

Sun International Securities Online Trading Platform

User Manual

By

Sun International Securities Limited

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1 Introduction

This User Guide provides a step-by-step instruction on how to use Sun International Securities Online Trading Platform (OTP). The objective of designing OTP is to assist users to run their trading businesses in a more effective and efficient way with the following user-friendly Graphics User Interface (GUI) functional modules:

- Login
- Buy/ Sell Order
- Update Order
- Cancel Order
- Portfolio Enquiry
- Real Time Stock Quote
- Account Management
- Logout

This user guide consists of following chapters:

Chapter	Description
1	Introduction
	Introduction to Sun International Securities Online Trading Platform
	(OTP)
2	About Sun International Securities Online Trading Platform (OTP)
	To provides details information on internet trading. The system overview
	and system standards are explained in this chapter
3	Getting Started
	Login/ logout web trading page.
4	Order Processing & Account Management
	To use the functions to input/update/cancel/enquire orders and show
	client account information
5	e-IPO
6	Report
7	Q&A



2 About Sun International Online Trading Platform (OTP)

Sun International Securities Online Trading Platform (OTP) is a securities trading system with comprehensive front-office functionality which can facilitate efficient and effective workflow in the entire trading logistic.



3 Getting Started

3.1 Login

Follow these instructions to log into web trading:

- a) Switch on the computer
- b) Open Internet Explorer
- c) Input the URL provided
- d) Select "Auto Update Version HTML5" in connection setting

Sun International Securit ×		
$\mathbf{c} \in \mathbf{c}$ \mathbf{c} Secure https://online.sunisec.com/trac	ling/pns/sunint/web_login_eng.html	☆ 🛛 🗷 💿 🗄
太陽國際証券 SUN INTERNATIONAL SECURITI	anal Securities Online Trading Platform	En 简 繁
Please Login A Username: Password :	Reset Password Connection Clear Please note that: 1. Best viewed with Mozilla FireFox or Internet Explorer 8 or above version browser. 2. System will request you to change your login password when you login first time and 90 days thereafter, if order to continue using our internet platform. 3. New password must consist of 8-12 numbers and alphabets.	

e) Enter User ID & Password and click Login.



f) After Login, the main window will display.

	A A A A A A A A A A A A A A A A A A A	Order Input C	ond Order		НКЕХ										
	THE REAL PROPERTY OF	Coourity Name			Symbol: 0	00001 S	earch				Information pro	vided by AAS	STOCKS Disclaimer		
		Security Name			скн но	OLDINGS (00001) (Quote is delay	ed for at least 1	5 mins		20	017-05-31 16:08:16		
		Market	HKEX - Hong Kon	ig Excha 🔻	Last Price	e	Price Cha	ange 0.200	Bid 101.50	00	Day High 101.900	Oper	101.900		
	太防國防社方 SUN INTERNATIONAL SECURITIES	Buy/Sell	Please choose	•	10 ⁻	1.600	PCT Char	nge	Ask		Day Low	Prev	Close		
111	Equity Market	Order Type	E - Enhanced Lim	it 🔻	Volumo		5.10	0.13070	101.00		101.000	525 60M	101.000		
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	Trade Report	Product Code			P/E Ratio)	11.8	3830	M	arket Capita	il	391.94B			
	Order Trade Report	Price		● C	Linked Wa	arrants: 11249), 11294, 116	632, 12103, 12	2385, 13020, 13	649, 14089, 0, 15508, 1	, 14120, 14286,	14393, 1439	4, 14482, 14571,		
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-	P III III		28200, 28300, 28391, 28072, 28301, 28301, 28301, 00139, 00139, 00139, 00139, 00139, 00430, 00435, 00435, 00443, 00										69164, 69187,		
	Portfolio	Max \$			09330										
•	Account Management		Order	Clear											
•	Account Management		Order	Clear											
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© ₽ +	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv. ↓ Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit	Order Today's Order Bal. 930,873.62 11,271.70 0.00 0.00	Clear We O/S O Market P BOND S BOND S HKEX 0 HKEX 0	rder Tra Product Prod SUNRE Sun SUNRE Sun D0001 CHE D0005 HSB	ade History duct Name Inti Res Lt 1 Inti Res Lt 2 EUNG KONG 1 3C HOLDIN5	, 15- Mess 2,000 \$ 1,000 \$ 1,000 \$ 5,200 \$	-min delayed o age/Alert Prev.Close 50.000 50.000 50.000 50.000	Market Val. (Re 0 0 0 0	Information Margin % 0 0 0 50 75	Margin Value O O O O O O O O O O O O O O O O O O O	Ayers Solution Avg. Cost \$0.000 \$90.128 \$53.365	Ref. P/L \$ \$0.00 \$ \$0.00 \$ \$0.00 \$ \$0.00 \$ \$0.00 \$		
 2 3 4 4 4 5 5 6 6 7 7 8 7 8 8 9 9	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv. ▼ Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit Avail. Purchase	Order Joday's Order Ball 930,873.62 11,271.70 0.00 0.00 930,873.62	Clear We O/S O Market F BOND S BOND S HKEX 0 HKEX 0	rder Tra Product Prod SUNRE Sun SUNRE Sun SUNRE Sun D00001 CHE D0005 HSB D0008 PCC	ade History duct Name d Inti Res Lt 1 Inti Res Lt 2 EUNG KONG 1 3C HOLDIN 5 CW 1	, 15- Mess 1,000 \$ 1,000 \$ 1,000 \$ 1,000 \$	-min delayed o rage/Alert Prev.Close \$0.000 \$0.000 \$0.000 \$0.000	Market Val. (Re 0 0 0 0 0	Information Margin % 0 0 0 50 50 60	Margin Value O O O O O O O O O O O O O O O O O O O	Ayers Solution Avg. Cost \$0.000 \$90.128 \$53.365 \$5.381	Ref. P/L \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00		
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 2 2 4 	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv. Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit Avail. Purchase Avail. Limit Value (Ref)	Order Joday's Order Ball 11,271.70 0.00 0.00 930,873.62 11,271.70 0.00 20,00 12,93.59	Clear We O/S O BOND S BOND S HKEX 0 HKEX 0 HKEX 0 HKEX 0	ridome! rider Tra Product Prod SUNRE Sun SUNRE SUN SUN SUNRE SUN SUNRE SUN SUNRE SUN SUNRE	ade History duct Name Inti Res Lt 1 Inti Res Lt 2 EUNG KONG 1 3C HOLDIN5 CW 1 NDERSON 1 K PPT 3	, 15- Mess 2,000 \$ 2,000 \$ 1,000 \$ 5,200 \$ 18,000 \$ 300 \$	min delayed o age/Alert Prev.Close 50.00000 50.0000 50.000 50.000 50.000 50.000 50.00000000	Market Val. (Re 0 0 0 0 0 0 0 0 0	Important Margin % 0 0	Margin Value Margin Value 0 0 0 0 0 0 0 0 0	Ayers Solution Avg. Cost \$0.000 \$0.000 \$90.128 \$53.365 \$5.381 \$46.525 \$27.068	Ref. P/L ((•) 8.0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00		

