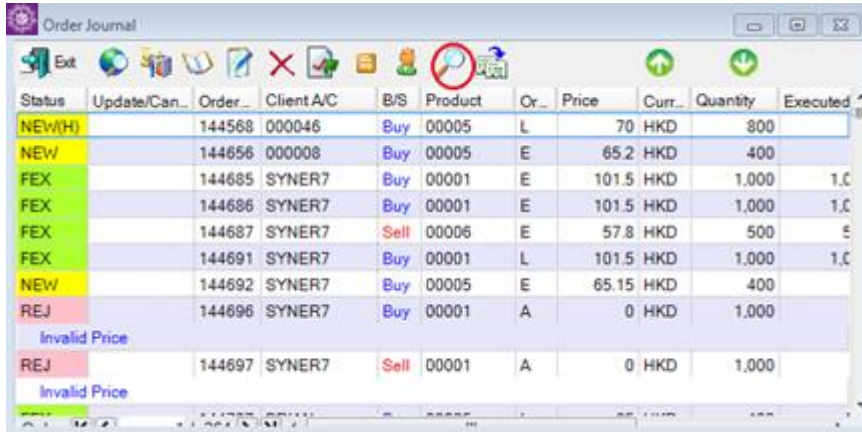
Cancel Order: F6    
 Update Order: F5    
 Hold / Release Order: F7    
 Acknowledge Order: F8



<b>Settings</b>	<b>Description</b>
Hide Remark/Reject Reason	Not show reject reason/remark
Activate Main Window	Minimized Order Journal window will be restore when new order is placed or order status has changed
Hide Toolbar	Hide the Toolbar on the top of the Order Journal
Play Sound	The system would play sound to alert when order is updated. Only support WAV sound format
Show Buy/Sell/All Orders	A – all orders B – buy order only S – sell order only
Show Acknowledged Order	N = show all unacknowledged orders (i.e. hide all acknowledged orders) Y = only show acknowledged orders A = show all orders
Show Exchange's Orders	Only show orders of a specified Exchange. Leave blank if wants to show all Exchange's orders
Show Orders With Following Status	Check the order status box to select which order status to be shown
Column Sort Order	Set order sort sequence in order journal
Set shortcut keys (In red rectangle area)	Set shortcut keys for different functions of order journal

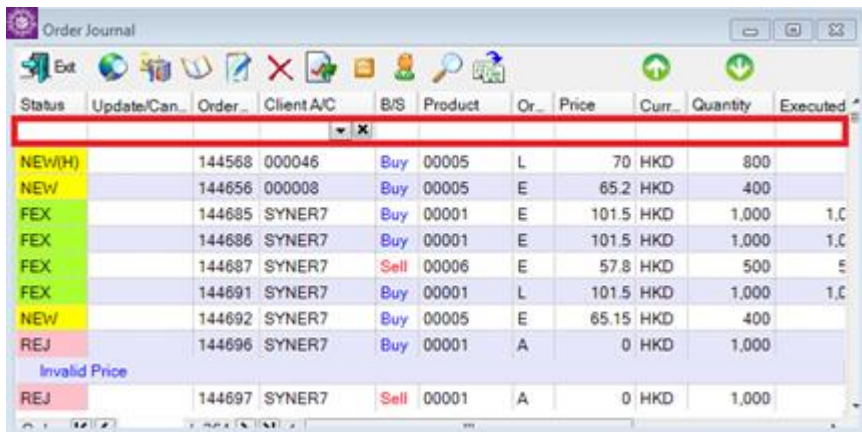
### 5.1.5 Filter in Order Journal

Orders can be filtered in the order journal.  
 Click the “Filter Orders...” button.



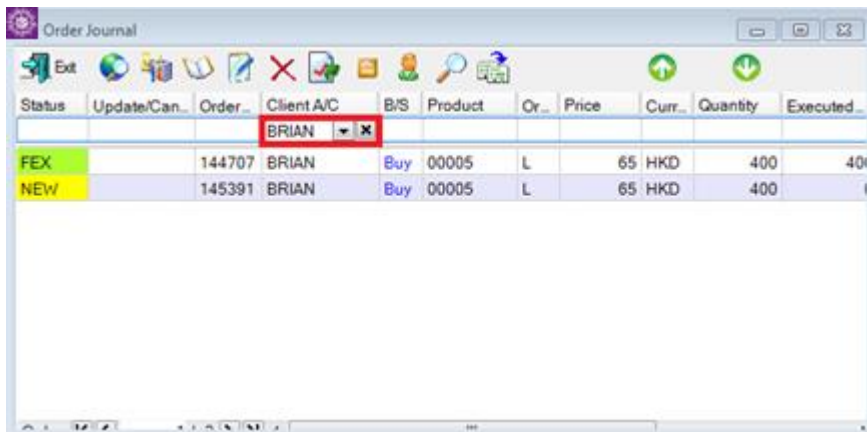
Status	Update/Can...	Order...	Client A/C	B/S	Product	Or...	Price	Curr...	Quantity	Executed
NEW(H)		144568	000046	Buy	00005	L	70	HKD	800	
NEW		144656	000008	Buy	00005	E	65.2	HKD	400	
FEX		144685	SYNER7	Buy	00001	E	101.5	HKD	1,000	1.0
FEX		144686	SYNER7	Buy	00001	E	101.5	HKD	1,000	1.0
FEX		144687	SYNER7	Sell	00006	E	57.8	HKD	500	5
FEX		144691	SYNER7	Buy	00001	L	101.5	HKD	1,000	1.0
NEW		144692	SYNER7	Buy	00005	E	65.15	HKD	400	
REJ		144696	SYNER7	Buy	00001	A	0	HKD	1,000	
Invalid Price										
REJ		144697	SYNER7	Sell	00001	A	0	HKD	1,000	
Invalid Price										

The filter row will appear as the first row of the order journal. Select the desired criteria and input the criteria to filter the orders in the order journal. E.g. Client A/C:



Status	Update/Can...	Order...	Client A/C	B/S	Product	Or...	Price	Curr...	Quantity	Executed
▼ X										
NEW(H)		144568	000046	Buy	00005	L	70	HKD	800	
NEW		144656	000008	Buy	00005	E	65.2	HKD	400	
FEX		144685	SYNER7	Buy	00001	E	101.5	HKD	1,000	1.0
FEX		144686	SYNER7	Buy	00001	E	101.5	HKD	1,000	1.0
FEX		144687	SYNER7	Sell	00006	E	57.8	HKD	500	5
FEX		144691	SYNER7	Buy	00001	L	101.5	HKD	1,000	1.0
NEW		144692	SYNER7	Buy	00005	E	65.15	HKD	400	
REJ		144696	SYNER7	Buy	00001	A	0	HKD	1,000	
Invalid Price										
REJ		144697	SYNER7	Sell	00001	A	0	HKD	1,000	

The order journal will show the filtered orders only.



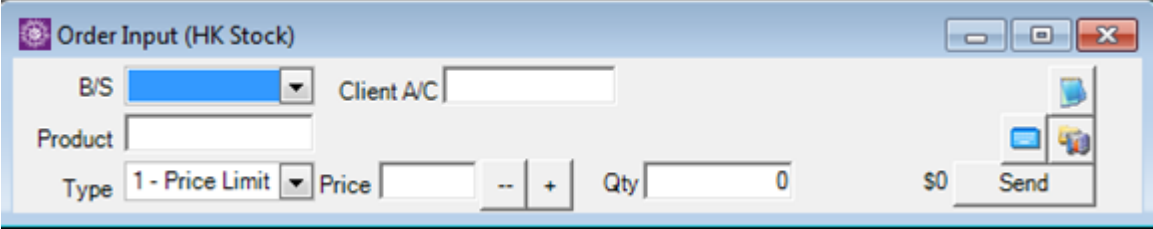
Status	Update/Can...	Order...	Client A/C	B/S	Product	Or...	Price	Curr...	Quantity	Executed
BRIAN ▼ X										
FEX		144707	BRIAN	Buy	00005	L	65	HKD	400	400
NEW		145391	BRIAN	Buy	00005	L	65	HKD	400	

## 5.2 Input Order

There are several layouts to input orders into DTP

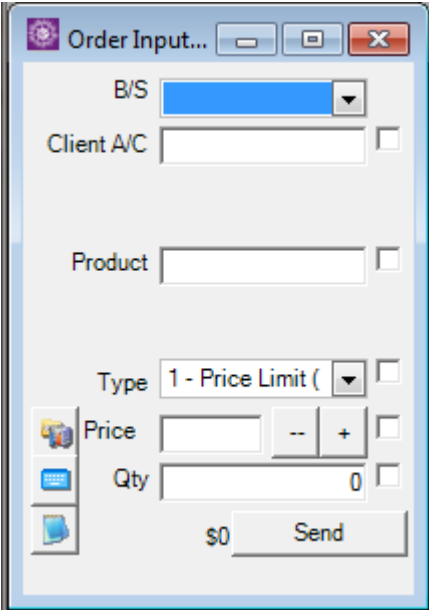
### 5.2.1 Using Order Input Screen (Equity)

Click “Order Input/Enq.” → “Order Input (HK Stock)” in the Main Menu.



HK Stock, Layout 1

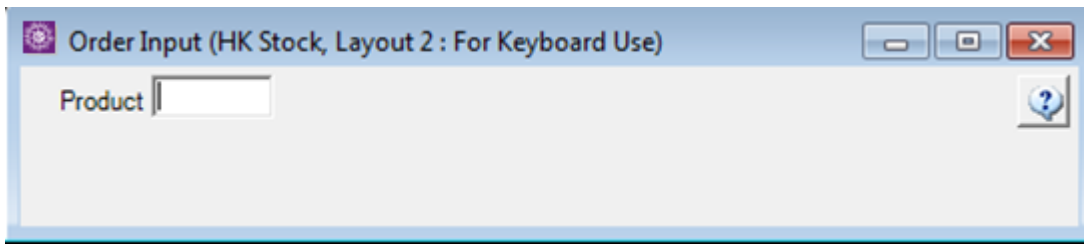
Click “Order Input/Enq.” → “Order Input (HK Stock, Layout 1)”



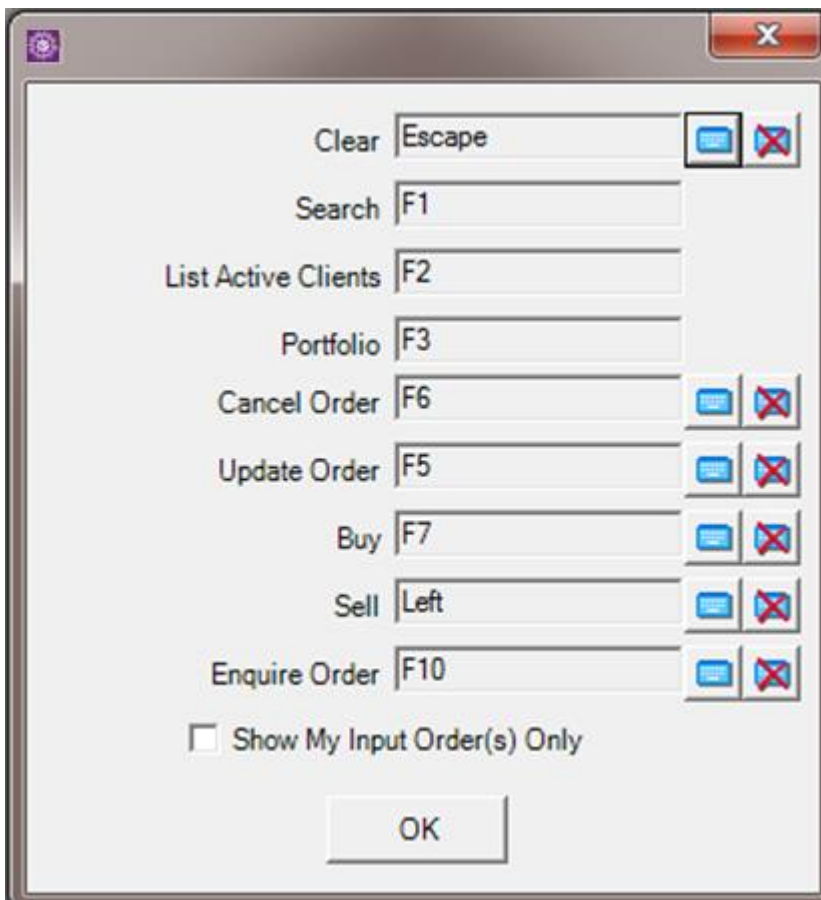
The check box allows you to lock the inputted field. Inputted field will retain on the next order if checked.




## HK Stock, Layout 2: For Keyboard Use

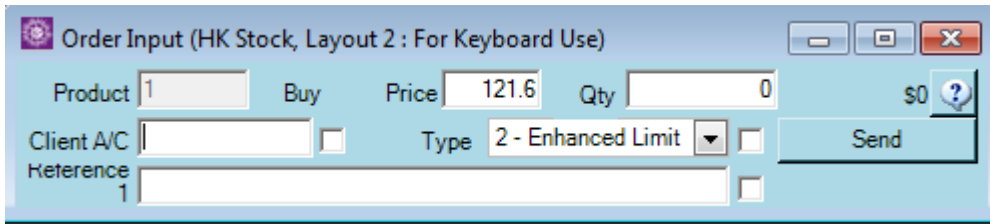


On the “product” field, input product code, then press “?”, a window will be shown –see next page.

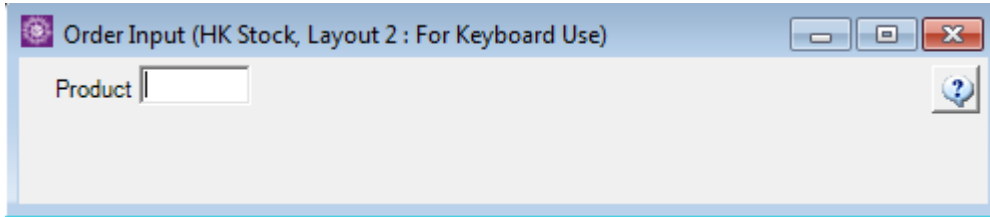


To change Shortcut key, click  and press a desire key as a Shortcut Key.

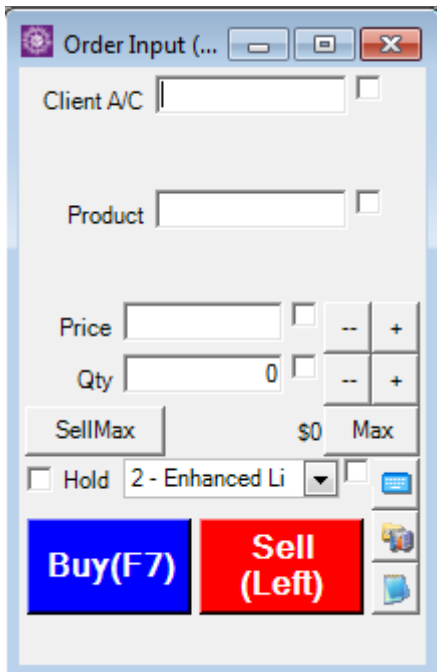
i.e. Input product code and then press F7, the next screen will appear as follow:



If you want to change order, you can press “Esc” return to the initial window.

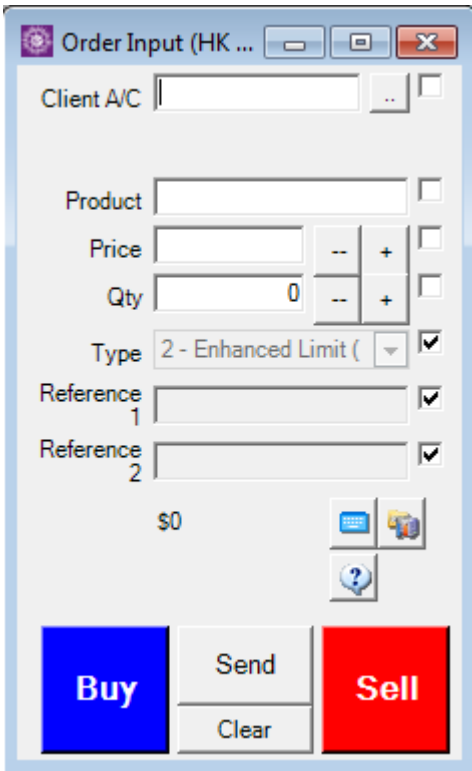


HK Stock, Layout 3



User can press “Max” to calculate the maximum quantity of one particular product that the appointed client can buy.

## HK Stock, Layout 4



Order Input (HK ...)

Client A/C

Product

Price  -- +


Qty  -- +

Type 2 - Enhanced Limit (  ) ✓

Reference 1  ✓

Reference 2  ✓

\$0

Press the  icon to setup shortcut keys. The shortcut key setup page can set for a 59-keys keyboard and MWS keyboard to be used in Order Input Layout 4.