- g) The web trading page is divided into 3 regions:
 - Menu Region Top Left (place order, account information, run report, etc)
 - Real Time Price Quote Region Top Right (real time price quote)
 - Order Journal Region Bottom (portfolio, update/cancel/enquire order, messages/alerts)



3.2 Change Password

	太陽國際証券 SUN INTERNATIONAL SECURITIES	Buy/			
1	Equity Market	Order T		Change User Password	
			User :	XXXXXXX	
N.	Account Management	Product C	Name :	XXXXXXX	
	Change Account Info	Р	Old Password :		
	Change Password	Max	New Password :		
•	e-Statement		Retype Password :		
	Derivatives terms	Max \$	Password Rule :	Minimum length 8 characters, Maximum length 12	
				characters Must contain at least 1 digit(s)	
<u></u>	Deposit / Withdrawal			Must contain at least 1 letter(s)	
+	Others			Update Login Password	
		Portfol HKD Eqv. -			
		Avail. Cash			
		Uncleared Cash			
		Purchase Limit			
		Used Limit			
		Avail Durahaaa	020 072 62	חחח אויזיזם טחחח ח	

To change the password, open "Account Management" and then click "Change Password".

Input the old password and new password respectively. Make sure that "New Password" and "Retype Password" are the same. Click "Update Login Password" to confirm.



3.3 Change User Info

	太陽國際証券 SUN INTERNATIONAL SECURITIES	Buy/Sell	Please choose	Y	
M	Equity Market	Order Type	E - Enhanced Limit	T	
•	Account Management	Prc			
	Change Account Info			Client Account	Â
	Change Password		Client A/C :	X00000X	
	e-Statement		Name :	X00000X	
۵	Derivatives terms			✓ No Order Notification Email	
ଟ୍ର	Deposit / Withdrawal			No Price Alert Email	
<u>+</u>	Others				ni
				Update	. U
		нкр			10
		Avail. C			
		Uncleared Cash	0.00		0

Open "Account Management" and then click "Change Account Info"

Tick the box if you do not wish to receive order notification email or price alert email.

Press "Update" to confirm the change.

3.4 Logout

To log out, click the "Logout" icon on the Main Window menu and then confirm to logout.

	Station .	Order Input Co	ond Order		HKEX		Ċ
		Security Name		Logout		×	
	A A A A A A A A A A A A A A A A A A A	Market	HKEX - H	Confirm To Log Out?			
	太陽國際証券 SUN INTERNATIONAL SECURITIES	Buy/Sell	Please	-			
<i>í</i> íí	Equity Market	Order Type	E - Enhar			Confirm Cancel	
	Order Report	Product Code					
	Trade Report						
	Order Trade Report	Price					
	Price Alert	Max Qty		\bigcirc \bigcirc			
	Portfolio	Max \$					
•	Account Management		Orde	r Clear			



4 Order Processing & Account Management

4.1 Input Order

- a) Select "HKEX Hong Kong Exchange" or "SHA ShangHai A-Shares" in Market
- b) Click "Buy" or "Sell" icon in the main window "Buy/Sell" drop-down box;

	A REAL PROPERTY AND A REAL	Order Input	Cond Order	
		Security Name		
		Market	HKEX - Hong Kong Excha 🔻	
	太陽國際証券 SUN INTERNATIONAL SECURITIES	Buy/Sell	Please choose	
1	Equity Market	Order Type	Please choose Buy	
	Order Report	Based wet Garde	Sell	-
	Trade Report	Product Code		
	Order Trade Report	Price		
	Price Alert	Max Qty		
8	Portfolio	Max \$		
•	Account Management		Order Clear	
5	Deposit / Withdrawal			
1	Others		Welcome! C	lient (
		Portfolio	Today's Order O/S C	order

- c) Input Order Type, Product Code (Stock Code), Price and Quantity and click "Order" button to place order; otherwise click "Clear" button to clear above information;
- d) If you double-click a particular price inside the real time price feed screen, the order input screen will be shown and you are only required to input the quantity, click "Order" to place the order;
- e) If you click a particular stock inside the "Portfolio" tab page, a sell order input screen will be shown and you are only required to input the price and then click "Order" to place the order. Please note that, by system default the sell QTY is the total of that client possesses.

Remark:

- 1) Details of Order Types, please refer to FAQ section;
- 2) If you press "+" inside the "Price" field, it will add 1 price spread into existing price. If you press "-" inside the "Price" field, it will subtract 1 price spread from existing price;
- 3) This feature only applies to client which has subscribed the Real Time Price Feed service;
- 4) The "Max" function is to calculate the maximum quantity of the product that the appointed client can buy.
- 5) The "Max\$" function is to calculate the maximum quantity of the product that the appointed client can buy within the amount.



4.2 Program Trade

Sun International Securities Online Trading Platform (OTP) supports basic program trading. Click on the "Cond Order", condition setting option will be showed:

	Order Input	Cond Order	•	HK
	Send Condi	tion Send N	ow 🔽	
太陽國際証券		rice Send Wh Send Wh	en Nominal Rises At/A en Nominal Falls At/Be	bove elow
Equity Market	Stop P	rice		
Order Report	Expiry L	Date		
Trade Report				
Order Trade Report				
Price Alert				
Portfolio				
Account Management				
👩 Deposit / Withdrawal				

Select condition and input the trigger price, the system will then send the order to market accordingly.

Send Condition	Details
Send Now	The order will send to Exchange immediately
When Nominal	The order will keep in the system, when the nominal rises at or
Rises At/Above	above the trigger price; the order will then release to market.
When Nominal	The order will keep in the system, when the nominal falls at or
Falls At/Below	below the trigger price; the order will then release to market.



4.3 Update Order

- a) Select the "Today's Order" tab page in the main window.
- b) Click "Amend" on the order you wish to update, a popup menu will be shown.

	State -	Order Input	Cond	Order		HKEX									Ċ
		Security Na	me	Upd	Update Order (3480)										
	大陽國際証券 SER INTERNATIONAL SECRETES Equity Market Order Report Trade Report	Mar Buy/s Order آی Product Co	ket H Sell	(EX - Ho Please c B Enhanc Orde P	Market: uy/Sell: er Type: roduct: Price:	HKEX Sell E - Enhanc 00388 210	ed Limit	0							
	Order Trade Report Price Alert Portfolio Account Management	Max Max \$	Qty	Order	Qty:	100		0	A	I					
ଟ୍ର	Deposit / Withdrawal									I					
+	Others	Portfoli	о То	day's O			Confir	m	ancel	r previ	ous close i	nformation is p	provided by Ayers	Solutions Lim	ited.Disclaimer ((=))
		Amend/Cancel	Status	Update/Cancel 💠	Order No. ٨	Buy/Sell 💠	Marke¢	Product	Туре 🛟	ссу \$	Price 🛟	Qty 💠	O/S Order 💠	Executed 💠	Last Update 🕻
			FEX		3482	Sell	HKEX	00008	E	HKD	4.5	4,000	0	4,000	09:34:22 (j)
		Amend Cancel	NEW		3481	Buy	HKEX	02828	E	HKD	100	400	400	0	18:11:26 (j)
		Amend Cancel	NEW		3480	Sell	HKEX	00388	E	HKD	210	3 000	2 000	0	18:10:38 (1)
						- UN	HALA.				52		2,000		

c) Input the new Price or new Quantity and click "Confirm" to send the update request

Remark:

- 1) Note that sending the update request does not necessarily mean that the order is successfully updated. Please contact our Customer Service Representative if you have any queries.
- If you press "+" inside the "Price" field, it will add 1 price spread into existing price. If you press "-" inside the "Price" field, it will subtract 1 price spread from existing price.



4.4 Cancel Order

- a) Select the "Today's Order" tab page in the main window.
- b) Click "Cancel" on the order you want to cancel, a popup menu will be shown. Click the "Confirm" to send the order cancel request***.

	A CONTRACT OF THE OWNER OWNER OF THE OWNER	Order Input	Cond	Order		HKEX				_					Ċ
		Security Na	me	Can	Cancel Order (3481)										
	A the set of the set of the	Mari	ket Hk	EX - Ho											
	太陽國際 記券 SUN INTERNATIONAL SECURITIES	Buy/S	ell - F	Please c	Market:	HKEX									
<i></i>	Equity Market	Order Tv	pe E-	Enhanc Orde	er Type:	E - Enhanc	ed Limit								
	Order Report			P	roduct:	02828									
	Trade Report	Product Co	de		Price:	100									
	Order Trade Report	Pri	ice		Qty:	400									
	Price Alert	Max	Qty		Status:	NEW									
	Portfolio	Max \$		EXE	ecuted:	0									
		WidA 9.								- 11					
	Account Management			Order			Confir	m	ancel						
5	Deposit / Withdrawal			-	-										
+	Others				Welcome!	Client		15-m	iin delaye	d or previ	ous close i	nformation is	provided by Ayer	s Solutions Lim	ited.Disclaimer
-		Portfolio	о То	day's Order	O/S Order	Trade H	listory	Messa	ge/Alert						((•))
		Amend/Cancel	Status 🗘	Update/Cancel 💠	Order No. 🔺	Buy/Sell 💠	Marke¢	Product	Туре 🛟	ссү ≎	Price 💠	Qty :	O/S Order 💲	Executed 💠	Last Update 🕻
			FEX		3482	Sell	HKEX	80000	E	HKD	4.5	4,000	0	4,000	09:34:22 (j)
		Amend Cancel	NEW		3481	Buy	HKEX	02828	E	HKD	100	400	400	0	18:11:26 (j)
		Amend Cancel	NEW		3480	Sell	HKEX	00388	E	HKD	210	100	100	0	18:10:38 (j)
		Amend Cancel	PEX		3479	Buy	HKEX	00012	E	HKD	52	3,000	2,000	1,000	18:08:38 (j)
		<													•

c) If the successfully cancel the order status will change to "CAN".

Remark***:

Note that sending the cancel request does not necessarily mean that the order is successfully cancel. Please contact our Customer Service Representative if you have any queries.



4.5 Order Information Enquiry

- a) Select the "Today's Order" tab page in the main window. All the orders will be shown in the table. Moreover, all the order information is real time basis.
- b) You can sort the orders inside the table according to the type of column e.g. Status, Amend/Cancel, Order No, Buy/Sell, Product, Price, Quantity, etc.

For example, to sort all the orders using order no, simply click the "Order No. column.

ers				Welcome!	Client		, 15-m	in delayed	d or previo	ous close in	nformation is	provided by Ayers	Solutions Lim	ited.Disclaimer	
	Portfoli	o To	day's Order	O/S Order	Trade H	listory	Messag	ge/Alert						((•))	
	Amend/Cancel	Status 🛟	Update/Cancel 💠	Order No. 🛧	Buy/Sell 🛟	Marke ¢	Produc‡	Туре 🛟	ссү \$	Price 🛟	Qty 🗧	O/S Order 💠	Executed 💲	Last Update 🛟	
		FEX		3482	Sell	HKEX	80000	E	HKD	4.5	4,000	0	4,000	09:34:22 (j)	^
	Amend Cancel	NEW		3481	Buy	HKEX	02828	E	HKD	100	400	400	0	18:11:26 (j)	
	Amend Cancel	NEW		3480	Sell	HKEX	00388	E	HKD	210	100	100	0	18:10:38 (j)	
	Amend Cancel	PEX		3479	Buy	HKEX	00012	E	HKD	52	3,000	2,000	1,000	18:08:38 (j)	
															Ŧ
		Amend/Cancel Amend Cancel Amend Cancel Amend Cancel	Portfolio To Amend/Cancel Status\$ Amend Cancel NEW Amend Cancel NEW Amend Cancel PEX	Portfolio Today's Order Amend/Cancel Status Update/Cancel FEX FEX Amend Cancel NEW Amend Cancel NEW Amend Cancel PEX	PortfolioTodary's OrderO/S OrderAmend/CancelStatusUpdate/Cancel Qdate/Cancel PEXOrder No. ▲Amend CancelNEW3481Amend CancelNEW3480Amend CancelPEX3479	PortfolioToday's OrderO/S OrderTrade HAmend/CancelStatus\$Update/Cancel\$Order No.Buy/Sell\$Amend CancelNEW3481BuyAmend CancelNEW3480SellAmend CancelPEX3480SellAmend CancelPEX3479Buy	PortfolioToday's OrderO/S Order No.Trade HistoryAmend/CancelStatusUpdate/CancelOrder No.Buy/SellMarkeAmend CancelFEX3482SellHKEXAmend CancelNEW3480SellHKEXAmend CancelNEW3480SellHKEXAmend CancelPEX3479BuyHKEX	PortfolioToday's OrderO/S OrderTrade HistoryMessageAmend/CancelStatusUpdate/CancelOrder No.Buy/SellMarketProductFEX3482SellHKEX0008Amend CancelNEW3481BuyHKEX02828Amend CancelNEW3480SellHKEX00383Amend CancelPEX3479BuyHKEX0012	PortfolioToday's OrderO/S OrderTrade HistoryMessage/AlertAmend/CancelStatusUpdate/CancelOrder No.Buy/SellMarkecProductType \$Amend/CancelFEX3482SellHKEX00008EAmend CancelNEW3481BuyHKEX02828EAmend CancelNEW3480SellHKEX00388EAmend CancelPEX3479BuyHKEX0012E	PortfolioToday's OrderO/S OrderTrade HistoryMessage/AlertAmend/CancelStatusUpdate/CancelOrder No.Buy/SellMarkecProducType CCY Amend/CancelFEX3482SellHKEX00008EHKDAmend CancelNEW3481BuyHKEX02828EHKDAmend CancelNEW3480SellHKEX00388EHKDAmend CancelPEX3479BuyHKEX0012EHKD	PortfolioToday's OrderO/S OrderTrade HistoryMessage/AlertAmend/CancelStatusUpdate/CancelOrder No.Buy/SellMarkeProducTypeCCYPrice \$Amend CancelFEX3482SellHKEX0008EHKD100Amend CancelNEW3480SellHKEX02828EHKD100Amend CancelNEW3480SellHKEX0012EHKD210Amend CancelPEX3479BuyHKEX0012EHKD52	Portfolio Today's Order O/S Order Trade History Messau-Alert Amend/Cancel Status Update/Cancel Order No. Buy/Sell Marke Produc Type CCY Price Qty Qty	Portfolio Today's Order O/S Order Trade History Message/Alert Amend/Cancel Status Update/Cancel Order No. Buy/Sell Markec Produ Type CCY Price Qty O/S Order O/S Order Image: Concell News Image: Concell News Image: Concell Vision Image: Concell News Image: Concell Image: Concell <	Portfolio Today's Order O/S Order Trade History Messau-Alert Amend/Cancel Status Update/Cancel Order No. Buy/Sell Marke Produc Type CCY Price Oty O/S Order Executed Amend/Cancel Status Update/Cancel Order 3482 Sell HKEX 0008 E HKD 4.000 4.000 4.000 0 4.000 0 4.000 0 4.000 0	Portfolio Today's Order O/S Order Trade History Message/Alert Order Order Amend/Cancel Status Update/Cancel Order No. Buy/Sell Marke Produc Type CCY Price Qty O/S Order Executed Last Update Amend/Cancel Status Update/Cancel Order 3482 Sell HKEX 0008 E HKD 4.000 0 4.000 09:34:22 () Amend Cancel NEW 3481 Buy HKEX 02828 E HKD 100 400 0 18:11:26 () Amend Cancel NEW 3480 Sell HKEX 00388 E HKD 100 100 0 18:10:38 () Amend Cancel PEX S479 Buy HKEX 0012 E HKD 52 3,000 1,000 1,000 18:08:38 ()