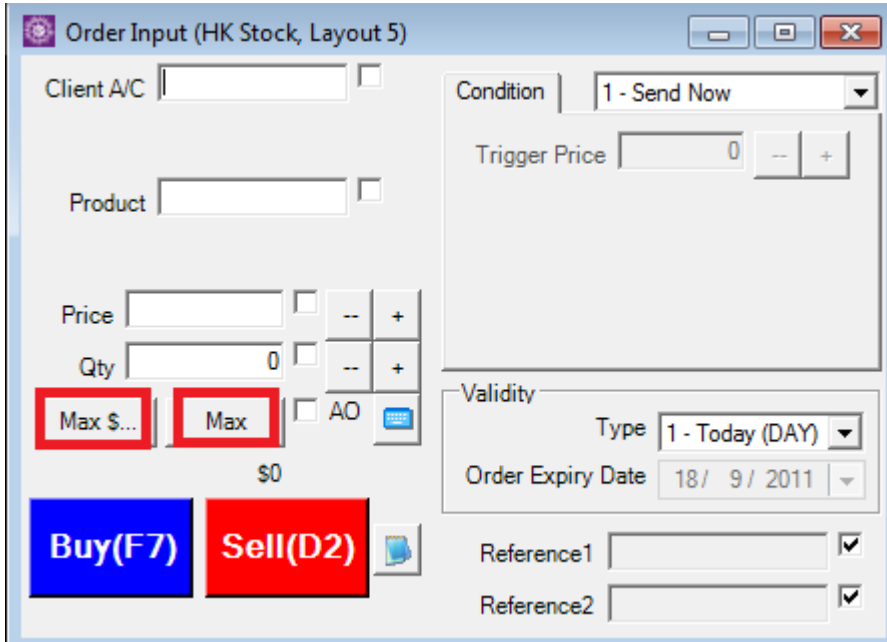


Use This Shortcut(s) Instead Of User Level Shortcut(s)

Clear	Escape	<input type="button" value="Clear"/>	<input type="button" value="X"/>	Price Up	None	<input type="button" value="Price Up"/>	<input type="button" value="X"/>	Go To Client	None	<input type="button" value="Go To Client"/>	<input type="button" value="X"/>
Search	F1	<input type="button" value="Search"/>	<input type="button" value="X"/>	Price Down	None	<input type="button" value="Price Down"/>	<input type="button" value="X"/>	Go To Product	None	<input type="button" value="Go To Product"/>	<input type="button" value="X"/>
List Active Clients	F2	<input type="button" value="List Active Clients"/>	<input type="button" value="X"/>	Qty Up	None	<input type="button" value="Qty Up"/>	<input type="button" value="X"/>	Go To Price	None	<input type="button" value="Go To Price"/>	<input type="button" value="X"/>
Portfolio	F3	<input type="button" value="Portfolio"/>	<input type="button" value="X"/>	Qty Down	None	<input type="button" value="Qty Down"/>	<input type="button" value="X"/>	Go To Qty	None	<input type="button" value="Go To Qty"/>	<input type="button" value="X"/>
Buy	F7	<input type="button" value="Buy"/>	<input type="button" value="X"/>	10M	None	<input type="button" value="10M"/>	<input type="button" value="X"/>	Go To Order Type	None	<input type="button" value="Go To Order Type"/>	<input type="button" value="X"/>
Sell	Left	<input type="button" value="Sell"/>	<input type="button" value="X"/>	AO	None	<input type="button" value="AO"/>	<input type="button" value="X"/>	Lock/Unlock Client	None	<input type="button" value="Lock/Unlock Client"/>	<input type="button" value="X"/>
Sell Portfolio	None	<input type="button" value="Sell Portfolio"/>	<input type="button" value="X"/>	Hold Last Own Order	None	<input type="button" value="Hold Last Own Order"/>	<input type="button" value="X"/>	Lock/Unlock Product	None	<input type="button" value="Lock/Unlock Product"/>	<input type="button" value="X"/>
Buy Market	None	<input type="button" value="Buy Market"/>	<input type="button" value="X"/>	Release Last Own Order	None	<input type="button" value="Release Last Own Order"/>	<input type="button" value="X"/>	Lock/Unlock Price	None	<input type="button" value="Lock/Unlock Price"/>	<input type="button" value="X"/>
Buy Best Bid	None	<input type="button" value="Buy Best Bid"/>	<input type="button" value="X"/>	Enquire Order	F10	<input type="button" value="Enquire Order"/>	<input type="button" value="X"/>	Lock/Unlock Qty	None	<input type="button" value="Lock/Unlock Qty"/>	<input type="button" value="X"/>
Sell Market	None	<input type="button" value="Sell Market"/>	<input type="button" value="X"/>	Cancel All Own Orders	None	<input type="button" value="Cancel All Own Orders"/>	<input type="button" value="X"/>	Lock/Unlock Type	None	<input type="button" value="Lock/Unlock Type"/>	<input type="button" value="X"/>
Sell Best Ask	None	<input type="button" value="Sell Best Ask"/>	<input type="button" value="X"/>	Send	None	<input type="button" value="Send"/>	<input type="button" value="X"/>	Clear Current Field Value	None	<input type="button" value="Clear Current Field Value"/>	<input type="button" value="X"/>

Move Cursor When Buy/Sell Pressed

## HK Stock, Layout 5



Order Input (HK Stock, Layout 5)

Client A/C

Product

Price  -- +

Qty  0 -- +

**Max \$...** **Max**  AO

\$0

**Buy(F7)** **Sell(D2)**

Condition 1 - Send Now

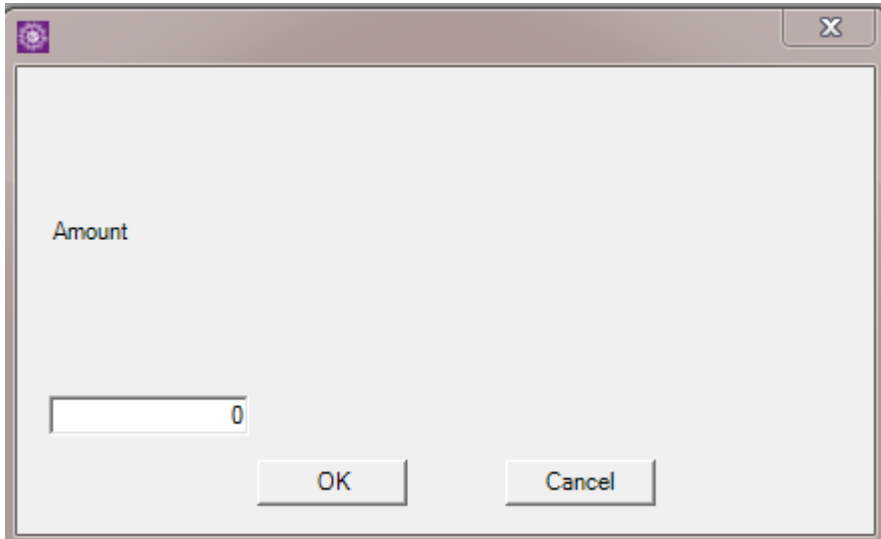
Trigger Price  0 -- +

Validity Type 1 - Today (DAY)

Order Expiry Date 18 / 9 / 2011

Reference1

Reference2



Amount

0

OK Cancel

With the product and price inserted, the “Max\$” button allow calculation of the maximum quantity that can be bought.

Result will be generated after inputting the amount in the blank and press “OK”.

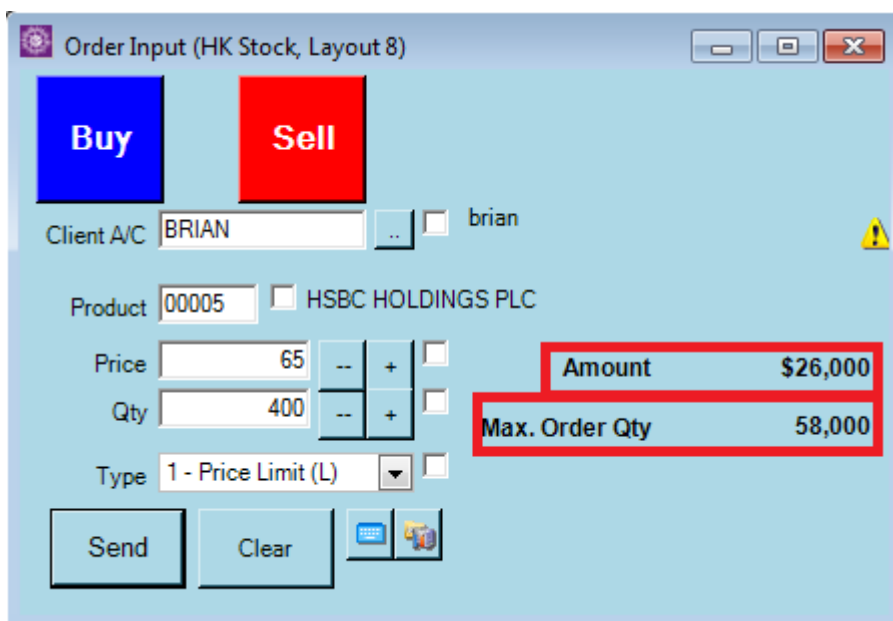
If “AO” is checked, the order type will then change to “At Auction Market” Order. If unchecked, the order type will inherit the setting from “Default Order Type” in “Setup → Exchange”.

## HK Stock, Layout 7



Unless you press 'TAB', cursor in this layout stays in the field 'Product' with market price auto-filled after pressing 'ENTER'. This makes price-checking more convenient.

## HK Stock, Layout 8



The first special function is the same as layout 7. Cursor stays in the 'Product' field unless pressing 'TAB'.

Second, after choosing BUY, inputting client and product, maximum order quantity is automatically calculated.

“Order Input” fields are list below:

<b>Field</b>	<b>Description</b>
Buy/Sell	“1”=Buy or “3”=Sell
Client A/C	Input Client a/c, or you can use shortcut key to input, see Section “Create shortcut key input for client account” below, or you can press “F1” to search
Product	Stock Code, or you can press “F1” to search
Order Type	“1”=Price Limit “2”=Enhanced Limit “3”=Special Limit “7”=At-Auction Limit “8”=At-Auction Market
Price	“+” = add one spread, “-” = subtract one spread
Quantity	“+” = add one lot, “-” = subtract one lot

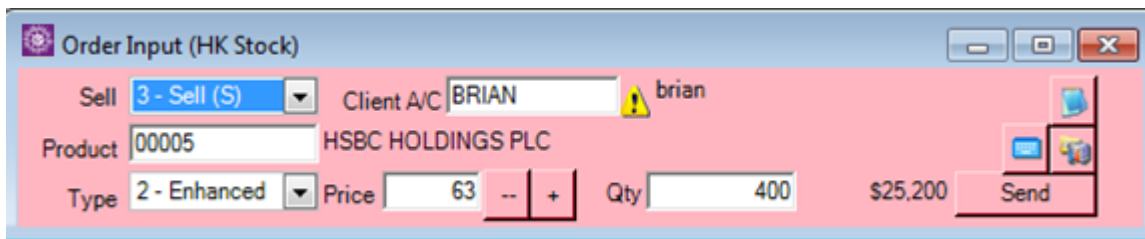
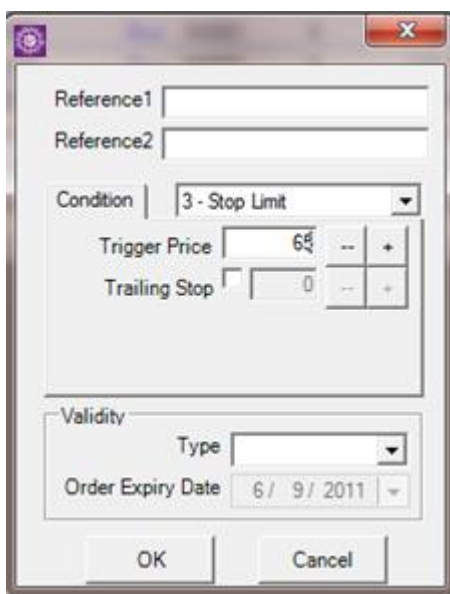
After filled in all the information, click “Send” button to send the order. The order will be checked against with the credit limit policy before submitting to Exchange.

## 5.2.2 Program Trade

Sun International Securities Download Trading Platform (DTP) supports program trade by introducing “hold” order function. A “hold” order is an order that pended in the system server and will only be released to exchange under fulfilling specific condition. Click on the Adv. Setting button to setup release condition:

1. If select “Send Now”, the order will be sent to market.
2. If select “Hold”, the order will be kept in the system. You can release the hold order anytime by clicking on the “Hold/Release” button in order journal.
3. “Stop Limit”

E.g. Place a stop loss order: If the nominal price is 65.5, when the nominal price drops to the trigger price \$65, the server will place a sell order at \$63 with 400 quantity of Product 5.

Chose “Stop Limit” and input “65” in the trigger price. Press OK. (As above picture)

Order Input (HK Stock)

Sell 3 - Sell (S) Client A/C BRIAN brian

Product 00005 HSBC HOLDINGS PLC SL <=65

Type 2 - Enhanced Price 63 Qty 400 \$25,200 Send

Order Journal

Status	Release	Condit..	Update/Can...	Order...	Client A/C	B/S	Product	Or...	Price	Curr...	Qu
FEX				145393	SYNER8	Sell	01398	L	5.21	HKD	
FEX				145394	SYNER8	Sell	01398	L	5.1575	HKD	
NEW				14539...	LL1	Buy	00005	ML	70	HKD	
FEX				145396	3	Buy	87001	L	66	CNY	
NEW(H)	SL >=125			145397	BRIAN	Buy	00001	E	126.5	HKD	
NEW(H)	SL >=125			145398	BRIAN	Buy	00001	E	126.5	HKD	
NEW(H)	SL >=125			145399	BRIAN	Buy	00001	E	126.5	HKD	
NEW				145400	8SEC	Buy	MSFT	PL	26.18	USD	
NEW				145401	AASTOCKA...	Buy	00005	E	65.25	HKD	
NEW				145402	AASTOCKA...	Buy	00005	E	65.25	HKD	
NEW(H)	SL <=65			145403	BRIAN	Sell	00005	E	63	HKD	

The order will display in order journal as shown above

When the Nominal Price = Trigger Price, the server will release the order to the market.



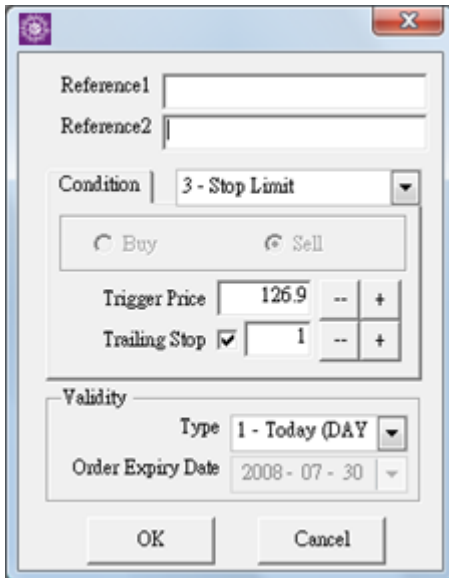
You can release the (hold) order anytime by highlight the order you wish to release and click on the above circled icon.



## “Trailing Stop”

If you want to use the enhanced stop limit order with trailing stop function, all you have to do after input the Trigger Price, just tick the “Trailing Stop” check box and input the trailing unit.

E.g. Input “1” in the “Trailing Stop” field means if nominal raised by 1 dollar, then the trigger price and initial order price will also rise by 1 dollar. Please be reminded that this will be applied “ONLY” when the nominal rises.



Reference1

Reference2

Condition | 3 - Stop Limit

Buy  Sell

Trigger Price  -- +

Trailing Stop   -- +

Validity

Type 1 - Today (DAY)

Order Expiry Date 2008 - 07 - 30

OK Cancel



Order Input (HK Stock)

Sell 3 - Sell (\$) Client A/C DEN den kwan

Product 00001 CHEUNG KONG SL <=126.9 (P>=0,+1)

Type 2 - Enhanced Limit Price 126.5 Qty 1,000 \$126,500 Send

When the Nominal Price = Trigger Price, the server will release the order to the market.

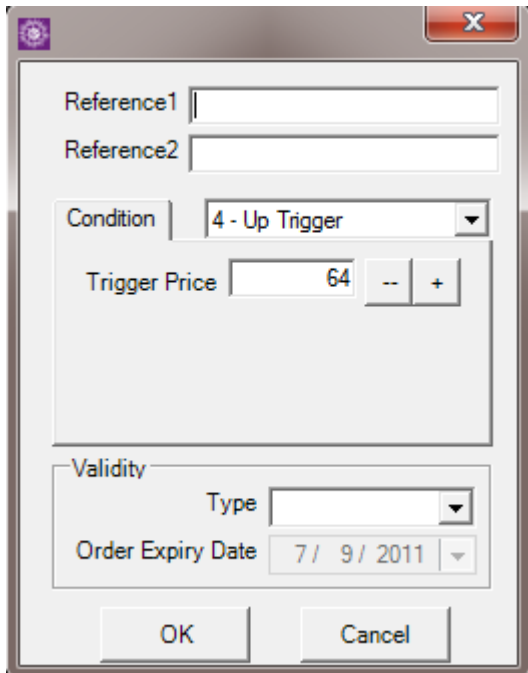


You can release the (hold) order anytime by highlight the order you wish to release and click on the above circled icon.

#### 4. “Up Trigger”

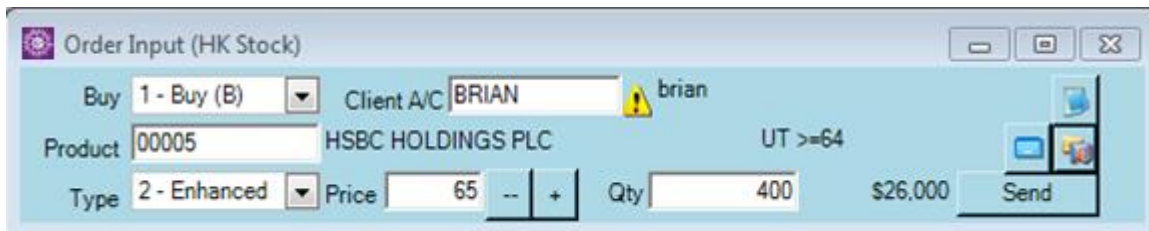
Release condition: When nominal rise and reach the up trigger price:

E.g. If nominal is at \$63 and nominal reach the up trigger price \$64, An order at \$65 with quantity 400 of Product 5 will then be released to the market.



A dialog box with the following fields:

- Reference1:
- Reference2:
- Condition: 4 - Up Trigger (dropdown)
- Trigger Price: 64 (input field with -- and + buttons)
- Validity:
  - Type: (dropdown)
  - Order Expiry Date: 7 / 9 / 2011 (dropdown)
- Buttons: OK, Cancel



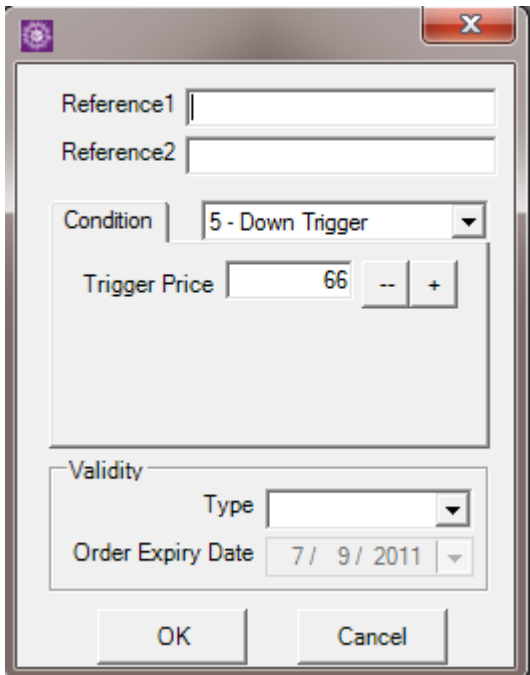
Order Input (HK Stock) window showing order details:

- Buy: 1 - Buy (B) (dropdown)
- Client A/C: BRIAN (input field)
- Product: 00005 (input field)
- HSBC HOLDINGS PLC (text)
- UT >=64 (text)
- Type: 2 - Enhanced (dropdown)
- Price: 65 (input field with -- and + buttons)
- Qty: 400 (input field)
- \$26,000 (text)
- Buttons: Send

## 5. "Down Trigger"

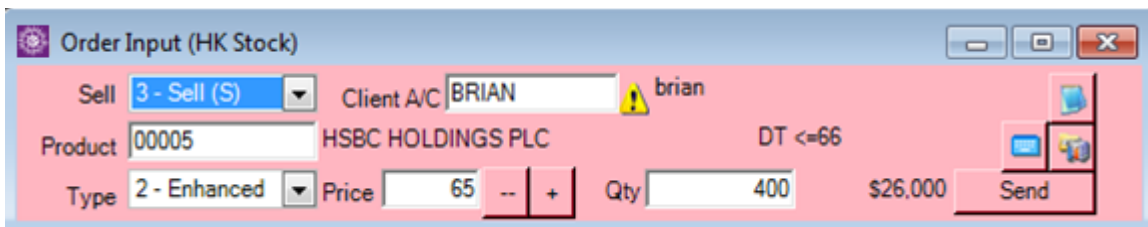
Release condition: When nominal drop and reach the down trigger price:

E.g. If nominal is at \$67 and nominal reach the down trigger price \$66, An order at \$65 with quantity 400 of Product 5 will then be released to the market.