c) To enquire detailed information of an order, click on the Order Number, a popup menu will be shown.

		Order Input	Cond Order		HKE	X								Ċ	
		Security Name	•												
		Marke	t HKEX - Hong	Kong Excha 💌											
	太陽國際証券	Buy/Sel	- Please cho	ose 💌											
<i></i>	Equity Market	Order T													
	Order Report														
	Trade Report	Product Co		Order	Enquiry						Â				
	Order Trade Report	Pi	Order No :	3479											
	Price Alert	Max	Status :	PEX - Partially Exe	cuted						E				
	Portfolio	Max \$	Buy/Sell :	Buy											
			Stock Code :	00012 HENDERS	ON LAND										
	Account Management		Order Type :	E - Enhanced Limi	t										
	Deposit / Withdrawal		Price :	52.000											
<u> </u>			Quantity :	3,000									- Oslulises Lie		
+	Others		Remark :									n is provided by Ayei	rs Solutions Lin	ITEO.Disclaimer	
		Portfoli	Executed Qty :	1,000										((•))	
		Amend/Cancel	Net Amount :	52,000.00	10							O/S Order	Executed 😂	Last Update	2
			Order Evnin/ Date :	2017-00-00 17.59.	12						-	0	4,000	09:34:22 (j)	-
		Amend Cancel										400	0	18:11:26 (i)	
		Amend Cancel	NEW	3480	Sell	HKEX	00388	E	HKD	210	100	100	0	18:10:38 (j)	
		Amend Cancel	PEX	3479	Buy	HKEX	00012	E	HKD	52	3,000	2,000	1,000	18:08:38 (j)	
															-



4.6 Trade Information Enquiry

Select the "Trade History" tab page in the order journal region and all of the today's executed trades will be shown.





4.7 Client Portfolio Enquiry

Select the "Portfolio" tab page in the order journal region and the client portfolio information will be shown.

	and the second s												
	THE REAL PROPERTY AND A DECIMAL AND A DECIMA	Security Name			Symb	bol: 00001	Search				Information pro	ovided by AAS	STOCKS Discl
		Security Name			СК	H HOLDINGS ((00001)	Quote is dela	yed for at least 15	mins		2	017-06-07 11:
	一个对于并非成为	Market	HKEX - Hong Ko	ng Excha	Last	t Price	Price Ch	ange	Bid And And	D	ay High	Ope	n 400.000
	太陽國際証券	Buy/Sell	- Please choose	e 🔻		101 300		0.300	101.200	,	101.500	_	100.800
~	Equity Market					101.000	PCT Cha	0.295%	ASK 101.300)	100.800	Prev	101.600
	Ordes Deport	Order Type	E - Enhanced Lir	mit	Volur	ime	92	7.29K	Tur	nover		93.78M	
	Order Report	Product Code			52 W	Veek Range	80	.600 - 103.900) Lot	Size		500	
	Trade Report				P/E F	Ratio	11	.8480	Mar	ket Capita	I	390.78B	
	Order Trade Report	Price			Linke	ed Warrants: 11249	9, 11294, 1	1632, 12103, 1	12385, 13020, 136	49, 14120,	, 14286, 14393,	14482, 146	10, 15001, 150
	Price Alert	Max Qty			1676	66, 17055, 18471, 1	18474, 185	i30, 20713, 22	787, 22827, 23059), 13843, 1), 23779, 2	25352, 25362, 2	6312, 26673	, 27952, 2803
	Portfolio	Max \$			2826 6048 6918	60, 28360, 28491, 2 88, 60489, 60572, 6 87, 69358	28672, 289 61035, 611	060, 29261, 29 06, 61193, 642	361, 60050, 60159 266, 64585, 65153	9, 60218, 6 9, 65243, 6	0368, 60369, 6 5330, 65335, 68	0443, 60455 8633, 68749,	, 60457, 6047 , 69159, 69164
					0010								
V -	Account Management		Order	Clear									
9 -	Account Management Deposit / Withdrawal		Order	Clear		ient	15	min delayed (n provinus close i	aformation	is provided by	Avers Solutio	ne Limited pic
ۥ ©*	Account Management Deposit / Withdrawal Others	Portfolio	Order Today's Order	Clear Wel O/S O	Icome! Clie	ient Trade History	, 15 Mess	-min delayed o	or previous close in	nformation	is provided by A	Ayers Solutio	ns Limited.Disc ((=))
€ ©? 	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash	Order Today's Order Bal.	Clear Wel O/S O Market	Icome! Clie Order Product	ient Trade History Product Name	, 15 Mess Qty	-min delayed o sage/Alert Prev.Close	or previous close in Market Val. (Ref)	nformation Margin %	is provided by /	Ayers Solutio Avg. Cost	ns Limited.Disa ((•)) Ref. P/L
♥• © *	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash	Order Today's Order Bal. 914,708.08	Clear Wel O/S O Market F BOND S	Icomel Clie Order Product SUNRE	rrade History Product Name Sun Intl Res Lt	, 15 Mess Qty 1,000	-min delayed o sage/Alert Prev.Close \$0.000	or previous close in Market Val. (Ref) 0	nformation Margin %	is provided by /	Ayers Solutio Avg. Cost \$0.000	ns Limited.Dis ((•)) Ref. P/L \$0.00
₹ -	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash	Order Today's Order Bal. 914,708.08 11,271.70	Clear Wel O/S O Market BOND S BOND	Icome! Clie Drder Product SUNRE	ent Trade History Product Name Sun Intl Res Lt Sun Intl Res Lt	, 15 Mess Aty 1,000 2,000	min delayed o sage/Alert Prev.Close \$0.000 \$0.000	or previous close in Market Val. (Ref) 0	Margin %	is provided by <i>i</i>	Ayers Solution Avg. Cost \$0.000 \$0.000	Ref. P/L \$0.00 \$0.00
₹•	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash Purchase Limit	Order Jacobia 914,708.08 11,271.70 0.00	Clear Wel O/S O BOND S BOND S HKEX 0	Icome! Clie Order Product SUNRE SUNRE	Product Name Sun Intl Res Lt Sun Intl Res Lt CHEUNG KONG	, 15 Mess 2ty 1,000 2,000 1,000	-min delayed o sage/Alert Prev.Close \$0.000 \$0.000 \$0.000	Market Val. (Ref)	Margin % 0 50	is provided by <i>I</i> Margin Value 0 0 0 0	Ayers Solutio Ayg. Cost \$0.000 \$0.000 \$90.128	Ref. P/L \$0.00 \$0.00 \$0.00
₹ <u>,</u>	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit	Order Today's Order Bal 914,708.08 11,271.70 0.00 -144,205.94	Clear Well O/S O BOND S BOND S HKEX O HKEX O	Icome! Clie Order Product SUNRE SUNRE 00001 00005	Product Name Sun Intl Res Lt Sun Intl Res Lt CHEUNG KONG HSBC HOLDI	, 15 Mess 2ty 1,000 2,000 1,000 5,200	-min delayed o sage/Alert Prev.Close \$0.000 \$0.000 \$0.000 \$0.000	Market Val. (Ref)	Margin % 0 50 75	is provided by A Margin Value 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Ayers Solutio Avg. Cost \$0.000 \$0.000 \$90.128 \$53.365	Ref. P/L \$0.00 \$0.00 \$0.00 \$0.00 \$0.00
₹ <u>.</u>	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit Avail. Purchase	Order Today's Order 8-3 914,708.08 11,271.70 0.00 -144,205.94 770.502.14	Clear Well O/S O BOND S BOND S HKEX O HKEX O	Icomel Clie Order Product SUNRE 00001 00005 00008	Product Name Sun Intl Res Lt Sun Intl Res Lt CHEUNG KONG HSBC HOLDI PCCW	, 15 Mess Daty 1,000 2,000 1,000 5,200 10,000	-min delayed o sage/Alert Prev.Close \$0.000 \$0.000 \$0.000 \$0.000 \$0.000	Market Val. (Ref) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Margin % 0 50 75 60	is provided by A Margin Value 0 0 0 0 0	Avers Solutio Avg. Cost \$0.000 \$0.000 \$90.128 \$53.365 \$6.094	Ref. P/L \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00
	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit Avail. Purchase Avail Limit	Order Today's Order 8 914,708.08 11,271.70 0.00 144,205.94 770,502.14 727,502.14	Clear Well O/S O BOND S BOND S HKEX O HKEX O HKEX O	Icomel Clie Drder Product SUNRE SUNRE 00001 00008 00012	Product Name Sun Intl Res Lt Sun Intl Res Lt CHEUNG KONG HSBC HOLDI PCCW	, 15 Mess 2ty 2,000 1,000 5,200 10,000 2,000	-min delayed o sage/Alert Prev.Close \$0.000 \$0.000 \$0.000 \$0.000 \$0.000 \$0.000	Market Val. (Ref) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Margin % 0 50 75 60 75	is provided by A Margin Value 0 0 0 0 0 0	Avers Solution Avg. Cost \$0.000 \$0.000 \$90.128 \$53.365 \$6.094 \$49.302	Ref. P/L \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00
⊽ ⊒ ⊡ ∎	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit Avail. Purchase Avail. Limit	Order Today's Order 842 914,708.08 11,271.70 0.00 144,205.94 770,502.14 1000000000000000000000000000000000000	Clear Well O/S O BOND S BOND S HKEX O HKEX O HKEX O	Icome! Clie Drder Product SUNRE SUNRE 00001 00005 00008 00012	Product Name Sun Intl Res Lt Sun Intl Res Lt CHEUNG KONG HSBC HOLDI PCCW HENDERSON	, 15 Mess 2ty 2,000 1,000 5,200 10,000 2,000	-min delayed d sage/Alert Prev.Close \$0.000 \$0.000 \$0.000 \$0.000 \$0.000 \$0.000	Market Val. (Ref) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Margin % 0 0 50 75 60 75 75	is provided by A Margin Value 0 0 0 0 0 0 0 0 0	Avers Solution Avg. Cost \$0.000 \$0.000 \$90.128 \$53.365 \$6.094 \$49.302 \$23.66	Ref. P/L \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00
₹ 9 37 1 1 1	Account Management Deposit / Withdrawal Others	Portfolio HKD Eqv Cash Avail. Cash Uncleared Cash Purchase Limit Used Limit Avail. Purchase Avail. Limit Value (Ref)	Order Today's Order 8 914,708.08 11,271.70 0.00 144,205.94 770,502.14 770,502.14 933.59	Clear Well O/S O BOND S BOND S HKEX O HKEX O HKEX O	Icome! Clie Drder Product SUNRE SUNRE 00001 00005 00008 00012 00016	Product Name Sun Intl Res Lt Sun Intl Res Lt CHEUNG KONG HSBC HOLDI PCCW HENDERSON SHK PPT	, 15 Mess 2,000 1,000 5,200 10,000 2,000 2,000	-min delayed d sage/Alert Prev.Close \$0.000 \$0.000 \$0.000 \$0.000 \$0.000 \$0.000 \$0.000	Market Val. (Ref) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Margin % 0 0 50 75 60 75 75 75	is provided by A Margin Value 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Avers Solution Avg. Cost \$0.000 \$0.000 \$90.128 \$53.365 \$6.094 \$49.302 \$27.068	Ref. P/L \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00