A dialog box for configuring a 'Down Trigger' condition. It includes the following fields:

- Reference1: [Empty text box]
- Reference2: [Empty text box]
- Condition: 5 - Down Trigger (dropdown menu)
- Trigger Price: 66 (text box with -- and + buttons)
- Validity:
  - Type: [Empty dropdown menu]
  - Order Expiry Date: 7 / 9 / 2011 (calendar icon)
- Buttons: OK, Cancel

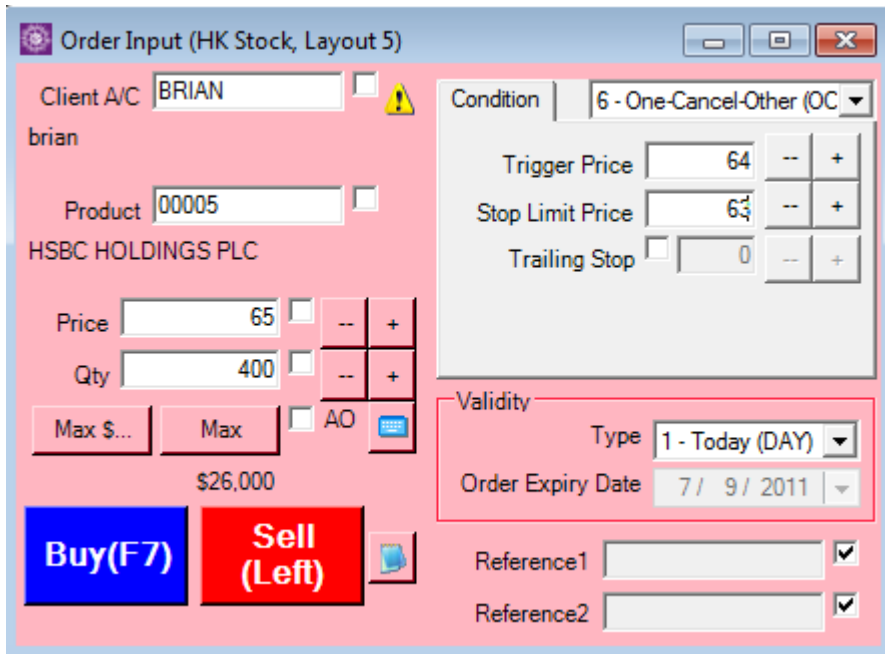


An 'Order Input (HK Stock)' window showing the following details:

- Sell: 3 - Sell (S) (dropdown menu)
- Client A/C: BRIAN (text box with warning icon) brian
- Product: 00005 (text box) HSBC HOLDINGS PLC
- DT: <=66 (text box)
- Type: 2 - Enhanced (dropdown menu)
- Price: 65 (text box with -- and + buttons)
- Qty: 400 (text box)
- Value: \$26,000 (text box)
- Buttons: Send, and other utility icons

## 6. "OCO" One Cancel Other

E.g. Place an "OCO" order of selling QTY 400 of product: 5, at price \$65. If nominal has fallen to the trigger Price \$64. Then sell the product: 5 at \$63. The image below shows how it is configured:



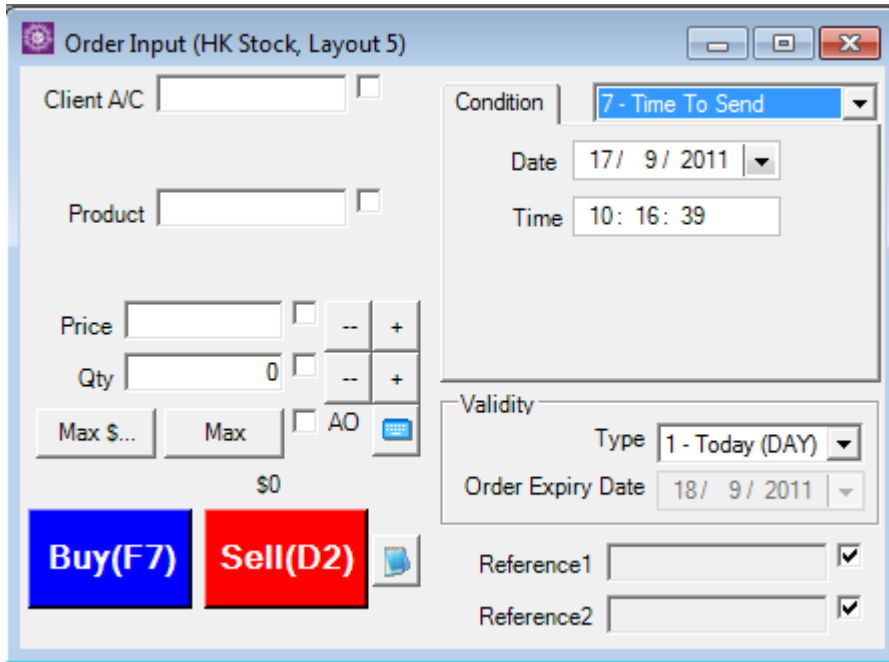
The screenshot shows the "Order Input (HK Stock, Layout 5)" window. The client account is "BRIAN" and the product is "00005" (HSBC HOLDINGS PLC). The order is configured as follows:

- Condition:** 6 - One-Cancel-Other (OC)
- Trigger Price:** 64
- Stop Limit Price:** 63
- Trailing Stop:** 0
- Validity Type:** 1 - Today (DAY)
- Order Expiry Date:** 7 / 9 / 2011
- Price:** 65
- Qty:** 400
- Max \$...:** \$26,000
- Buttons:** Buy (F7) and Sell (Left)

If nominal has reached \$64, then the initial sell order of \$65 will be automatically cancelled by system and place a new order with a selling price \$63.

## 7. "Time to Send"

To set the date/time to send the order to market, follow the below steps:



Order Input (HK Stock, Layout 5)

Client A/C

Product

Price  -- +

Qty  0 -- +

Max \$... Max  AO

\$0

Buy(F7) Sell(D2)

Condition **7 - Time To Send**

Date 17/ 9/ 2011

Time 10: 16: 39

Validity

Type 1 - Today (DAY)

Order Expiry Date 18/ 9/ 2011

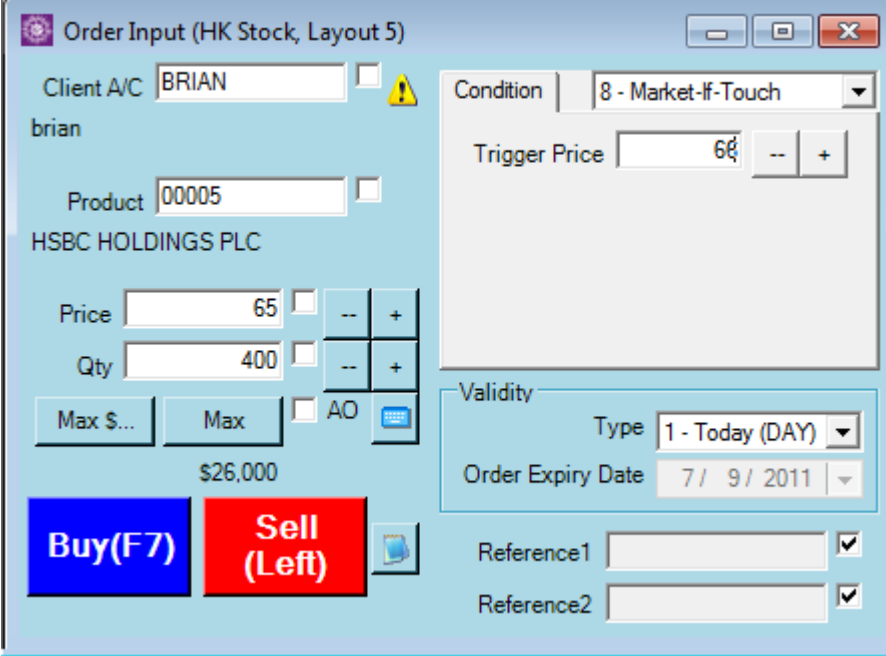
Reference1

Reference2

Input the date and time to send, the order will then be kept in the system until the input date/time (unless manually released).

## 8. "Market If Touch

"MIT" does not consider whether the nominal rises or falls, once the nominal reaches the trigger price, the order will be released to market.

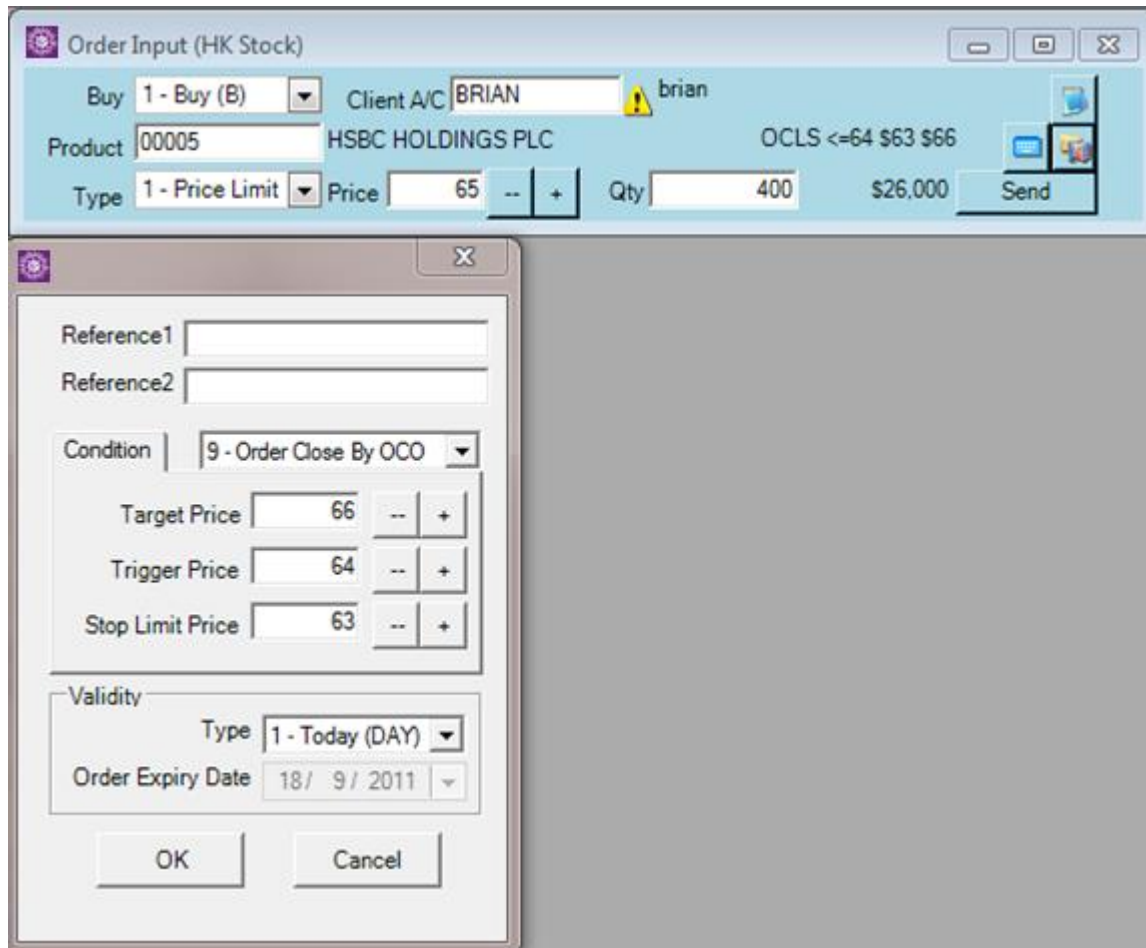


The screenshot shows the "Order Input (HK Stock, Layout 5)" window. The client account is "BRIAN" (brian) and the product is "00005" (HSBC HOLDINGS PLC). The order is set to "Condition 8 - Market-If-Touch" with a "Trigger Price" of 66. The current price is 65 and the quantity is 400, resulting in a total value of \$26,000. The validity type is "1 - Today (DAY)" and the order expiry date is "7 / 9 / 2011". There are checkboxes for "Reference1" and "Reference2", both of which are checked. The window includes "Buy(F7)" and "Sell (Left)" buttons.

Client A/C	BRIAN	Condition	8 - Market-If-Touch
Product	00005	Trigger Price	66
Price	65	Validity Type	1 - Today (DAY)
Qty	400	Order Expiry Date	7 / 9 / 2011
Max \$...	Max	Reference1	<input checked="" type="checkbox"/>
	\$26,000	Reference2	<input checked="" type="checkbox"/>

## 9. "Order Closed by OCO"

E.g. A buy order price \$65 with target price \$66, trigger price \$64 and stop limit price \$63. When the buy order is fully executed, system will generate a sell stop profit order at \$66. If nominal meets the trigger price \$64, system will cancel the stop profit order \$66 and generate a sell stop loss order \$63.



The screenshot displays the "Order Input (HK Stock)" window with the following details:

- Buy:** 1 - Buy (B)
- Client A/C:** BRIAN (brian)
- Product:** 00005 HSBC HOLDINGS PLC
- OCLS:** <=64 \$63 \$66
- Type:** 1 - Price Limit
- Price:** 65
- Qty:** 400
- Value:** \$26,000
- Send:** Button

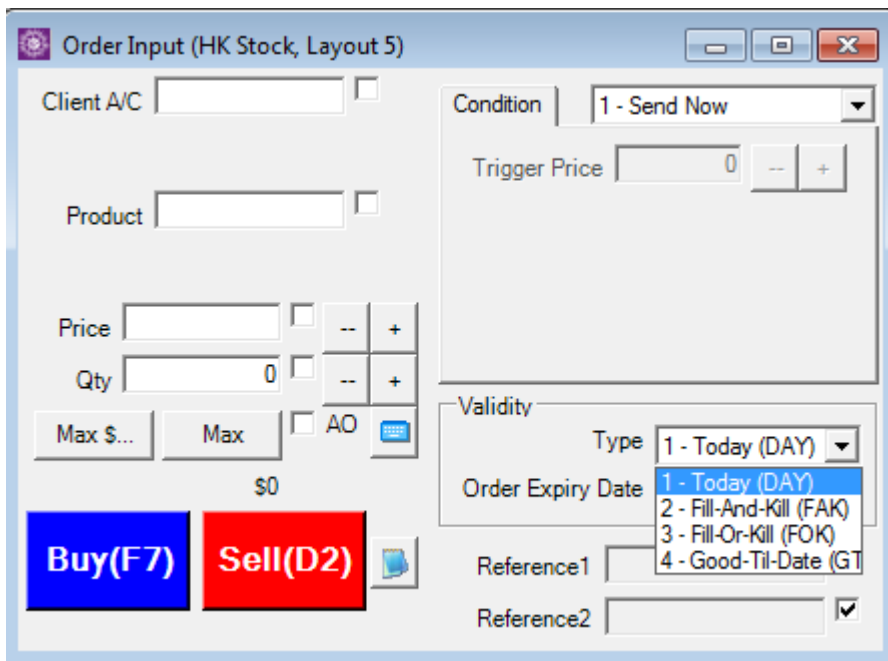
An "OCO" (Order Close by Order) dialog box is open, showing the following configuration:

- Reference1:** (Empty)
- Reference2:** (Empty)
- Condition:** 9 - Order Close By OCO
- Target Price:** 66
- Trigger Price:** 64
- Stop Limit Price:** 63
- Validity Type:** 1 - Today (DAY)
- Order Expiry Date:** 18/ 9/ 2011
- Buttons:** OK, Cancel

## Set order validity

Select validity type from the drop down menu. The 4 validity types are explained below:

Validity Types	Description
1 - Today (DAY)	If the order is not filled or executed by the end of day, the order will be cancelled.
2 - FAK = Fill and Kill	The order is executed to the extent possible; the remaining (if any) is cancelled. (Applied to HK Futures only)
3 - FOK = Fill or Kill	The order must either be filled in full, or cancelled. (Applied to HK Futures only)
4 - Good Till Day (GTD)	GTD order is an order to buy or sell valid to the input day or the trade is executed. (System can keep orders for 7 calendar days)



Order Input (HK Stock, Layout 5)

Client A/C

Product

Price   -- +

Qty  0  -- +

Max \$...  Max  AO

\$0

Buy(F7) Sell(D2)

Condition 1 - Send Now

Trigger Price  0 -- +

Validity Type 1 - Today (DAY)

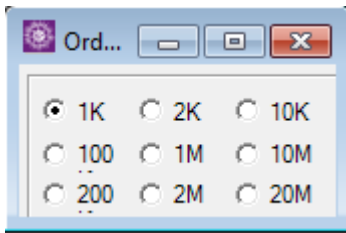
Order Expiry Date 1 - Today (DAY)

Reference1

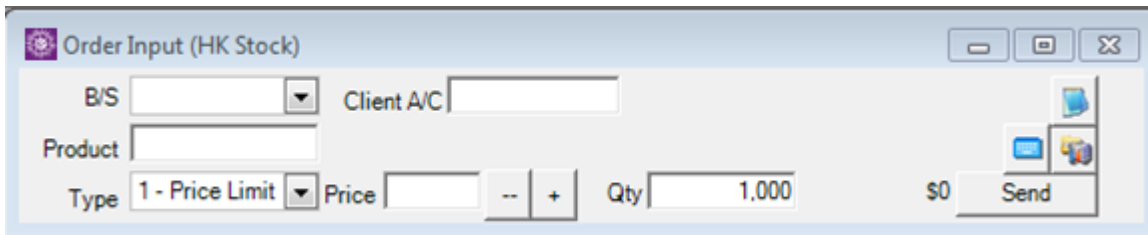
Reference2



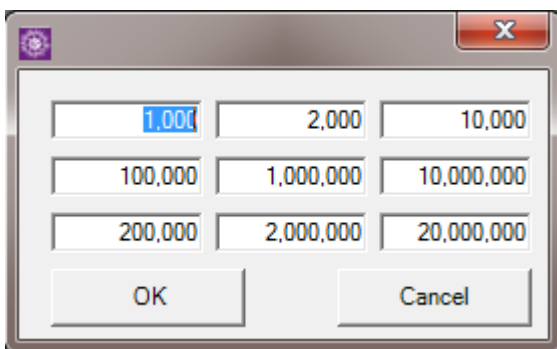
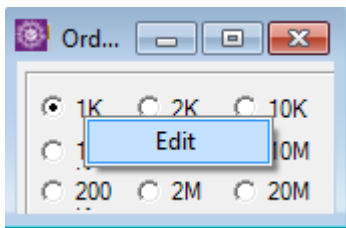
### 5.2.3 Order Input QTY Selector




When order input QTY selector is open, the next opened order input quantity would default to the selected quantity.