4.8 Messages/ Alerts

If your trading service provider sent a message to you, a pop up window will appear as follow:

Select the "Messages/ Alert" tab page in the order journal region can review all messages

		Order Input	Cond Order		НКЕХ									Ċ
		Security Na	Alert					×	n Ir Nur	nformatio nber of fr	on provided ee quotes	l by AAST remainir	OCKS Di	sclaimer 🔺
	A A A A A A A A A A A A A A A A A A A	Mar	(2017-06-07 1	2:02:44) Price Ale	ert : HKEX:00388 has	reached your alert pri	ce (>=20	0)				20	17-06-07	11:59:58
-	太陽國際証券	Buy/s							A 204	sk 400	11-50-38	Transad	tion Log	204.0
a	Equity Market	Order Ty					Cor	nfirm	25.7K	(9) (11)	11:59:38 11:59:38		300 800	204.2 [≡] 204.2
	Order Report	Data diverti Can d					24.7K	(21)	11k 67.2k	(7) (66)	11:59:18 11:59:12		200 300	204.2 204.2
	Trade Report	Product Cod			Price Change	1.000		Bid C	lueue			Ask (Queue	
D	Order Trade Report	Pric	e	\bigcirc	PCT Change	0.488%	1196 7369	2119 5512	8136 3000	9053 8498	9069 0696	0696 8578	5465 +2	3762 8577
	Deite a Alexa	Max	for		Volume	1.24M	5336	5344	9063	1799	8575	8502	1450	2310
		IVIAX Q	.y		Turnover	253.78M	9024 1799	0899 4085	8574 1799	6998 8840	3399 1292	8721 8578	8946 8577	2310 8167
	Portfolio	Max \$			Lot Size	100	0049	3274	8938	8189	0690	1450	9023	1887
					52 Week Range	175.000 - 213.200	3479	4688	2311	5525	0348	0696	3399	6489
N.	Account Management		Order	Clear	P/E Ratio	42.8571	8578	8944 1799	8577 7477	4085	4061	1799	8189	4866
					Market Capital	251.25B	www.aas	stocks.co	m	2011		0044		2011
<u> </u>	Deposit / Withdrawal													
	Others			Welcome! Clie	nt	, 15-min delayed	or previou	s close in	nformatio	n is provi	ded by Aye	rs Soluti	ons Limite	ed.Disclaimer
-	Others	Portfolio	Today's Or	rder O/S Orde	er Trade History	Message/Alert								((•))
		Input Time	Message/Aler	t	;	>								
		2017-06-07 12:02:	44 Price Alert : HKI	EX:00388 has reache	ed your alert price (>=20	0)								



5 Price Alert

This function is to provide an alert when nominal reach the alert price.

Click "Equity Market" \rightarrow "Price Alert" in the main menu:

- a) Enter product code
- b) Select alert type
- c) Enter alert price
- d) Click register to finish

		Order	Input	Cond	Order			нк	EX		
		Securi	ty Nan	ne							
	大限國際訂去										\mathbf{x}
	SUN INTERNATIONAL SECURITIES					Re	egister P	rice Al	ert (HK Stock Only)		
	Equity Market	Product Co	ode		Alert Ty	pe >= 🔻 A	Vert Price		Register		
	Order Report										
	Trade Report										
	Order Trade Report	The Price	Alert Se	rvice is pr	ovided for	personal, no	on-commer	cial and	reference only.	optract or otherwise) for any loop o	
8	Price Alert	damage a	rising fr	om any in	accuracie	s or omissio	ns.	accept	no hability (whether in fort of ct	initiact of otherwise) for any loss of	
	Portfolio										
							w	aiting F	Price Alert(s)		
1	Account Management	[Product		Name	Ale	rt Type	Alert Price	Input Time	
	Deposit / Withdrawal	1					Cor	pleted	Price Alert(s)		
				Product	Name	Alert Type	Alert P	rice	Input Time	Alert Time	
+	Others		<u> </u>	00388	HKEX	>=		200	2017-06-07 12:03:58	2017-06-07 12:04:49	
			_		_			_			

When nominal reach the alert price, an alert window will pop up as follow:

Alert	
(2017-06-07 12:02:44) Price Alert : HKEX:00388 has reached your alert price	(>=200)
	Confirm



e-IPO 6

- a) Move the mouse to "Others" and click "e-IPO".b) Click on the "Apply" hyperlink.

		Order Inpu	t Cond	Order HKEX			
		Security N	ame				
	AND THE REAL PROPERTY OF	Ма	rket HK	EX - Hong Kong Excha 👻			
	太陽國際証券	Bund	Roll F	Please choose			_
a	Equity Market						
	Account Management			2 Product(s) Found Currently	y Available For e-IPO		
			Product	Name	Start Time	End Time	ן ר
	Depent / Withdrawal	Appl	y 01551	廣州農村商業銀行股份有限公司-H股	2017-06-08 09:00:00	2017-06-12 17:00:00	
ाधा	Deposit / Withdrawai	Appl	08420	Nexion Technologies Limited	2017-06-06 09:00:00	2017-06-08 17:00:00	
+	Others		Notice :	All Above Figures Are For Reference Only, F	Please Refer To Statement I	For Actual Figures	
	e-IPO						
	Logout						
							,
		F					
		Av					1
		Ur					
		D					

- c) Read and Accept the "NEW SHARE/BOND SUBSCRIPTION DISCLAIMER"
- d) Select "Apply Quantity" and "Loan Financing" from drop down menu. The system will automatically calculate the require amount.
- e) Click "Apply" and "Confirm" to finish.

Input IPO Subscription (Layout 1)	Quantity / Am	ount Table	(
Product Code : 01551	Apply Qty	Apply Amount	
Name: 個別展到商業取引股防角隊公司- 同波 (<u>Frospectuses)</u> (Other Info.)	1,000	5,323.10	
Apply Qtv 1 000	2,000	15 969 32	
	4 000	21 292 42	
Apply Amount : HKD 5323.10	5.000	26.615.53	
Time : From 2017-06-08 09:00:00 To 2017-06-12 17:00:00	6,000	31,938.63	
Margin End Time : 2017-06-08 09:00:00	7,000	37,261.74	
Max. Loan Ratio (%) : 0%	8,000	42,584.85	
Loan Amount : HKD 0	9,000	47,907.95	
Interest % : 0% / 0Day	10,000	53,231.06	
Interest : HKD 0	15,000	79,846.58	
Handling Fee : HKD 0	20,000	106,462.12	
Apply	25,000	133,077.65	
Арріу	30,000	159,693.18	
	35,000	186,308.70	
	40,000	212,924.23	
	45,000	239,539.76	
	50,000	266,155.29	
	60,000	319,386.35	



Remark:

IPO can only subscribe once. If you want to cancel IPO subscription, please contact dealing hotline 35858988.