Right click on the input QTY selector window can edit the quantity value.

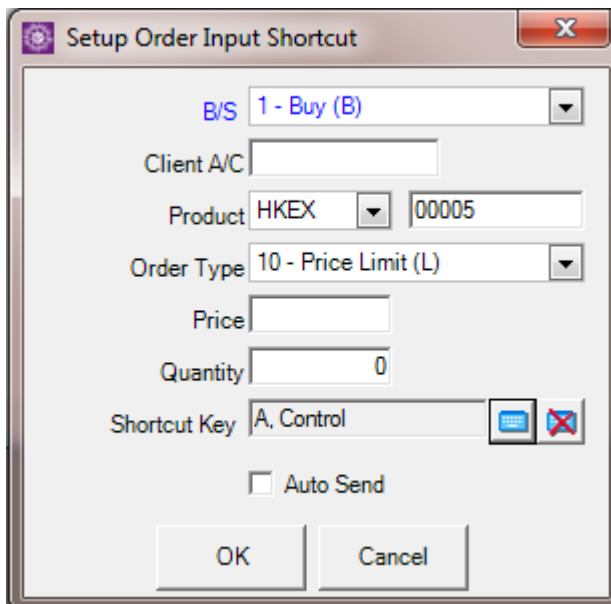


## 5.2.4 Order Shortcut key

You can also place order using shortcut keystroke. To define shortcut key, click on menu “Order Input/ Enq.” → “Order input Shortcuts” or click  in Order input.



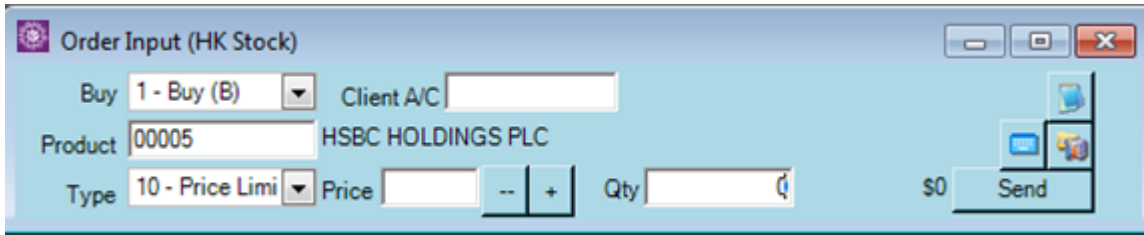
To create new shortcut, click  icon. To edit existing shortcut, double-click on the shortcut key record or click . Click  icon to delete shortcut key.



Input the information you want the shortcut key represents. E.g. To set shortcut key “Ctrl+A” represents “Buy 00005 using limit order type”, you can setup like the one shown in the above screen. Click “OK” to save the shortcut key.

After defining the shortcut key, you are ready for using the shortcut key in the “Order Input Screen”.

E.g. To buy 00005 using limit order type, press shortcut key “Ctrl+A”, the “Order Input Screen” will be shown and all the predefined information will be auto-filled.



Order Input (HK Stock)

Buy 1 - Buy (B) Client A/C

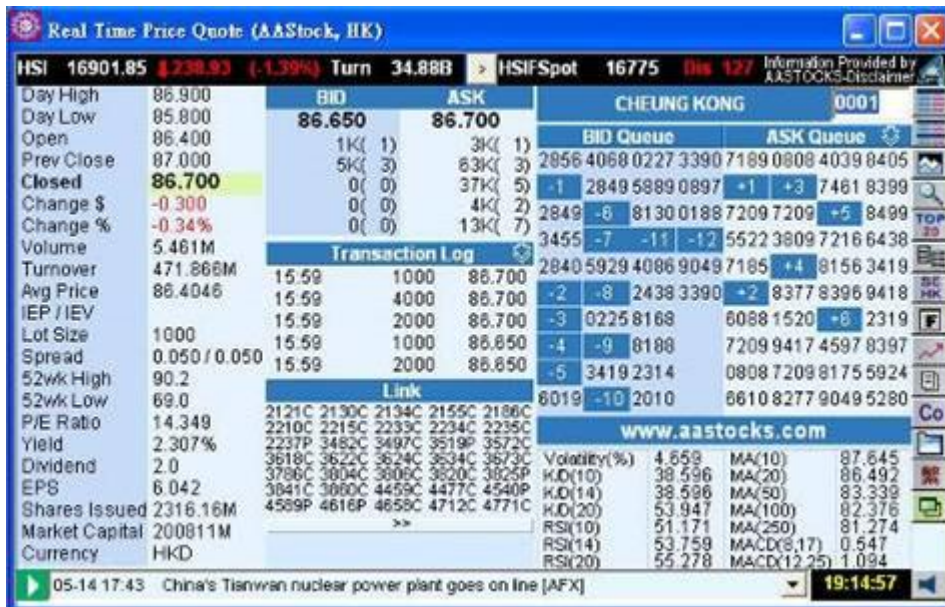
Product 00005 HSBC HOLDINGS PLC

Type 10 - Price Limi Price -- + Qty \$0 Send

## 5.2.5 Using Real Time Price Feed Screen

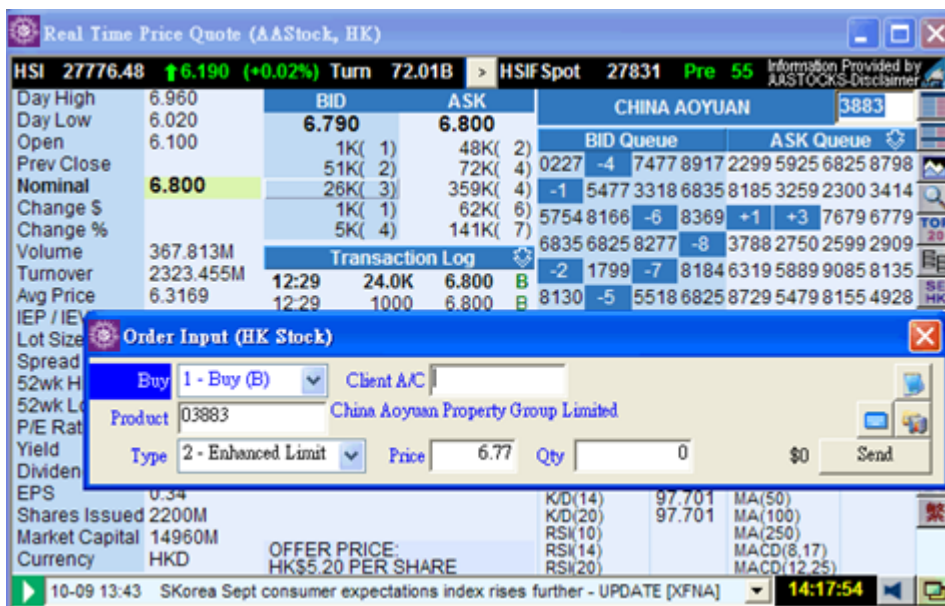
Besides launching “Order Input Screen” using menu item, you can also launch the “Order Input Screen” from the “Real Time Price Feed Screen”

To open “Real Time Price Feed Screen”, click “Market Data → Real Time Price Quote (AAStock, HK)” menu item.



Real Time Price Quote (AAStock, HK)		CHEUNG KONG 0001	
HSI	16901.85	Turn	34.88B
Day High	86.900	BID	86.650
Day Low	85.800	ASK	86.700
Open	86.400	BID Queue	2856 4068 0227 3390 7189 0808 4039 8405
Prev Close	87.000	ASK Queue	-1 2849 5889 0897 +1 +3 7461 8399
<b>Closed</b>	<b>86.700</b>	Transaction Log	3455 -7 -11 -12 5522 3809 7216 6438
Change \$	-0.300	Link	2840 5929 4086 9049 7185 +4 8156 3419
Change %	-0.34%	www.aastocks.com	-2 -8 2438 3390 +2 8377 8396 9418
Volume	5.461M	Volatility(%)	4.659
Turnover	471.866M	K/D(10)	38.596
Avg Price	86.4046	K/D(14)	38.596
IEP / IEV		K/D(20)	53.947
Lot Size	1000	RSI(10)	51.171
Spread	0.050 / 0.050	RSI(14)	53.759
52wk High	90.2	RSI(20)	55.278
52wk Low	69.0	MA(10)	87.645
P/E Ratio	14.349	MA(20)	86.492
Yield	2.307%	MA(50)	83.339
Dividend	2.0	MA(100)	82.376
EPS	6.042	MA(250)	81.274
Shares Issued	2316.16M	MACD(8,17)	0.547
Market Capital	200811M	MACD(12,25)	1.094
Currency	HKD		

Double-Click the broker queue summary will launch the “Order Input Screen” and “Stock Code” and “Price” will be auto-filled.



Real Time Price Quote (AAStock, HK)		CHINA AOYUAN 3883	
HSI	27776.48	Turn	72.01B
Day High	6.950	BID	6.790
Day Low	6.020	ASK	6.800
Open	6.100	BID Queue	0227 -4 7477 8917 2299 5925 6825 8798
Prev Close	6.100	ASK Queue	-1 5477 3318 6835 8185 3259 2300 3414
<b>Nominal</b>	<b>6.800</b>	Transaction Log	5754 8166 -6 8369 +1 +3 7679 6779
Change \$	0.190	Link	6835 6825 8277 -8 3788 2750 2599 2909
Change %	+0.02%	www.aastocks.com	-2 1799 -7 8184 6319 5889 9085 8135
Volume	367.813M	Volatility(%)	4.659
Turnover	2323.455M	K/D(10)	38.596
Avg Price	6.3169	K/D(14)	38.596
IEP / IEV		K/D(20)	53.947
Lot Size	1000	RSI(10)	51.171
Spread	0.050 / 0.050	RSI(14)	53.759
52wk High	90.2	RSI(20)	55.278
52wk Low	69.0	MA(10)	87.645
P/E Ratio	14.349	MA(20)	86.492
Yield	2.307%	MA(50)	83.339
Dividend	2.0	MA(100)	82.376
EPS	6.042	MA(250)	81.274
Shares Issued	2200M	MACD(8,17)	0.547
Market Capital	14960M	MACD(12,25)	1.094
Currency	HKD		

Order Input (HK Stock)	
Buy	1 - Buy (B)
Product	03883 China Aoyuan Property Group Limited
Type	2 - Enhanced Limit
Price	6.77
Qty	0
Send	

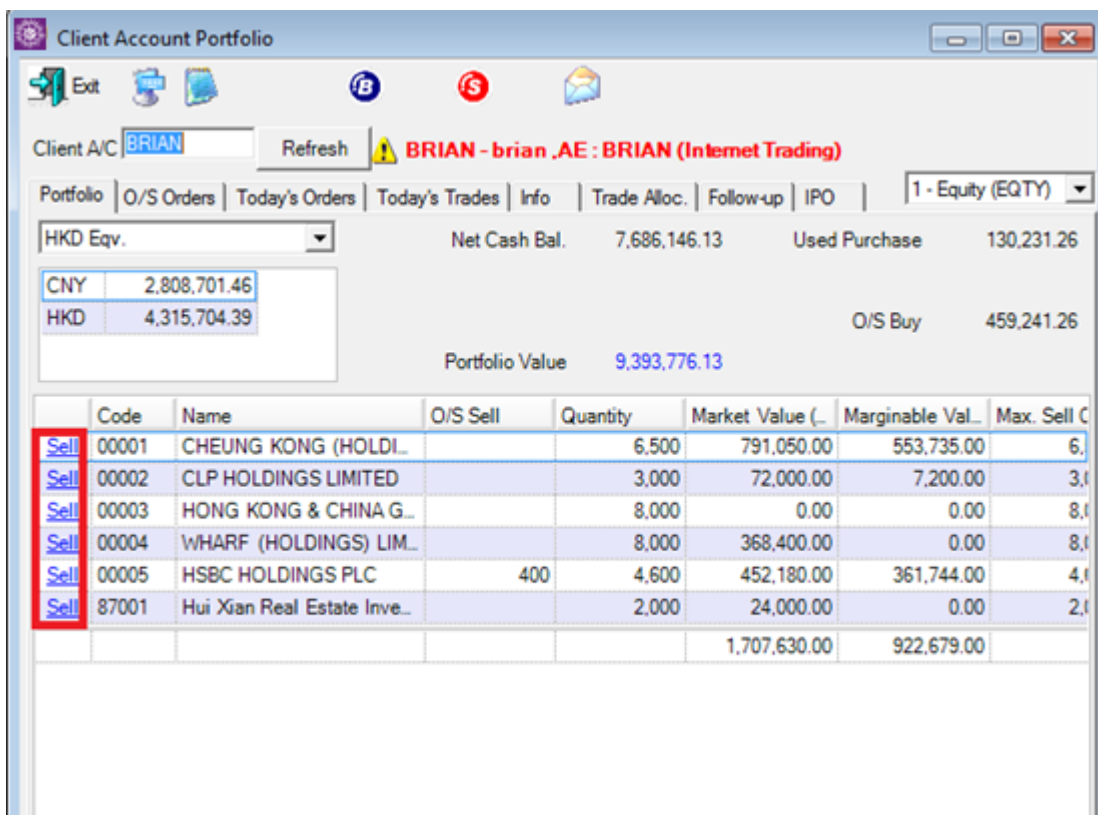
Click “Send” to submit the order.

### 5.3 Client Account Portfolio Screen

Click “Order Input/ Enq → Client Account Portfolio” menu item.

Input the “Client A/C” and press enter to enquire a particular client’s portfolio.

1. Net Cash Balance → The outstanding cash of the client account (HK\$)
2. Purchase Limit → The purchase limit is set by the user in order to limit his available purchase
3. Loan Limit → The loan limit is set by the user in order to limit his available loan.
4. Portfolio Value → Net Cash Bal. + All stock’s Market Value
5. Used Purchase → Queued up buy orders x Marginable Ratio %
6. Available Purchase → Purchase Limit + Net Cash Bal.+ Marginable Value – Used Purchase
7. O/S Buy → Queued up buy orders’ value
8. Available Loan → Loan Limit + Net Cash Bal.- O/S Buy





The screenshot shows the 'Client Account Portfolio' window for client 'BRIAN'. It displays account balances for HKD and CNY, and a table of securities with 'Sell' links highlighted in red.

Code	Name	O/S Sell	Quantity	Market Value (..)	Marginable Val..	Max. Sell C
Sell 00001	CHEUNG KONG (HOLDI..		6,500	791,050.00	553,735.00	6,
Sell 00002	CLP HOLDINGS LIMITED		3,000	72,000.00	7,200.00	3,1
Sell 00003	HONG KONG & CHINA G..		8,000	0.00	0.00	8,1
Sell 00004	WHARF (HOLDINGS) LIM..		8,000	368,400.00	0.00	8,1
Sell 00005	HSBC HOLDINGS PLC	400	4,600	452,180.00	361,744.00	4,1
Sell 87001	Hui Xian Real Estate Inve..		2,000	24,000.00	0.00	2,1
				1,707,630.00	922,679.00	

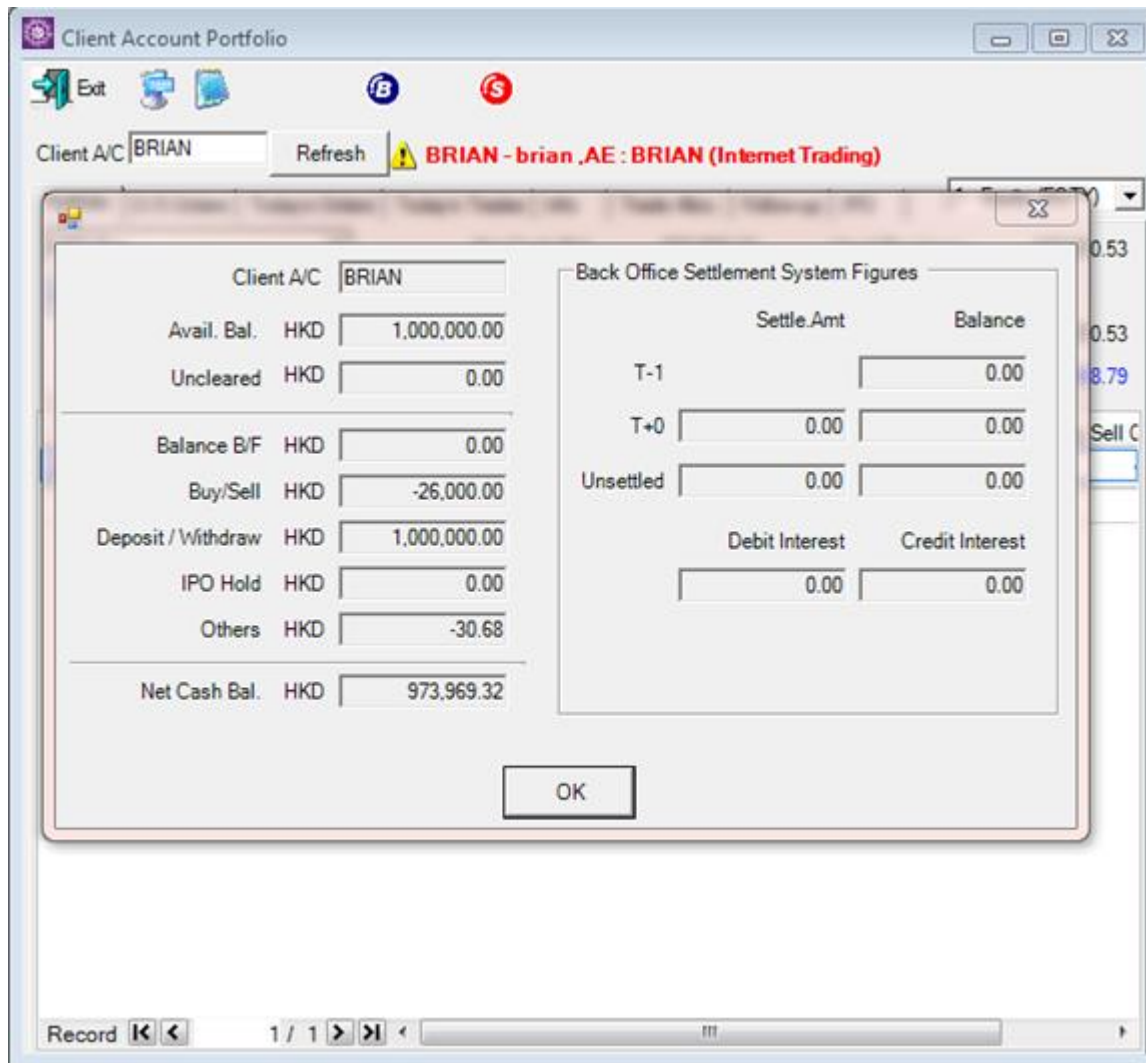
To sell a particular product, click the “Sell” link of the product in the product position table.

You can also click  (buy) or  (sell) icon to place order.

Click  icon to configure the short-cut key  
 Or you can use the short-cut key to place order.

To configure the Short-cut key, click  icon.

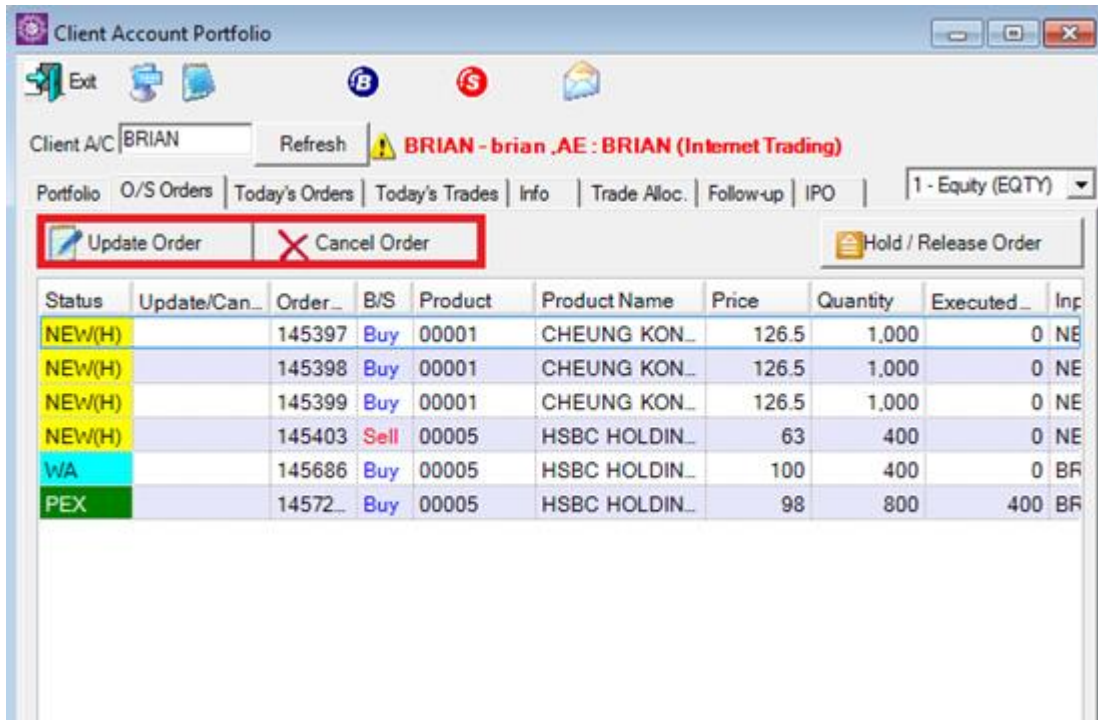
Double-click on currency to show client's cash opening, ledger balance and available balance in client portfolio.



In Client Account Portfolio, you can inspect the Portfolio, O/S Orders, Today's Order, Today's Trade and Info.



1. "Update Order" and "Cancel Order" can also be done here on "O/S" tab in "Client Portfolio."

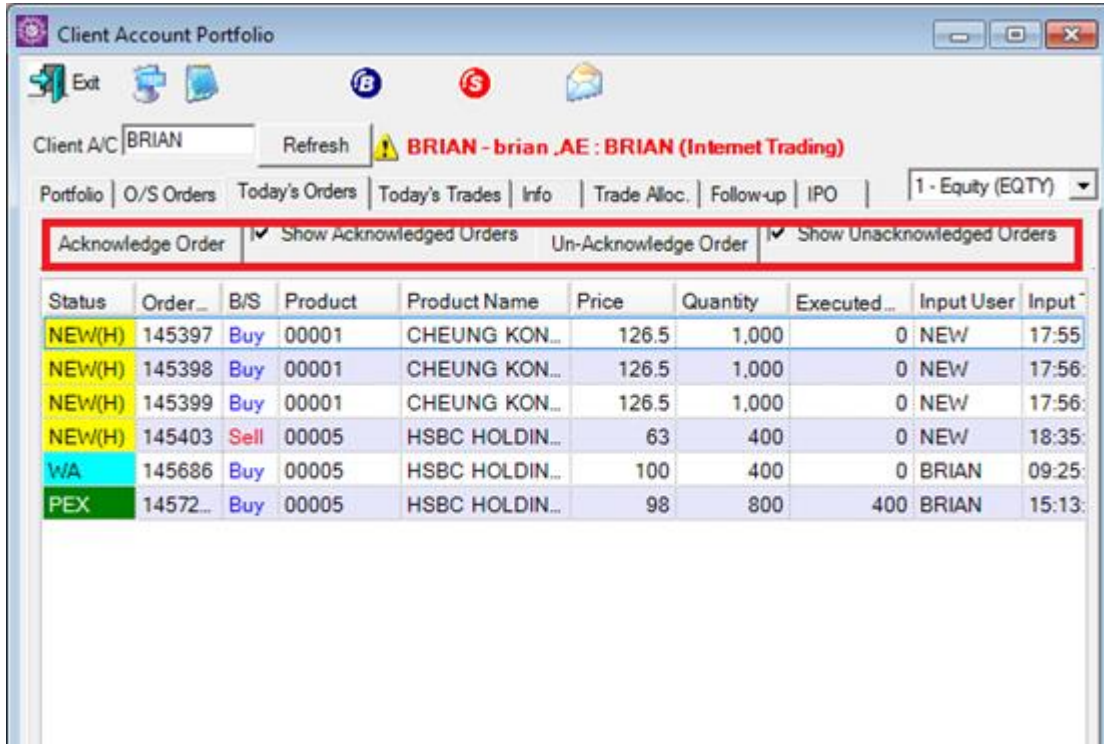


The screenshot shows the "Client Account Portfolio" window for client "BRIAN". The "O/S Orders" tab is active, displaying a table of orders. The "Update Order" and "Cancel Order" buttons are highlighted with a red box. The table contains the following data:

Status	Update/Can...	Order...	B/S	Product	Product Name	Price	Quantity	Executed...	Inc
NEW(H)		145397	Buy	00001	CHEUNG KON...	126.5	1,000	0	NE
NEW(H)		145398	Buy	00001	CHEUNG KON...	126.5	1,000	0	NE
NEW(H)		145399	Buy	00001	CHEUNG KON...	126.5	1,000	0	NE
NEW(H)		145403	Sell	00005	HSBC HOLDIN...	63	400	0	NE
WA		145686	Buy	00005	HSBC HOLDIN...	100	400	0	BF
PEX		14572...	Buy	00005	HSBC HOLDIN...	98	800	400	BF

2. On “Today’s Order” tab, you can inspect all the orders from today.

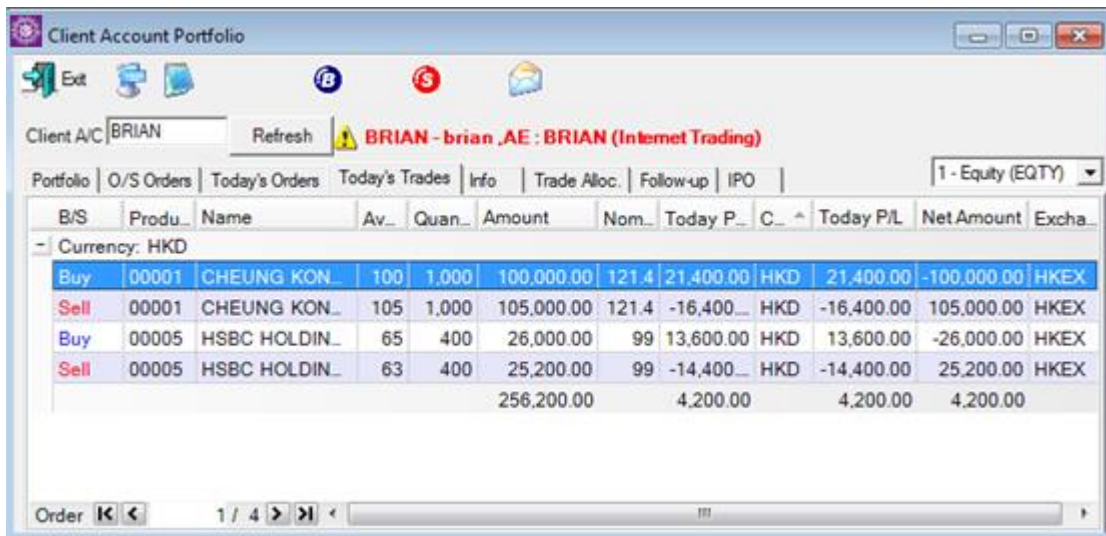
“Acknowledge Order” and “Un-Acknowledge Order” can be performed here.



Status	Order...	B/S	Product	Product Name	Price	Quantity	Executed...	Input User	Input
NEW(H)	145397	Buy	00001	CHEUNG KON...	126.5	1,000	0	NEW	17:55
NEW(H)	145398	Buy	00001	CHEUNG KON...	126.5	1,000	0	NEW	17:56
NEW(H)	145399	Buy	00001	CHEUNG KON...	126.5	1,000	0	NEW	17:56
NEW(H)	145403	Sell	00005	HSBC HOLDIN...	63	400	0	NEW	18:35
WA	145686	Buy	00005	HSBC HOLDIN...	100	400	0	BRIAN	09:25
PEX	14572...	Buy	00005	HSBC HOLDIN...	98	800	400	BRIAN	15:13



3. On “Today’s Trades” tab, you can inspect today Profit/Loss for all of the executed trades.



Client Account Portfolio

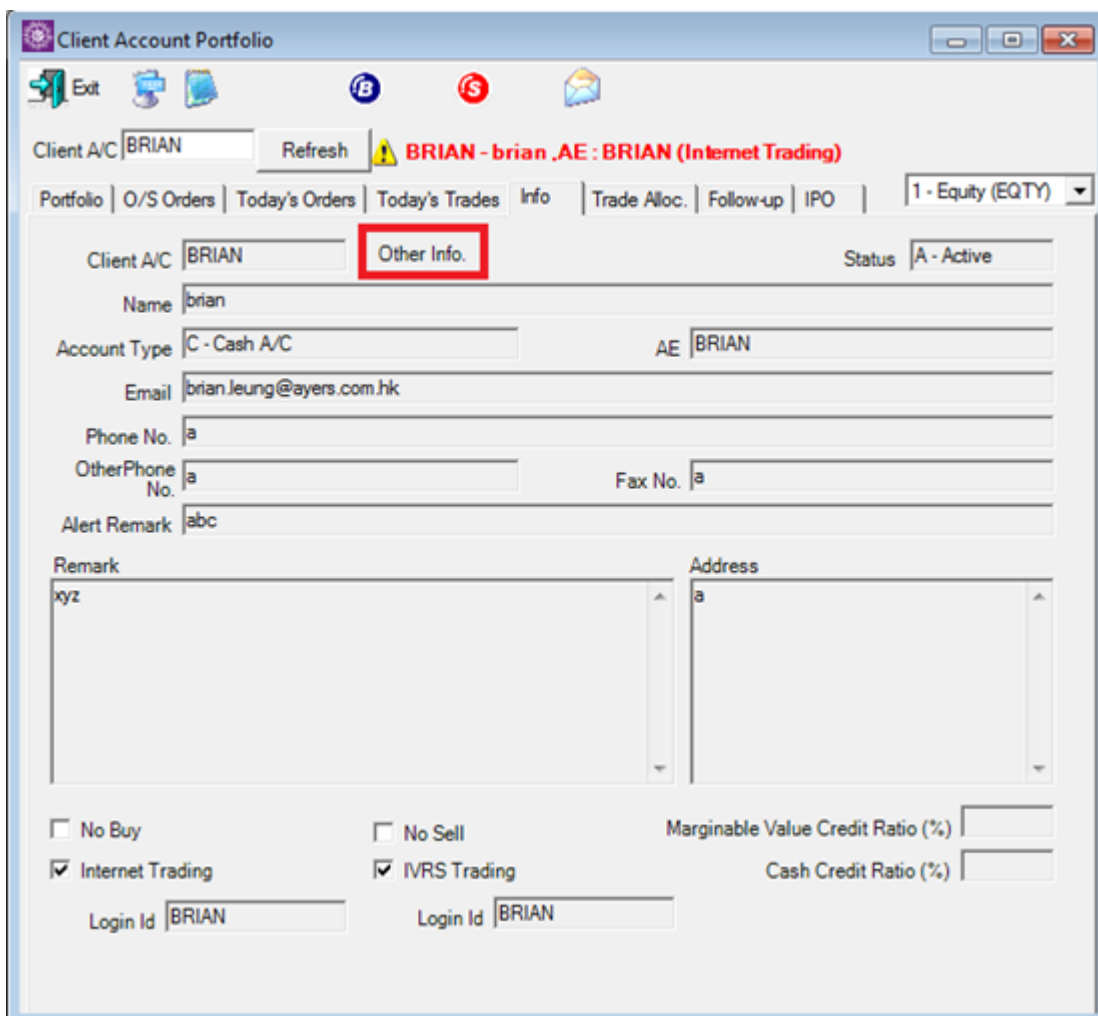
Client A/C: BRIAN Refresh **BRIAN - brian .AE : BRIAN (Internet Trading)**

Portfolio | O/S Orders | Today's Orders | Today's Trades | Info | Trade Alloc. | Follow-up | IPO | 1 - Equity (EQTY)

B/S	Produ_	Name	Av_	Quan_	Amount	Nom_	Today P_	C_	Today P/L	Net Amount	Excha_
- Currency: HKD											
Buy	00001	CHEUNG KON...	100	1,000	100,000.00	121.4	21,400.00	HKD	21,400.00	-100,000.00	HKEX
Sell	00001	CHEUNG KON...	105	1,000	105,000.00	121.4	-16,400.00	HKD	-16,400.00	105,000.00	HKEX
Buy	00005	HSBC HOLDIN...	65	400	26,000.00	99	13,600.00	HKD	13,600.00	-26,000.00	HKEX
Sell	00005	HSBC HOLDIN...	63	400	25,200.00	99	-14,400.00	HKD	-14,400.00	25,200.00	HKEX
					256,200.00		4,200.00		4,200.00	4,200.00	

Order 1 / 4

4. On “Info” tab, you can inspect client’s general information.



Client Account Portfolio

Client A/C: BRIAN Refresh **BRIAN - brian .AE : BRIAN (Internet Trading)**

Portfolio | O/S Orders | Today's Orders | Today's Trades | Info | Trade Alloc. | Follow-up | IPO | 1 - Equity (EQTY)

Client A/C: BRIAN **Other Info.** Status: A - Active

Name: brian

Account Type: C - Cash A/C AE: BRIAN

Email: brian.leung@ayers.com.hk

Phone No.: a

OtherPhone No.: a Fax No.: a

Alert Remark: abc

Remark: xyz

Address: a

No Buy  No Sell Marginable Value Credit Ratio (%)

Internet Trading  IVRS Trading Cash Credit Ratio (%)

Login Id: BRIAN Login Id: BRIAN

User can also press the “Other Info” button in order to enquire the details of this client and the following image:

Client A/C BRIAN ID 1234567

Name [brian]

Status A - Active AE BRIAN

Account Type C - Cash A/C Email brian.leung@ayers.com.hk

Phone No. [a] Fax No. [a]

Other Phone No. [a] Handled By [a]

Remark xyz Address [a]

Alert Remark abc

Trading Group (Default) Client Group [ ]

Info | Trading Limit | Remark | Product Info | Follow-up | Product Group

No Order Notification Email  No Order Notification SMS  No Price Alert Email

No Buy  No Sell Notification Language big5 - Traditional C

Internet Trading

Generate Password Login Id BRIAN Change Internet Trading 1 - Yes (Y)

Real Time Quote Service 02 - Finet (FINET)

Real Time Quote Service User 123 Password [\*\*\*] Remark [ ]

IVRS Trading

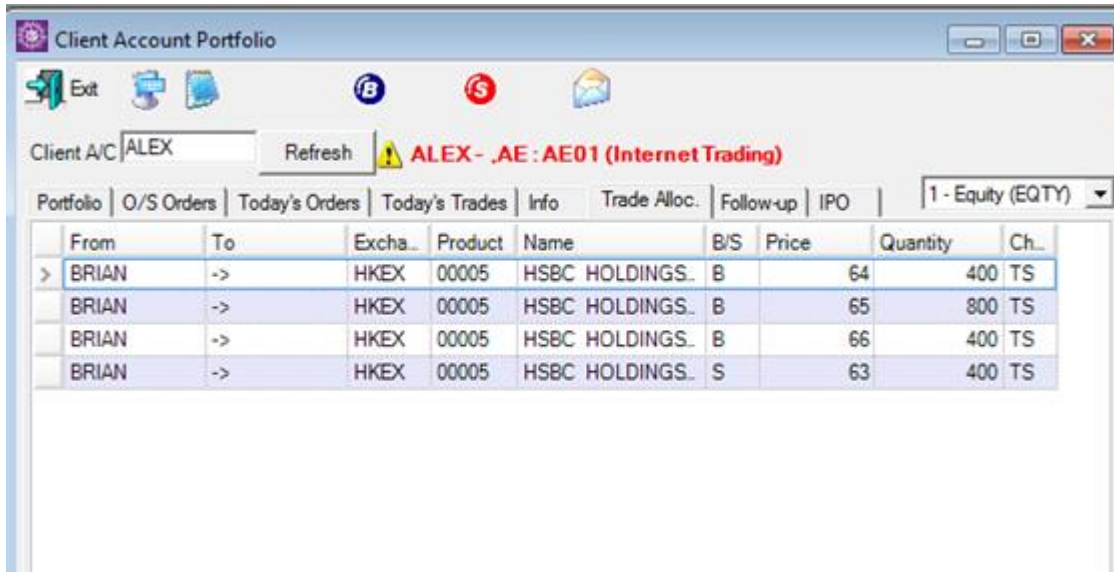
Generate Password Login Id BRIAN Change IVRS Trading 1 - Yes (Y)

Calls Per Period [ ] Quotes Per Call [ ] (Leave Blank For Default)

Demo Account Mobile Trading Y - Yes Mango Trading Y - Yes

The client's details are just for reference and cannot change the client's details in the above window.