7 Report

There are 3 reports available: "Order History"; "Trade History" and "Order Trades".

	With the for	Order Input	cond Order
		Security Name	
	A A A A A A A A A A A A A A A A A A A	Market	HKEX - Hong Kong Excha 💌
	太陽國際証券 SUN INTERNATIONAL SECURITIES	Buy/Sell	Please choose 💌
a	Equity Market	Order Type	E - Enhanced Limit
	Order Report	Product Code	
	Trade Report Order Trade Report	Price	
	Price Alert	Max Qty	C
	Portfolio	Max \$	
v	Account Management		Order Clear
ទ	Deposit / Withdrawal		
0.000			Welcome! Client (

- a) Move the mouse cursor to "Equity Market" and click on the desire report.
- b) Select date range and product (if require).
- c) Click on "Run Report" button to generate records.
- d) If wish to save or print the report, click on the corresponding link.

Remark:

System will only keep 7 days of Order History.

	Order Input	Cond Order	НКЕХ		
	Security Name				
	Market	HKEX - Hong Kong Excha	•		
太陽國際証券 SUN INTERNATIONAL SECURITIES	Buy/Sell	Please choose	•		
Equity Market	Order Type	E - Enhanced Limit	•		
Order Report	Product Code				
Trade Report	Troduct Gode				
Order Trade Report	Р				\mathbf{X}
Price Alert	Max		Order Report		
Portfolio	Max \$	Date :	2017-06-08 • To 2017-06-08 •	r	
Account Management		Product Code :	HKEX -	(Leave Blank For	
Deposit / Withdrawal			Run Report Export		
Others					
	Portfol				
	HKD Eqv. 🗸				
	Equity Market Order Report Trade Report Order Trade Report Order Trade Report Price Alert Portfolio Account Management Deposit / Withdrawal Others	Order Input Order Input Security Name Security Name Market Buy/Sell Equity Market Order Type Order Trade Report Price Alert Portfolio Account Management Deposit / Withdrawal Others Portfol HKD Eqv	Order Input Cond Order Security Name Market Market HKEX - Hong Kong Excha Market HKEX - Hong Kong Excha Buy/Sell Please choose Equity Market Order Type Order Report Product Code Price Alert Max § Deposit / Withdrawal Max § Others Portfol HKD Eqv HKD Eqv	Order Input Cond Order Security Name Market HKEX - Hong Kong Excha Market HKEX Buy/Sell Product Code Product Code <t< th=""><th>Order Input Cond Order Security Name Market Preduct Code Product Code Market Market Market Market Market Preduct Code Product Code Market Deposit / Withdrawal Deposit / Withdrawal <</th></t<>	Order Input Cond Order Security Name Market Preduct Code Product Code Market Market Market Market Market Preduct Code Product Code Market Deposit / Withdrawal Deposit / Withdrawal <



8 Frequently Asked Question (FAQ)

8.1 What software is required to install for online trading platform?

In order to access our online trading platform, the following component will be required for your machine.

- Microsoft Window7 or above
- Microsoft Internet Explorer 6 (or above), Chrome or Firefox

To install Oracle Java, you can download it from the following URL

http://www.java.com/

8.2 Why the price quote section and order journal section cannot be displayed?

You could possible did not install Oracle Java or your Oracle Java version is incorrect, please go to our website to download Oracle Java.

8.3 Why sometimes internet trade client cannot receive email for the password?

- a) Please ask client to check the junk-mail box.
- b) QQmail and Hotmail have higher chances of not being able to receive the email, please use other email a/c.
- 8.4 Why order journal section cannot be displayed?

Try to connect via "Standard Version" before login.



8.5 How to display Chinese character in internet trading system?

Open internet browser. Click on menu "Tools" > "Internet Option" and press the "language" button. Click on "Add" button and select the desired language.

8.6 How to calculate Available Limit?

Available Limit = Available Cash + Credit Limit – Used Limit (O/S Buy)

8.7 Can order placed by internet client be updated or cancel in OTP or vice versa?

Yes. Please select "Today's Order", then click "Amend" or "Cancel" to update or cancel the order.

8.8 How long will the trading records be kept in the system?

The system will kept the trading records for 7 days. (Include Sat, Sun and public holidays).

8.9 What does the order status code mean? (e.g. NEW, WA,)

Order Status code	Description
NEW	The order is pending for processing
NEW(HOLD)	The order is hold at system until release condition matches.
WA	The order is waiting for approval
PRO	The order is processing
Q(MWS)	The order has been queued in securities company
Q	The order has been queued in market
PEX	The order has been partially filled
FEX	The order has been filled and completed
CAN	The order has been cancelled
REJ	The order has been rejected



8.10 Reasons for Order rejection

8.10.1 Why order rejected "by price warning"?

The price of the order has exceeded 20 spread from the bid/ ask price.

- 8.10.2 Why order rejected by "invalid order price"?
- a. When using Limit Order type to buy, the entered price should not be higher than the ask price.
- b. When using Limit Order type to sell, the entered price should not be lower than the bid price.
- c. When using Enhance Limit Order, if the price had been modified, the system will then change the order type to Limit Order type. If the changed price had the same problem as (8.10.2 a or b). The order would also reject.
- d. When using Special Limit Order, if there is not enough stock in the market, the remaining will then be rejected.



9 Order types

Sun International Securities Online Trading Platform (OTP) supports all the order types provided by HKEx.

Order Type*	Description*	
L	Limit Order	
	This order type allows matching at the specified price queue.	
	Order Matching Result	
	Limit Order Buy 250K at \$18.0 \$18.1 90K \$18.2 20K \$18.1 130K \$18.0 30K \$18.0 30K	



Enhanced Limit Order (ELO)

Е

Enhanced limit order is similar to the limit order except that it will allow matching of up to five price queues at a time. The ask order price of ELO can be inputted at four spreads lower than the current bid or the bid order price can be inputted at 4 spreads higher than current ask price. Any unfilled quantity of ELO after matching will be stored in the System as a normal limit order at the input order price.