5. On the "Trade Alloc." tab, all trades which had been allocated will be listed here.



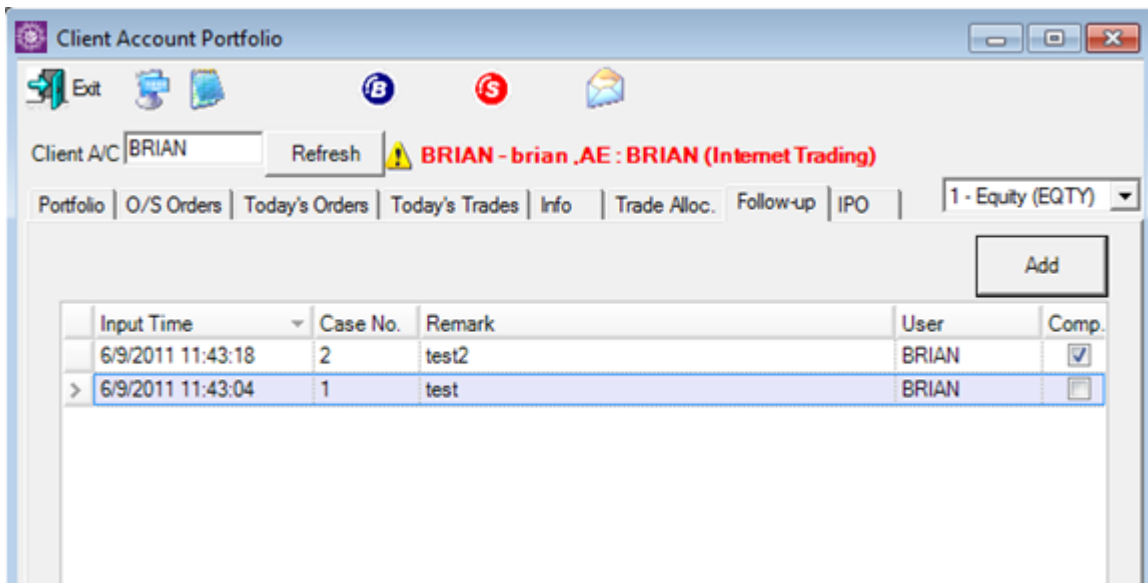
Client Account Portfolio

Client A/C: ALEX Refresh **ALEX - ,AE : AE01 (Internet Trading)**

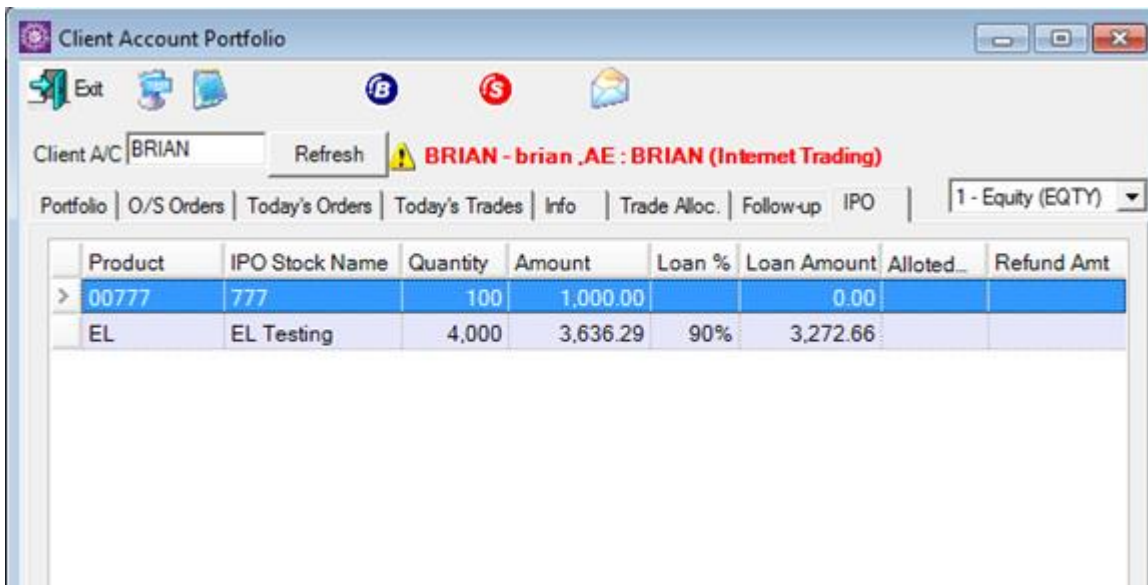
Portfolio | O/S Orders | Today's Orders | Today's Trades | Info | Trade Alloc. | Follow-up | IPO | 1 - Equity (EQTY)

From	To	Excha..	Product	Name	B/S	Price	Quantity	Ch..
> BRIAN	->	HKEX	00005	HSBC HOLDINGS..	B	64	400	TS
BRIAN	->	HKEX	00005	HSBC HOLDINGS..	B	65	800	TS
BRIAN	->	HKEX	00005	HSBC HOLDINGS..	B	66	400	TS
BRIAN	->	HKEX	00005	HSBC HOLDINGS..	S	63	400	TS

6. In the “Follow-up” tab, the follow-up remark of the client can be added here.



7. In the “IPO” tab, the applied IPO details and the allocation result will be shown here.



## 5.4 Update Order

There are 4 means of updating an order in “Order Journal”. To update an order, highlight the order in “Order journal” either:

1. Click on the “Update Order” icon;
2. or Click on the update order short-cut key “F5”;
3. or Right click and select “Update Order”;
4. or Double click on order to open “Menu”. Choose “Update Order”.



**Update Order**

Order No: 145397      **Buy**      **NEW - Pending**

Client A/C: BRIAN      brian

Product: 00001      CHEUNG KONG (HOLDINGS) LIMITED

Order Type: E - Enhanced Limit

Price: 126.5      New Price: 126.5      ... -- + 

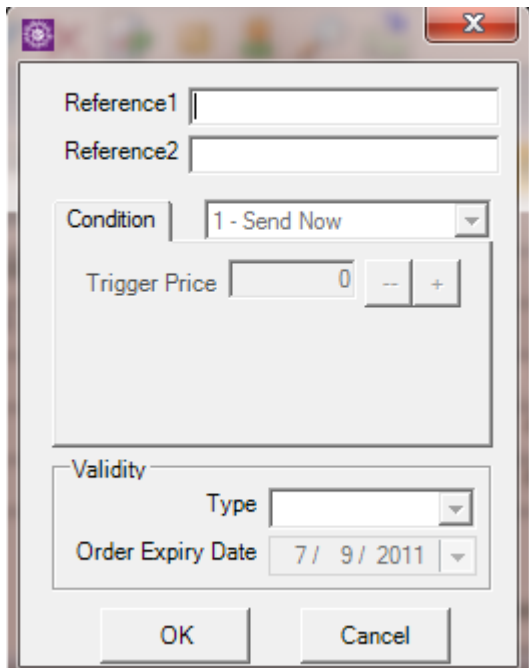
Quantity: 1,000      New Qty: 1,000      ... -- +

Executed Qty: 0      O/S Qty: 1,000

Release Condition: SL >=125

Input the new price or new quantity and then click the “Cancel And Replace” button. Click on “Advanced Setting” to type in remark.



Reference1:

Reference2:

Condition: 1 - Send Now

Trigger Price: 0      -- +

Validity


Type:

Order Expiry Date: 7 / 9 / 2011



## 5.5 Cancel Order

There are 4 means of cancelling an order in “Order Journal”. To cancel an order, highlight the order in “Order journal” either:

1. Click on the “Cancel Order” icon;
2. or click on the cancel order short-cut key “F4”;
3. or right click and select “Update Order”;
4. or double click on order to open “Menu” and choose “Cancel Order”.



Order No	145397	Buy	<b>NEW - Pending</b>
Client A/C	BRIAN	brian	
Product	00001	CHEUNG KONG (HOLDINGS) LIMITED	
Order Type	E - Enhanced Limit		
Price	126.5		
Quantity	1,000		
Executed Qty	0	O/S Qty	1,000
Release Condition	SL >=125		

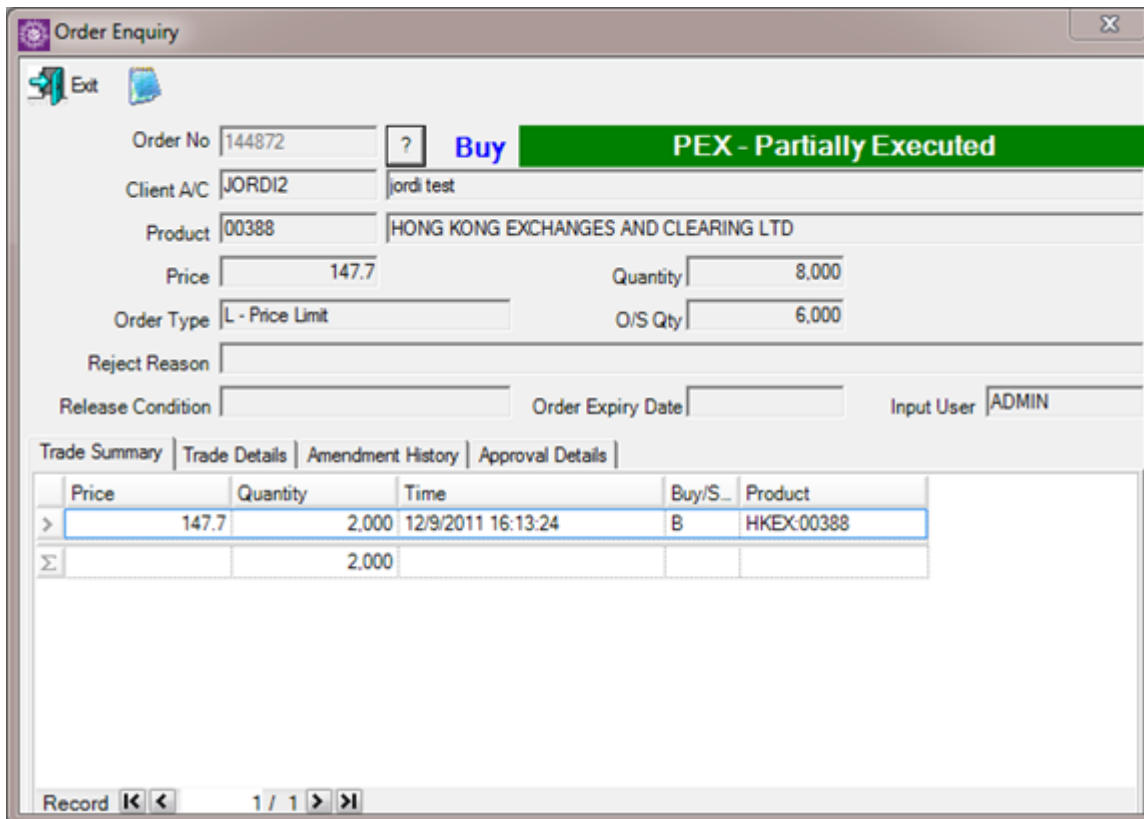
Click the “Cancel Order” button to cancel order.

## 5.6 Enquire Order Details

### 5.6.1 Using Order Enquiry Screen

Click “Order Input/Enq. → Order Enquiry” menu item.

Input “Order No.” field and press “Enter”. The detail of the order is show.



The screenshot shows the 'Order Enquiry' window with the following details:

- Order No: 144872
- Client A/C: JORDI2
- Product: 00388
- Price: 147.7
- Quantity: 8,000
- Order Type: L - Price Limit
- O/S Qty: 6,000
- Input User: ADMIN

The order status is **Buy** and **PEX - Partially Executed**.

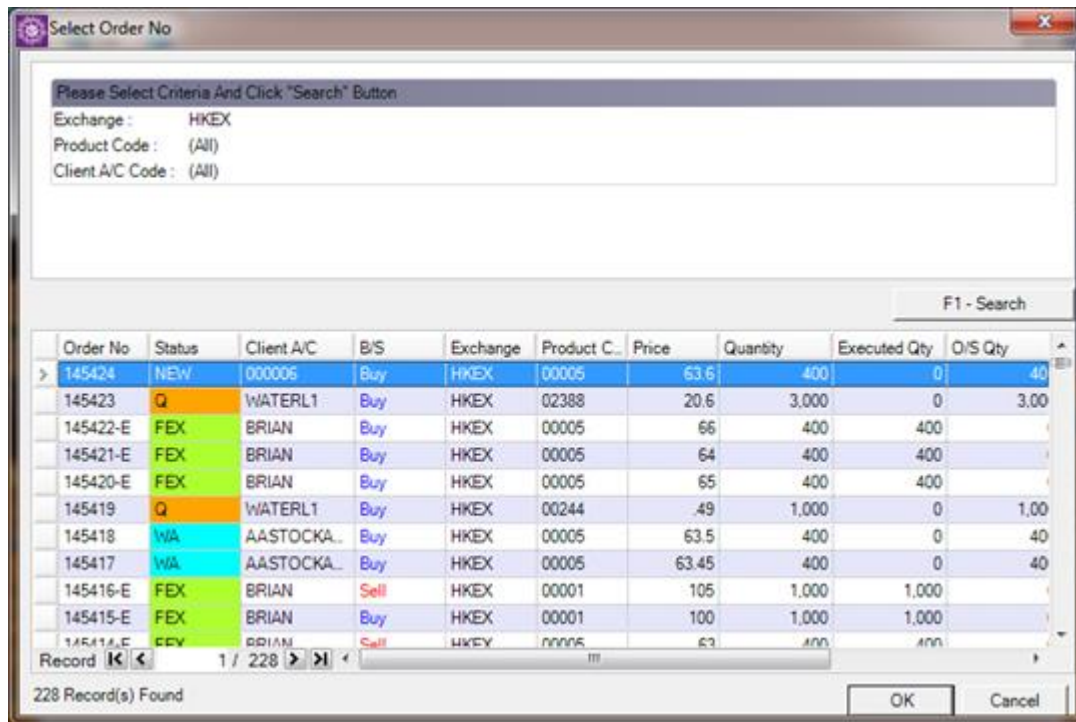
Trade Summary Table:

Price	Quantity	Time	Buy/S...	Product
> 147.7	2,000	12/9/2011 16:13:24	B	HKEX:00388
Σ	2,000			

Record 1 / 1



To search order using other criteria, click the “?” button



Input the selection criteria and click “Search” button, all the orders fulfilling the criteria will show in the list in the bottom. Click “OK” to show the order detail of the selected record.

### 5.6.2 Menu in Order Journal

From menu “Settings → Change User Setting”, in the field of “Double-Click Order Journal to Trigger”, if set to “Open Order functions Menu (MENU)”, then double click anywhere on the selected order in order journal, will appear a menu screen for user to select require function.





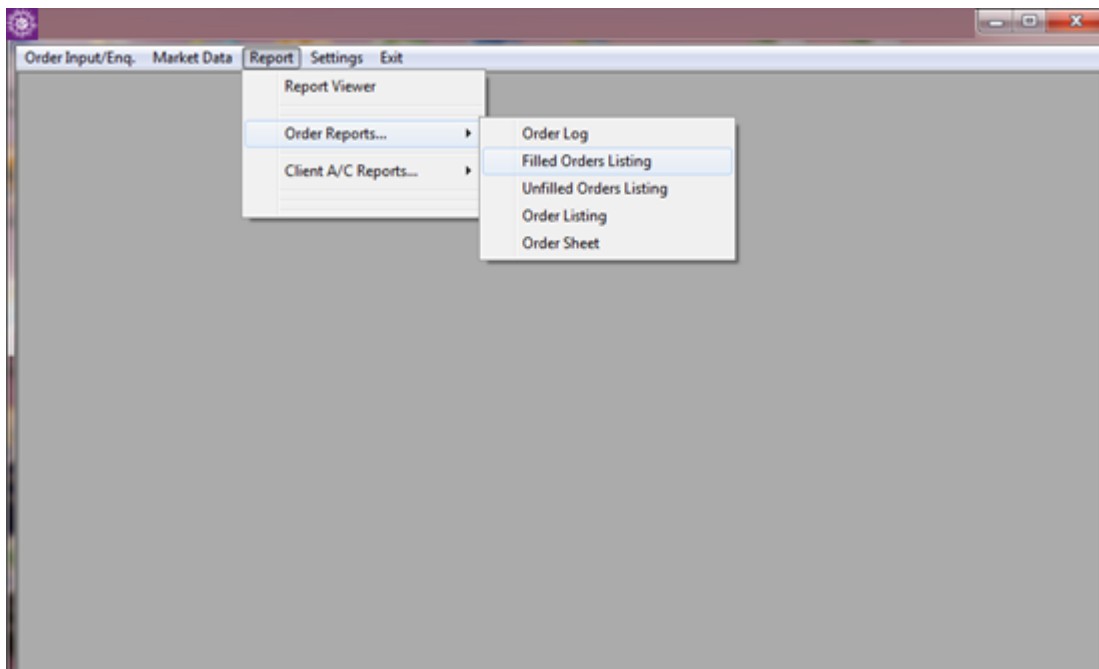
## 6 Report

Our System already implemented the following reports for reference or export as a file.

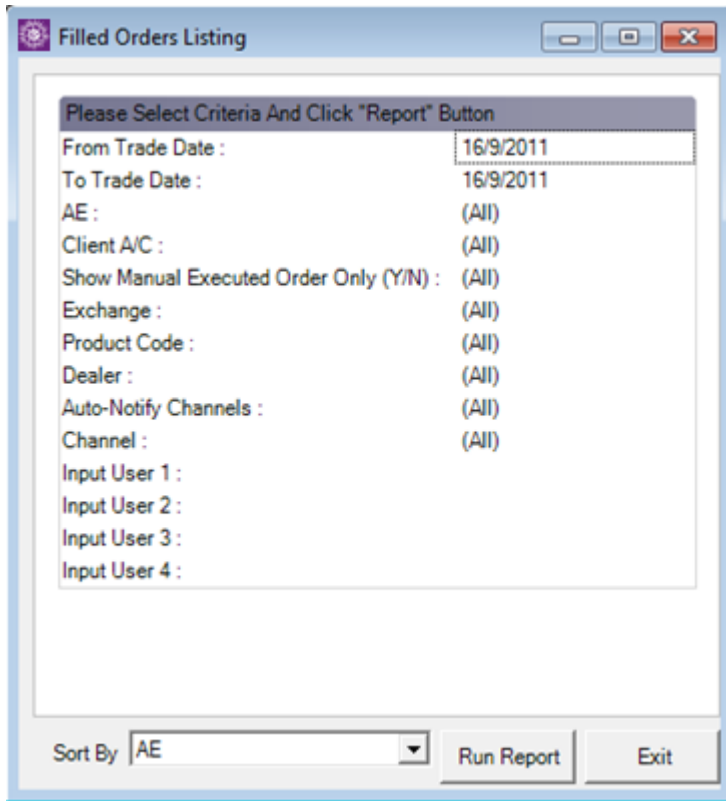
Section	Description
Order Reports	Order Log Filled Orders Listing Unfilled Orders Listing Approved Orders Listing Order Listing
Client Reports	Client Account Cash Position Listing Client Account Product Position Listing Client Trade Summary

### 6.1 Generate a report

Click “Report” on the main menu.  
Choose the desired report.



Input the criteria for generate the report.

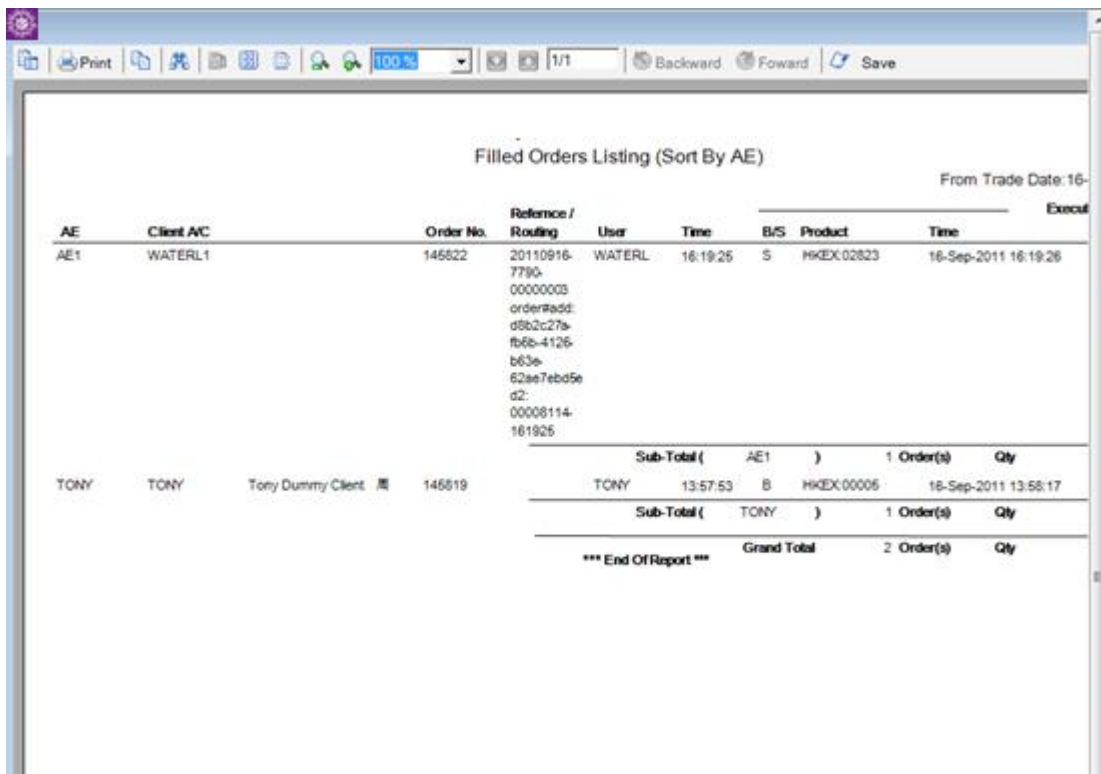


Please Select Criteria And Click "Report" Button

From Trade Date : 16/9/2011  
 To Trade Date : 16/9/2011  
 AE : (All)  
 Client A/C : (All)  
 Show Manual Executed Order Only (Y/N) : (All)  
 Exchange : (All)  
 Product Code : (All)  
 Dealer : (All)  
 Auto-Notify Channels : (All)  
 Channel : (All)  
 Input User 1 :  
 Input User 2 :  
 Input User 3 :  
 Input User 4 :

Sort By AE [v] Run Report Exit

The desired report will be generated.



Filled Orders Listing (Sort By AE) From Trade Date: 16-

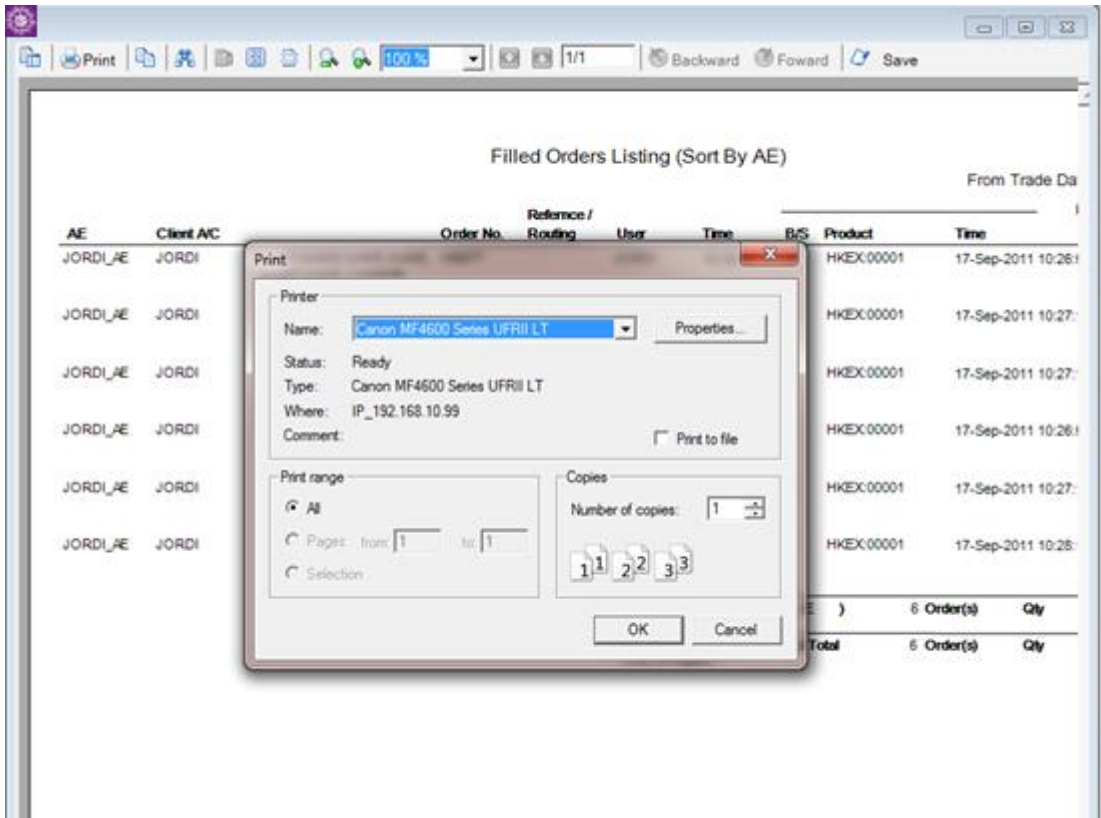
AE	Client AC	Order No.	Reference / Routing	User	Time	B/S	Product	Time	Execut
AE1	WATERL1	145622	20110916-7790-00000003-orderadd:d8b2c27a-fb6b-4126-b63e-62ae7ebd5e-d2:00008114-161925	WATERL	16:19:25	S	HKEX:02823	16-Sep-2011 16:19:26	
		Sub-Total ( AE1 )						1 Order(s)	Qty
TONY	TONY	145619		Tony Dummy Client	13:57:53	B	HKEX:00006	16-Sep-2011 13:58:17	
		Sub-Total ( TONY )						1 Order(s)	Qty
		*** End Of Report ***					Grand Total	2 Order(s)	Qty

## 6.2 Print report

Click the “Print” button on the report menu.



Select the printer and print the report.



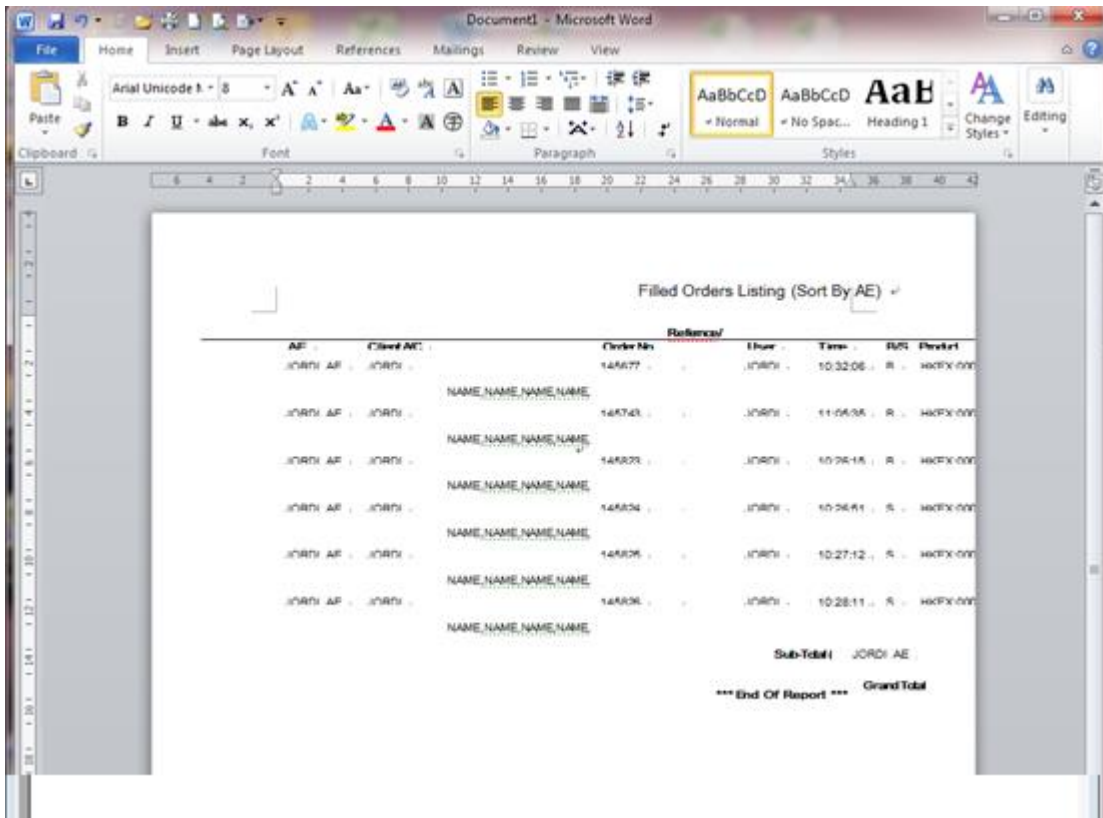
### 6.3 Copy text

You can copy the text of a report and paste on other application. For example, you can copy the text of a report and paste on Microsoft® Word.

Click the “Copy” button on the report menu.



Go to Microsoft® Word; click “Edit → Paste” on the main menu to paste the text on the word document.

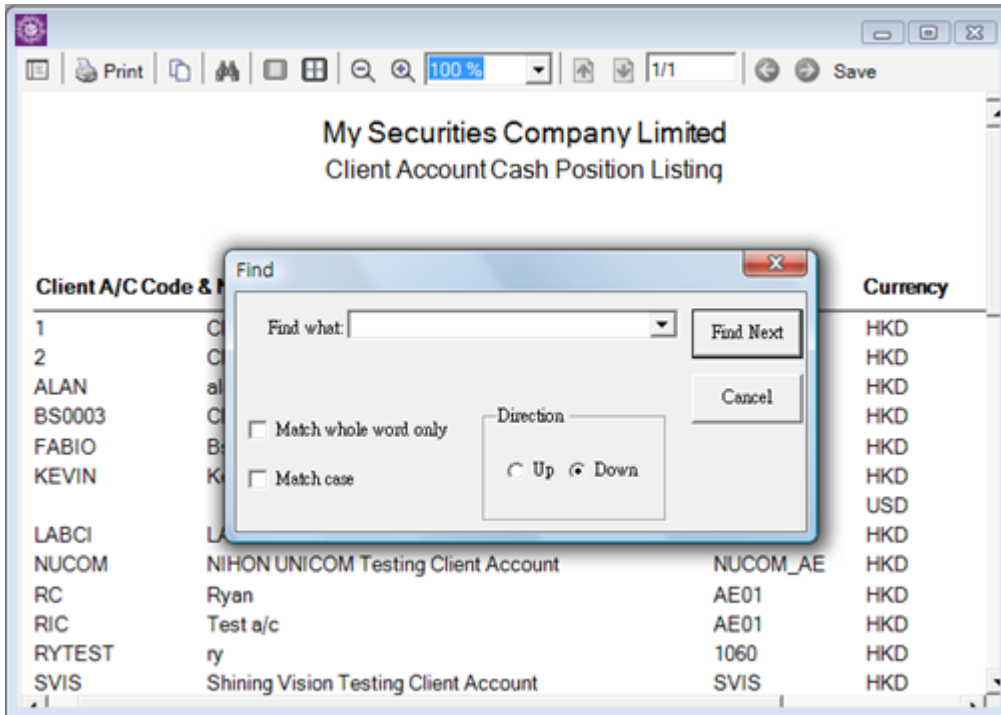


## 6.4 Search report

You can search a word or phrase in a report.  
 Click the “Search” button on the report menu.

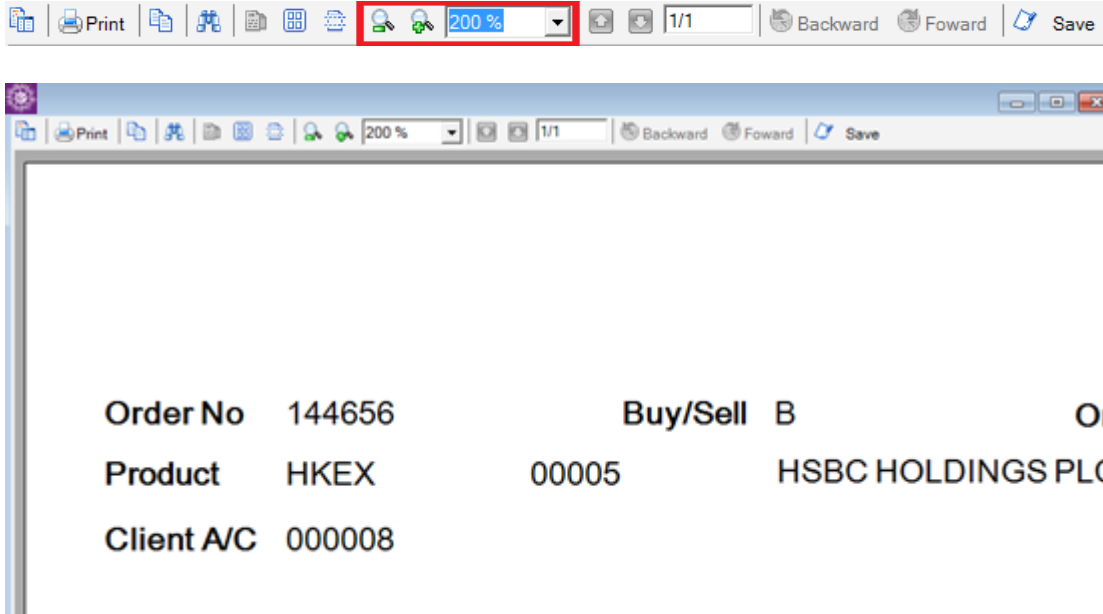


A “Find” window will be shown. Input the word or phrase to be searched in the report. Click the “Find Next” button. The searched word or phrase will be highlighted in the report.



## 6.5 Zoom in/Zoom out

Click the “Zoom” button or select the desired percentage on the report menu to zoom in or zoom out a report.



## 6.6 Export a report

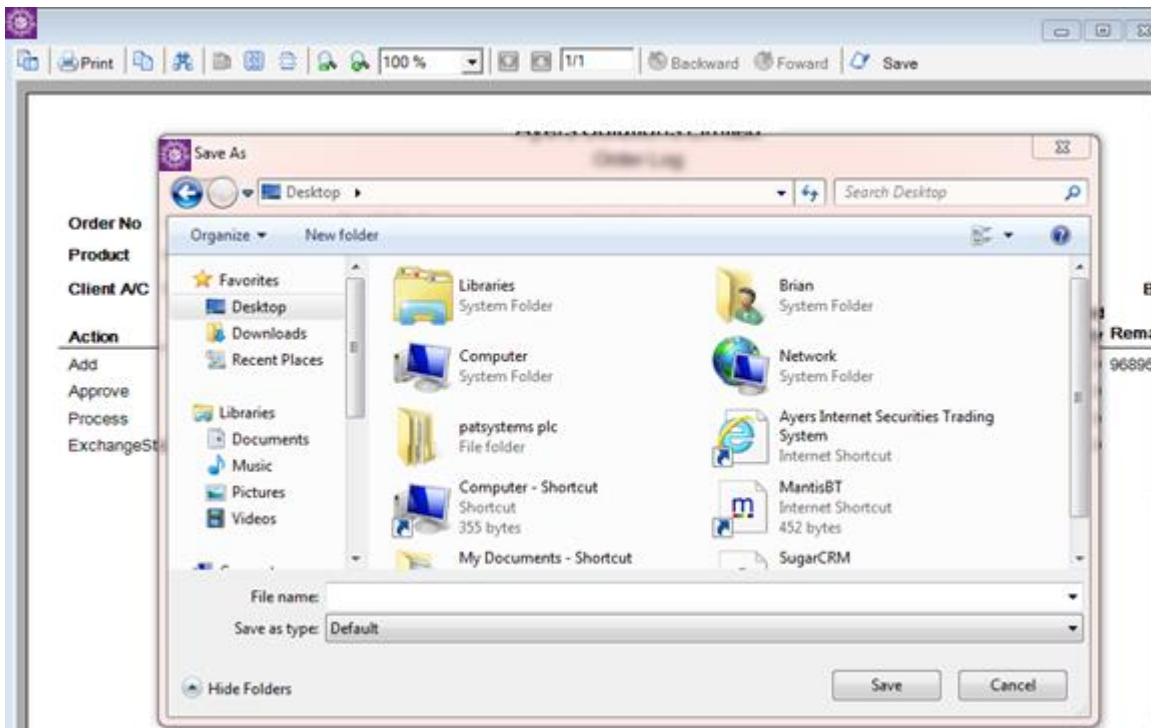
Report can be export into different formats:

- rpt (Default)
- Microsoft® Excel
- Html
- PDF
- Rich Text
- Tiff

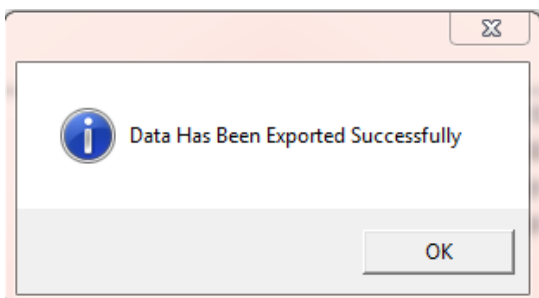
Click the “Save” button on the report menu.