Bid		Ask	
Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K
\$29.90	80K	\$30.15	160K
\$29.85	60K	\$30.20	50K
\$29.80	180K	\$30.25	60K
\$29.75	34K	\$30.30	50K
\$29.70	100K	\$30.35	40K
\$29.65	150K	\$30.40	45K
\$29.60	18K	\$30.45	325K

Example 1: Enhanced Limit Order – fully matched Table 1(a): Original Position

Enhanced Limit Order: to buy 400K@ \$30.25

Table 1(b): After Execution

Bid		A	sk
Price	Volume	Price	Volume



\$30.00	100K	\$30.25	20K
\$29.95	90K	\$30.30	50K
\$29.90	80K	\$30.35	40K
\$29.85	60K	\$30.40	45K
\$29.80	180K	\$30.45	325K
\$29.75	34K	\$30.50	20K
\$29.70	100K	\$30.55	30K
\$29.65	150K	\$30.60	50K
\$29.60	18K	\$30.65	60K

Trade executed 80K@ \$30.05 70K@ \$30.10 160K@ \$30.15 50K@ \$30.20 40K@ \$30.25 Unfilled None

Example 2: Enhanced Limit Order – with unfilled quantity

Table 2 (a): Original Position

Bid		Ask		
Price	Volume	Price	Volume	
\$30.00	100K	\$30.05	80K	
\$29.95	90K	\$30.10	70K	
\$29.90	80K	\$30.15	160K	
\$29.85	60K	\$30.20	50K	
\$29.80	180K	\$30.25	60K	
\$29.75	34K	\$30.30	50K	
\$29.70	100K	\$30.35	40K	
\$29.65	150K	\$30.40	45K	
\$29.60	18K	\$30.45	325K	

Enhanced Limit Order: to buy 430K @\$30.25

Table 2 (b): After Execution

Price		Price	
Price	Volume	Price	Volume
\$30.25	10	\$30.30	50
\$30.20	-	\$30.35	40
\$30.15	-	\$30.40	45
\$30.10	-	\$30.45	325



	\$30.05	—	\$30.50	20	
	\$30.00	100	\$30.55	30	
	\$29.95	9 0	\$30.60	50	
	\$29.90	80	\$30.65	60	
	\$29.85	60	\$30.70	70	
	Trade execut	ed 80K@ 70K@ 160K@ 50K@ 60K@	\$30.05 \$30.10 \$30.15 \$30.20 \$30.25		
	Unfilled	10K@	\$30.25 as limit	order	
S	Special Limi A limit price h limit price with SLO will mato to the fifth que worse than th matching will price queues necessary.	t Order (SLO) has to be input in respect to the ch up to five pri- eue at 4 spread le input limit pr be cancelled a allowed for ma	for SLO but the best price on ice queues (i.e ds away) as lor ice. Any unfille and not stored i atching can als	ere is no restricti the other side o . the best price o ng as the traded d quantity of SL0 in the System. T o be increased in	on on the f the market. queue and up price is not O after he number of n future if
S	Special Limi A limit price h limit price with SLO will mato to the fifth que worse than th matching will price queues necessary.	t Order (SLO) has to be input in respect to the ch up to five pri- eue at 4 spread ie input limit pr be cancelled a allowed for ma	for SLO but the best price on ice queues (i.e ds away) as lou ice. Any unfille and not stored i atching can als Drder (SLO)	ere is no restricti the other side o . the best price o ng as the traded d quantity of SL0 in the System. T o be increased in	on on the f the market. queue and up price is not O after he number of n future if
S	Special Limi A limit price h limit price with SLO will mato to the fifth que worse than th matching will price queues necessary. Example 3: S Table 3 (a): C	t Order (SLO) has to be input in respect to the ch up to five pri- eue at 4 spread ie input limit pr be cancelled a allowed for ma Special Limit (Driginal Positi	for SLO but the best price on ice queues (i.e ds away) as lou ice. Any unfille and not stored i atching can als Drder (SLO) on	ere is no restricti the other side o . the best price o ng as the traded d quantity of SL0 in the System. T o be increased in	on on the f the market. queue and up price is not O after he number of n future if



Price	Volume	Price	Volume
\$30.00	100K	\$30.05	80K
\$29.95	90K	\$30.10	70K
\$29.90	80K	\$30.15	160K
\$29.85	60K	\$30.20	50K
\$29.80	180K	\$30.25	60K
\$29.75	34K	\$30.30	50K
\$29.70	100K	\$30.35	40K
\$29.65	150K	\$30.40	45K
\$29.60	20K	\$30.45	325K

Special Limit Order: to buy 430K@ \$30.40

Bid		A	sk	
Price	Volume	Price	Volume	
\$30.00	100K	\$30.30	50K	
\$29.95	90K	\$30.35	40K	
\$29.90	80K	\$30.40	45K	
\$29.85	60K	\$30.45	325K	
\$29.80	180K	\$30.50	20K	
\$29.75	34K	\$30.55	30K	
\$29.70	100K	\$30.60	50K	
\$29.65	150K	\$30.65	60K	
\$29.60	20K	\$30.70	70K	
Trade executed 80K@ \$30.05 70K@ \$30.10 160K@ \$30.15 50K@ \$30.20 60K@ \$30.25				
At Auction Li	mit Order			
It is a limit order with a specified price for single price auction during pre-open session. Unfilled at-auction limit orders, with input price no deviating 9 times or more from the prevailing nominal price, will be converted to limit orders at the input limit price and carried forward to the continuous trading session.				

Table 3 (b): After Execution

I



	The order input period for At-Auction Limit orders is 9:00 a.m 9:15a.m.
	Any At-Auction Limit order input during pre-order matching period (9:15a.m 9:20a.m.), order matching period (9:20a.m 9:28a.m.) and locking period (9:28a.m 9:30a.m.) will be subject to rejection.
	At-Auction Limit orders input out of the pre-opening session will be treated as orders of next pre-open session unless it was cancelled by investor and broker at their own discretion.
	For further details on placing At-Auction Limit orders, please contact your broker.
Α	At Auction Order
	This is a market order which can only be inputted for single price auction during the pre-open session. There is no need to specify the limit price and it will enjoy a higher auction matching priority. Unfilled at- auction orders will be cancelled after auction matching.
	The order input period for At-Auction orders is 9:00a.m 9:20 a.m.
	Any At-Auction order input during order matching period (9:20a.m 9:28a.m.) and blocking period (9:28a.m 9:30a.m.) will be subject to rejection.
	At-Auction orders input out of the pre-opening session will be treated as orders of next pre-open session unless it was cancelled by investor and broker at their own discretion.
	For further details on placing At-Auction orders, please contact your broker.

* The above information is for reference only and subject to further changes. Please visit HKEx (http://www.hkex.com.hk) for more details.



9.1 What is the pre-opening session?

The pre-opening session consists of an order input period from 9:00 am to 9:15 am; a pre-order matching period from 9:15 am to 9:20 am; an order matching period from 9:20 am to 9:28 am; and a blocking period from 9:28 am to 9:30 am.

During the order input period (9:00 am to 9:15 am), only at-auction orders and at-auction limit orders are accepted. Orders will be accumulated and updated in the trading system continuously and may be modified or cancelled.

During the pre-order matching period (9:15 am to 9:20 am), only at-auction orders are accepted and modification or cancellation of orders in the system will not take place.

-- End --