Input the file name. Select the location of the file to be exported and select the desired format. Then click the “Save” button.

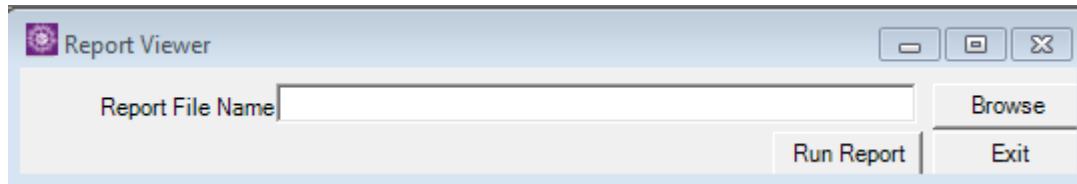


The “Data Has Been Exported Successfully” message will show.

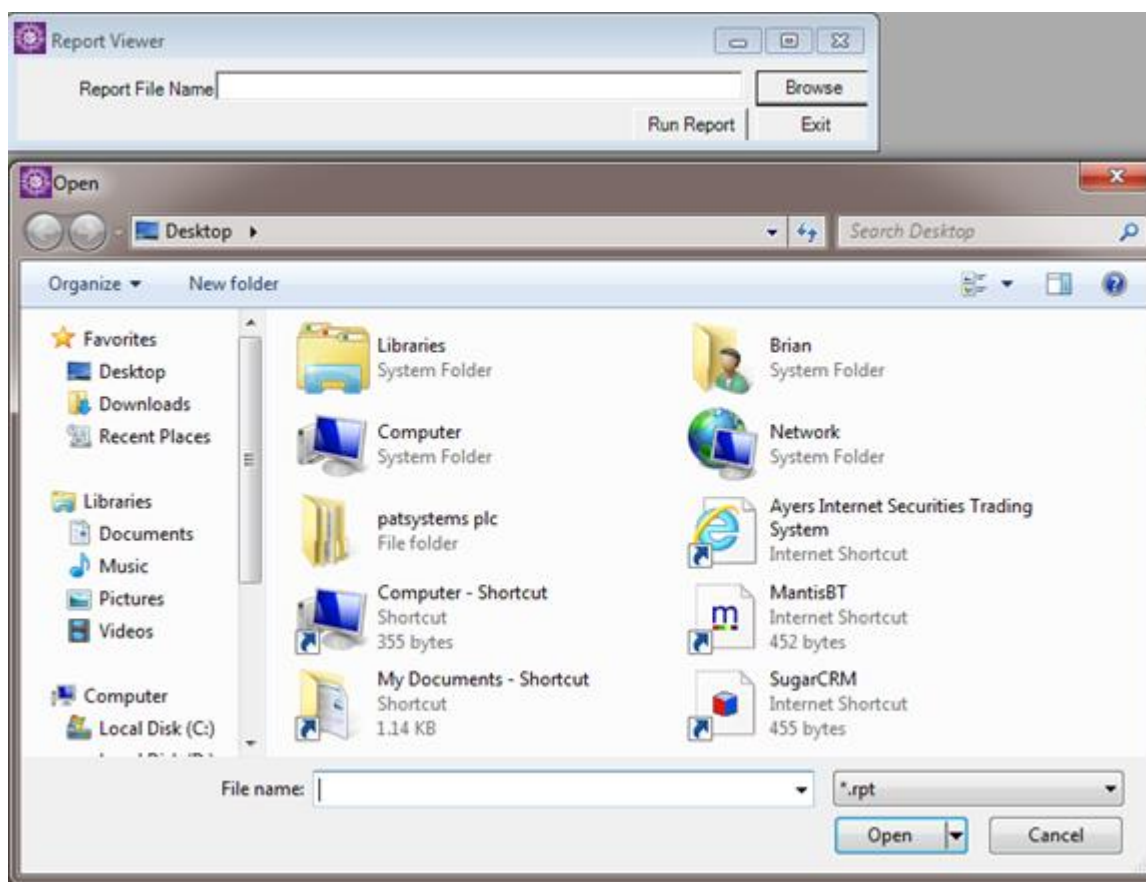


## 6.7 Open an existing report

Click “Report → Report Viewer” on the main menu.  
The report Viewer window will show.



Use the “Browse” button to locate the existing report.  
Click “Open” and then “Run Report” to open the report.





## 7 Appendix: FAQ

### 7.1 System Setting

#### 7.1.1 Where to download DTP?

Please go to our Website <http://www.securities.sunifg.com/>


#### 7.1.2 Why sometimes cannot upgrade DTP?

Please press Ctrl+Alt+Delete at the same time, choose Task Manager, then on tab “Process”, select “mts.client.exe” and end the process.

#### 7.1.3 What are the short-cut keys for inputting QTY (Quantity)?

“.” = 000, “k” = 000, “m” = 000 000, “b” = 000 000 000

#### 7.1.4 How to use Good Till Cancel?

In “order input” click “Advanced Setting” icon . Chose GTC (Good till cancel) in the “validity” session and select an expiry date from the drop down menu. If the order not executed till the expiry date then the system will automatically cancel the order.

The order can be valid for 7days(includes Sat and Sun), counting from the next day the trade had been placed.

### 7.2 Reasons for Order rejection

#### 7.2.1 Why is order rejected “by price warning”?

The price of the order has exceeded maximum spread. For PNS the max spread is 20 and 24 for BSS.

#### 7.2.2 Why is order rejected “by invalid order price”?

- a. When using Limit Order type to buy, the entered price should not be higher than the ask price.
- b. When using Limit Order type to sell, the entered price should not be lower than the bid price.
- c. When using Enhance Limit Order, if the price has been edited, system will then change to Limit Order. If the changed price has the problem as mention above. The order will also be rejected.
- d. When using Special Limit Order, if there is not enough stock in the market, the remaining will then be rejected.

### 7.3 Other setting

#### 7.3.1 What does the order status code mean? (e.g. NEW, WA,)

Order Status Code	Description
NEW	The order is pending for processing

NEW (HOLD)	The order is pending held in system (Program Trade)
WA	The order is waiting for approval
PRO	The order is processing
Q (MWS)	The order has been queued in securities company
Q	The order has been queued in market
PEX	The order has been partially executed
FEX	The order has been fully executed
CAN	The order has been cancelled
REJ	The order has been rejected

### **7.3.2 Why orders cannot be found after type in product code in filter function in order journal?**

5 digits product code must be entered when using filtering order function in order journal.

### **7.3.3 Where to find trade summary?**

Menu “Order input/Enq. → Order Enquiry” click on “Trade Summary” tab.

### **7.3.4 Where to find buy/sell broker code?**

Menu “Order input/Enq. → Order Enquiry” click on “Trade Detail” tab.


### **7.3.5 How to add column in order journal?**

Right click empty spaces in order journal and choose “Add Column(s)”. Drag the desired column(s) to the row of columns and release.

### **7.3.6 What is order acknowledgement?**

This is for users to get clearer view in order journal after orders are confirmed to client. The orders that are acknowledged will disappear from the list.

### **7.3.7 How to acknowledge orders?**


There are three order statuses that can be acknowledged: FEX, CANCEL and REJ. Highlight the order that is in these statuses in order journal, and click on  icon to acknowledge. The order will then disappear. If wanted these orders to be visible again, get to “Order Journal”, click “Journal Setting” select either “All” or “Acknowledge Only” in “Show Acknowledged Order”.

### **7.3.8 How to unacknowledged orders?**

To un-acknowledge a particular order, go to menu “Order input/Enq. → Client Portfolio” and input the Client A/C. On the “Today’s Orders” tab, click on “Un-Acknowledge Order” button to make the order visible again in order journal.

### **7.3.9 How to use “Input Order (layout 2)”?**

Set order input short-cut key in menu “Setup → System Setting” → on tab “Setting 4”. In Input Order (layout 2), type in product code, and use the short-cut key that was configured before to buy/sell.

The order input short-cut key can also be configured by click on  icon.

The short-cut key set in layout (2) will not affect on other input layout except itself.

### **7.3.10 How to use “Search Engine F1”?**

Search Engine can be used when require to fill in information to the fields, e.g. (Products). Press F1 in the field and the search engine will appear. Input criteria to find matched records.

If limited keywords are known, then try use “%” to aid when searching.

### **7.3.11 Which sound format is support in “Order Journal” setting?**

“WAV” is the only sound format supported in “Order Journal” setting.

### **7.3.12 How to display references of order input in Order Journal?**

Right click in order journal and select "Add columns". Drag column "Reference" to the order journal and drop it with other columns.

### **7.3.13 How long will the trade data be hold in the system?**

The system will hold seven days of trade data. (Including SAT, SUN and Public Holidays)

## 7.4 *Generating Report*

### 7.4.1 **What are the codes stands for in “Order List” and “Order Sheet”?**

A: The Approval time of the trade.

F: The Fill time of the trade.

K: The Acknowledge time of the trade.

I: The Input time of the trade.

M: The Modified time of the trade.

C: The Cancelled time of the trade.

### 7.4.2 **What are the differences between Order list and Order Sheet?**

The differences are that Order List shows Filled Quantity and Outstanding Quantity.




### 7.4.3 **Where to find all the unfilled order?**

Go to menu “Report → Order Reports → Unfilled Order Listing”.

## 7.5 Order types in detail

### 7.5.1 What order types are supported in the DTP?

DTP supports all the order types provided by HKEx.

Order Type*	Description*																				
<p><b>L</b></p>	<p><b>Limit Order</b></p> <p>This order type allows matching at the specified price queue.</p> <table border="1" data-bbox="316 640 1018 1030"> <thead> <tr> <th data-bbox="316 640 501 719">Order</th> <th data-bbox="505 640 759 719">Matching</th> <th data-bbox="764 640 1018 719">Result</th> </tr> </thead> <tbody> <tr> <td data-bbox="316 725 501 1030">           Limit Order             Buy 250K            at \$18.0         </td> <td data-bbox="505 725 759 1030"> <table border="1" data-bbox="517 741 667 1014"> <thead> <tr> <th colspan="2" data-bbox="517 741 667 786">Ask</th> </tr> <tr> <th data-bbox="517 792 587 826">Price</th> <th data-bbox="587 792 667 826">Qty</th> </tr> </thead> <tbody> <tr> <td data-bbox="517 833 587 866">\$18.4</td> <td data-bbox="587 833 667 866">90K</td> </tr> <tr> <td data-bbox="517 873 587 907">\$18.3</td> <td data-bbox="587 873 667 907">50K</td> </tr> <tr> <td data-bbox="517 913 587 947">\$18.2</td> <td data-bbox="587 913 667 947">20K</td> </tr> <tr> <td data-bbox="517 954 587 987">\$18.1</td> <td data-bbox="587 954 667 987">130K</td> </tr> <tr> <td data-bbox="517 994 587 1028">\$18.0</td> <td data-bbox="587 994 667 1028">30K</td> </tr> </tbody> </table>  </td> <td data-bbox="764 725 1018 1030">           Trades generated:            30K at \$18.0             Outstanding:            220K at \$18.0         </td> </tr> </tbody> </table>	Order	Matching	Result	Limit Order  Buy 250K at \$18.0	<table border="1" data-bbox="517 741 667 1014"> <thead> <tr> <th colspan="2" data-bbox="517 741 667 786">Ask</th> </tr> <tr> <th data-bbox="517 792 587 826">Price</th> <th data-bbox="587 792 667 826">Qty</th> </tr> </thead> <tbody> <tr> <td data-bbox="517 833 587 866">\$18.4</td> <td data-bbox="587 833 667 866">90K</td> </tr> <tr> <td data-bbox="517 873 587 907">\$18.3</td> <td data-bbox="587 873 667 907">50K</td> </tr> <tr> <td data-bbox="517 913 587 947">\$18.2</td> <td data-bbox="587 913 667 947">20K</td> </tr> <tr> <td data-bbox="517 954 587 987">\$18.1</td> <td data-bbox="587 954 667 987">130K</td> </tr> <tr> <td data-bbox="517 994 587 1028">\$18.0</td> <td data-bbox="587 994 667 1028">30K</td> </tr> </tbody> </table> 	Ask		Price	Qty	\$18.4	90K	\$18.3	50K	\$18.2	20K	\$18.1	130K	\$18.0	30K	Trades generated: 30K at \$18.0  Outstanding: 220K at \$18.0
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\$18.1	130K																				
\$18.0	30K																				
<p><b>E</b></p>	<p><b>Enhanced Limit Order (ELO)</b></p> <p>Enhanced limit order is similar to the limit order except that it will allow matching of up to five price queues at a time. The ask order price of ELO can be inputted at four spreads lower than the current bid or the bid order price can be inputted at 4 spreads higher than current ask price. Any unfilled quantity of ELO after matching will be stored in the System as a normal limit order at the input order price. The number of price queues allowed for matching can be increased in future if necessary.</p>																				

**Example 1: Enhanced Limit Order – fully matched Table 1(a): Original Position**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K
\$29.90	80K	\$30.15	160K
\$29.85	60K	\$30.20	50K
\$29.80	180K	\$30.25	60K
\$29.75	34K	\$30.30	50K
\$29.70	100K	\$30.35	40K
\$29.65	150K	\$30.40	45K
\$29.60	18K	\$30.45	325K

Enhanced Limit Order: to buy 400K@ \$30.25

**Table 1(b): After Execution**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.25	20K
\$29.95	90K	\$30.30	50K
\$29.90	80K	\$30.35	40K
\$29.85	60K	\$30.40	45K
\$29.80	180K	\$30.45	325K
\$29.75	34K	\$30.50	20K
\$29.70	100K	\$30.55	30K
\$29.65	150K	\$30.60	50K
\$29.60	18K	\$30.65	60K

Trade executed 80K@ \$30.05  
 70K@ \$30.10  
 160K@ \$30.15  
 50K@ \$30.20  
 40K@ \$30.25

Unfilled None

**Example 2: Enhanced Limit Order - with unfilled quantity**
**Table 2 (a): Original Position**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K
\$29.90	80K	\$30.15	160K
\$29.85	60K	\$30.20	50K
\$29.80	180K	\$30.25	60K
\$29.75	34K	\$30.30	50K
\$29.70	100K	\$30.35	40K
\$29.65	150K	\$30.40	45K
\$29.60	18K	\$30.45	325K

Enhanced Limit Order: to buy 430K @\$30.25

**Table 2 (b): After Execution**

Price		Price	
Price	Volume	Price	Volume
\$30.25	10	\$30.30	50
\$30.20	-	\$30.35	40
\$30.15	-	\$30.40	45
\$30.10	-	\$30.45	325
\$30.05	-	\$30.50	20
\$30.00	100	\$30.55	30
\$29.95	90	\$30.60	50
\$29.90	80	\$30.65	60
\$29.85	60	\$30.70	70

Trade executed 80K@ \$30.05

70K@ \$30.10

160K@ \$30.15

50K@ \$30.20

60K@ \$30.25

Unfilled 10K@ \$30.25 as limit order

**S**
**Special Limit Order (SLO)**

A limit price has to be input for SLO but there is no restriction on the limit price with respect to the best price on the other side of the market. SLO will match up to five price queues (i.e. the best price queue and up to the fifth queue at 4 spreads away) as long as the traded price is not worse than the input limit price. Any unfilled quantity of SLO after matching will be cancelled and not stored in the System. The number of price queues allowed for matching can also be increased in future if necessary.

**Example 3: Special Limit Order (SLO)**
**Table 3 (a): Original Position**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K
\$29.90	80K	\$30.15	160K
\$29.85	60K	\$30.20	50K
\$29.80	180K	\$30.25	60K
\$29.75	34K	\$30.30	50K
\$29.70	100K	\$30.35	40K
\$29.65	150K	\$30.40	45K
\$29.60	20K	\$30.45	325K

Special Limit Order: to buy 430K@ \$30.40



**Table 3 (b): After Execution**

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.30	50K
\$29.95	90K	\$30.35	40K
\$29.90	80K	\$30.40	45K
\$29.85	60K	\$30.45	325K
\$29.80	180K	\$30.50	20K
\$29.75	34K	\$30.55	30K
\$29.70	100K	\$30.60	50K
\$29.65	150K	\$30.65	60K
\$29.60	20K	\$30.70	70K

Trade executed 80K@ \$30.05  
 70K@ \$30.10  
 160K@ \$30.15

50K@ \$30.20  
 60K@ \$30.25

Unfilled quantity 10K@ Cancelled not stored in the System

**A**
**At Auction Order**

This is a market order which can only be inputted for single price auction during the pre-open session. There is no need to specify the limit price and it will enjoy a higher auction matching priority. Unfilled at-auction orders will be cancelled after auction matching.

The order input period for At-Auction orders is 9:00a.m. - 9:20 a.m.

Any At-Auction order input during order matching period (9:20a.m. - 9:28a.m.) and blocking period (9:28a.m. - 09:30a.m.) will be subjected to rejection.

At-Auction orders input out of the pre-opening session will be treated as orders of next pre-open session unless it was cancelled by investor and broker at their own discretion.

For further details on placing At-Auction orders, please contact your broker.

**I**
**At Auction Limit Order**

It is a limit order with a specified price for single price auction during pre-open session. Unfilled at-auction limit orders, with input price not deviating 9 times or more from the prevailing nominal price, will be converted to limit orders at the input limit price and carried forward to the continuous trading session.

The order input period for At-Auction Limit orders is 9:00 a.m. - 9:15a.m.

Any At-Auction Limit order input during pre-order matching period (9:15a.m. - 9:20a.m.), order matching period (9:20a.m. - 9:28a.m.) and locking period (9:28a.m. - 09:30a.m.) will be subject to rejection.

At-Auction Limit orders input out of the pre-opening session will be treated as orders of next pre-open session unless it was cancelled by investor and broker at their own discretion.

For further details on placing At-Auction Limit orders, please contact your broker.

\* The above information is for reference only and subject to further changes. Please visit HKEx (<http://www.hkex.com.hk>) for more details.

#### **7.5.2 What is the pre-opening session? (Hong Kong Stock Market only)**

The pre-opening session consists of an order input period from 9:00 am to 9:15 am; a pre-order matching period from 9:15 am to 9:20 am; an order matching period from 9:20 am to 9:28 am; and a blocking period from 9:28 am to 9:30 am.

During the order input period (9:00 am to 9:15 am), only at-auction orders and at-auction limit orders are accepted. Orders will be accumulated and updated in the trading system continuously and may be modified or cancelled.

-- End --